

## TC10 / 4a. Cyclic codes

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A linear code  $C \subseteq F^n$  is *cyclic* if

$$(a_n, a_1, \dots, a_{n-1}) \in C \text{ for all } a = (a_1, \dots, a_{n-1}, a_n) \in C.$$

In order to study cyclic codes, we need to introduce a few auxiliary algebraic concepts.

We have a unique  $F$ -linear isomorphism

$$\pi : F[x]_n \xrightarrow{\sim} F[X]/(X^n - 1)$$

such that  $x \mapsto [X]$ . If  $f \in F[X]$ , its image  $\bar{f} \in F[x]_n$  is determined by the substitution  $X^j \mapsto x^{[j]_n} = x^{j \bmod n}$ . We say that  $\bar{f}$  is the *cyclic reduction of order  $n$  of  $f$* .

We can use the isomorphism  $\pi$  to transport the ring structure of  $F[X]/(X^n - 1)$  to a ring structure of the ring  $F[x]_n$ . This structure is determined by the ordinary sum and product of  $F[x]$ , except that the product is to be reduced modulo the relation  $x^n = 1$ .

On the other hand we have an  $F$ -linear isomorphism

$$F^n \simeq F[x]_n = \{\lambda_1 + \lambda_2 x + \cdots + \lambda_n x^{n-1} \mid \lambda_i \in F\}$$

$$a = (a_1, \dots, a_n) \mapsto a(x) = a_1 + a_2 x + \cdots + a_n x^{n-1},$$

which allows us to transfer the ring structure of  $F[x]_n$  to a ring structure of  $F^n$ . The sum in this ring is the ordinary sum of vectors, and the product  $p = ab$  of the vectors  $a = (a_1, \dots, a_n)$  and  $b = (b_1, \dots, b_n)$  is obtained by accumulating the product  $a_i b_j$  in the component  $(i + j \bmod n) - 1$  of  $p$ ,  $1 \leq i, j \leq n$ .

**Notation.** If  $f \in F[X]$  and  $a \in F[x]$ ,  $fa$  means  $\bar{f}a$ .

**Lemma.**  $s(a) = xa$ , for all  $a \in F[x]_n$ , where

$$\sigma(a_1 + a_2x + \cdots + a_nx^{n-1}) = a_n + a_1x + \cdots + a_{n-1}x^{n-1}.$$

**Proof.** The product  $xa$  is  $a_1x + a_2x^2 + \cdots + a_nx^n$ . Since  $x^n = 1$ , we have

$$xa = a_n + a_1x + \cdots + a_{n-1}x^{n-1} = \sigma(a).$$

**Proposition.** A linear code  $C$  of length  $n$  is cyclic if and only if it is an ideal of  $F[x]_n$ .

**Proof.** The lemma indicates that  $C$  is cyclic if and only if  $x\mathcal{C} \subseteq \mathcal{C}$ . Now it is enough to observe that this condition implies that  $x^j\mathcal{C} \subseteq \mathcal{C}$  for any positive integer  $j$ , and therefore that  $a\mathcal{C} \subseteq \mathcal{C}$  for all  $a \in F[x]_n$ .

## Construction of cyclic codes

Given  $f \in F[X]$ , we set  $C_f = (\bar{f}) \subseteq F[x]_n$ . Note that  $C_f = \pi((f))$ .

**Lemma.** If  $g$  and  $g'$  are monic divisors of  $X^n - 1$ , then

1.  $C_g \subseteq C_{g'}$  if and only if  $g' | g$ .
2.  $C_g = C_{g'}$  if and only if  $g = g'$ .

**Proof.** The inclusion  $C_g \subseteq C_{g'}$  implies that  $\bar{g} = a\bar{g'}$ , for some  $a \in F[x]_n$ . If  $a = \bar{f}$ ,  $f \in F[X]$ , the relation  $g = fg'$  holds mod  $X^n - 1$ . Since  $g'$  is a divisor of  $X^n - 1$ , say  $X^n - 1 = hg'$ , we get  $g = fg' + hg' = (f + h)g'$ , and so  $g' | g$ . That  $g' | g$  implies  $C_g \subseteq C_{g'}$  is clear, and 2 is a direct consequence of 1 and the fact that  $g$  and  $g'$  are monic.

**Proposition.** Given a cyclic code  $C$  of length  $n$ , there exists a unique monic divisor  $g$  of  $X^n - 1$  such that  $C = C_g$ .

**Proof.** Let  $g \in F[X]$  be a non-zero polynomial of minimal degree among those that satisfy  $g \in C$  (note that  $\pi(X^n - 1) = x^n - 1 = 0 \in C$ , so that  $g$  exists and  $\deg(g) \leq n$ ). We can assume that  $g$  is monic. Since  $C_g = (\bar{g}) \subseteq C$ , we will end the proof of existence by establishing that

- $g$  is a divisor of  $X^n - 1$
- $C \subseteq C_g$ .

Indeed, if  $q$  and  $r$  are the quotient and remainder of the division of  $X^n - 1$  by  $g$ , so that

$$X^n - 1 = qg + r, \quad \deg(r) < \deg(g),$$

then  $0 = x^n - 1 = \bar{q}\bar{g} + \bar{r}$ , and therefore  $\bar{r} = -\bar{q}\bar{g} \in C_g \subseteq C$ . Consequently  $r = 0$ , by definition of  $g$ , and hence  $g \mid X^n - 1$ .

Let now  $a \in C$ . To see that  $a \in C_g$ , let

$$a_X = a_1 + a_2X + \cdots + a_nX^{n-1},$$

so that  $a = a_1 + a_2x + \cdots + a_nx^{n-1} = \bar{a}_X$ . Let  $q_a$  and  $r_a$  be the quotient and remainder of the Euclidean division of  $a_X$  by  $g$ :

$$a_X = q_a g + r_a, \deg(r_a) < \deg(g).$$

Thus  $\bar{r}_a = a - \bar{q}_a \bar{g} \in C$ ,  $r_a = 0$  and  $a = \bar{q}_a \bar{g} \in C_g$ .

The uniqueness of  $g$  is an immediate consequence of the previous lemma. □

The monic divisor  $g$  of  $X^n - 1$  such that  $C = C_g$  is called the *generating polynomial* of  $C$ . The polynomial  $\hat{g} = (X^n - 1)/g$  is called the *control polynomial* of  $C$  (we will see a reason for this term in a short while).

**Remark.** Given  $f \in F[X]$ , the generating polynomial of  $C_f$  is  $g = \gcd(X^n - 1, f)$ . Observe that

$$C_f = (\bar{f}) = \pi((f)) = \pi((f) + (X^n - 1)) = \pi(\text{mcd}(f, X^n - 1)).$$

## Dimension of $C_g$

**Proposition.**  $\dim(C_g) = \deg(\hat{g}) = n - \deg(g)$ .

**Proof.** It is enough to consider the  $F$ -linear map  $F[X] \rightarrow F[x]_n$ ,  $f \mapsto f\bar{g}$ , and notice that its image is  $(\bar{g}) = C_g$  and its kernel  $(\hat{g})$ .  $\square$

**Notations.** Instead of the set of indices  $\{1, \dots, n\}$ , we will use the set  $\{0, 1, \dots, n-1\}$ . In this way  $a = (a_0, a_1, \dots, a_{n-1})$  is identified with the polynomial

$$a(x) = a_0 + a_1x + \dots + a_{n-1}x^{n-1}.$$

Given  $a \in F[x]_n$ , we set  $\ell(a) = a_{n-1}$  (the leading coefficient of  $a$ ) and

$$\tilde{a} = a_{n-1} + a_{n-2}x + \dots + a_0x^{n-1}.$$

Then we have that

$$\ell(\tilde{a}b) = a_0b_0 + \dots + a_{n-1}b_{n-1}$$

(the scalar product of  $a, b \in F[x]_n$ ).

If  $p$  is the characteristic of  $F$ , suppose that  $p \nmid n$ . In particular we have  $n \neq 0$  in  $F$ .

Since  $D(X^n - 1) = nX^{n-1} \sim X^{n-1}$  has no non-constant common divisors with  $X^n - 1$ , the irreducible factors  $f_1, \dots, f_r$  of  $X^n - 1$  are simple (i.e., have multiplicity 1):

$$X^n - 1 = f_1 \cdots f_r .$$

Thus the monic divisors of  $X^n - 1$  have the form

$$g = f_{i_1} \cdots f_{i_s}, \quad 1 \leq i_1 < \cdots < i_s \leq r .$$

From this it follows that there are exactly  $2^r$  cyclic codes of length  $n$ . Remark, however, that there may be non-trivial equivalences among these codes (we will see examples later on).



## Generating matrices

The polynomials  $u_i = x^i \bar{g}$  ( $0 \leq i < k$ ) form a basis of  $C_g$ . If

$$g = g_0 + g_1x + \cdots + g_{n-k}x^{n-k},$$

then the  $k \times n$  matrix

$$G = \begin{pmatrix} g_0 & g_1 & \cdots & g_{n-k} & 0 & 0 & \cdots & 0 \\ 0 & g_0 & g_1 & \cdots & g_{n-k} & 0 & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & \cdots & \ddots & \ddots & \vdots \\ 0 & \cdots & 0 & g_0 & g_1 & \cdots & g_{n-k} & 0 \\ 0 & \cdots & \cdots & 0 & g_0 & g_1 & \cdots & g_{n-k} \end{pmatrix}$$

is a generating matrix of  $C = C_g$ . Note that  $g_{n-k} = 1$  ( $g$  is monic).

**Remark.** The coding  $F^k \rightarrow C_g$ ,  $u \mapsto uG$ , can be described, in terms of polynomials, as the map  $F[x]_k \rightarrow C_g$ ,  $u \mapsto u\bar{g}$ .

## Normalized generating matrix

For  $0 \leq j < k$ , let

$$x^{n-k+j} = q_j g + r_j, \quad \deg(r_j) < \deg(g).$$

Then the  $k$  polynomials  $v_j = x^{n-k+j} - r_j$  form a basis of  $C_g$  and the corresponding matrix of coefficients,  $G'$ , is normalized, in the sense that the submatrix formed by the last  $k$  columns of  $G'$  is the identity matrix  $I_k$ :

$$G' = -R | I_k, \quad R = (r_{ji})$$

Therefore,  $H' = I_{n-k} | R^T$  is a *normalized control matrix*.

**Remark.** Let  $u \in F^k \simeq F[x]_k$ . Then the coding of  $u$  using the matrix  $G'$  is obtained by substituting the monomials  $x^j$  of  $u$  by  $v_j$  ( $0 \leq j < k$ ):

$$u_0 + u_1 x + \cdots + u_{k-1} x^{k-1} \mapsto u_0 v_0 + u_1 v_1 + \cdots + u_{k-1} v_{k-1}.$$

Moreover, if  $H'$  is the control matrix of  $C_g$  associated to  $G'$ , then the syndrome  $s \in F^{n-k} \simeq F[x]_{n-k}$  of  $a \in F^n \simeq F[x]_n$  coincides with the remainder of the division of  $a$  by  $g$ .

Notice that  $s = aH'^T = a \begin{pmatrix} I_{n-k} \\ R \end{pmatrix}$ .

## The dual code

**Proposition.**  $C_g^\perp = \tilde{C}_{\hat{g}}$ , where  $\tilde{C}_{\hat{g}}$  is the image of  $C_{\hat{g}}$  by the map  $a \mapsto \tilde{a}$ .

**Proof.** Since  $C_g^\perp$  and  $\tilde{C}_{\hat{g}}$  have dimension  $n - k$ , it is enough to see that  $\tilde{C}_{\hat{g}} \subseteq C_g^\perp$ . But this is clear: if  $a \in C_{\hat{g}}$  and  $b \in C_g$ , then  $ab = 0$  and consequently  $\langle \tilde{a} | b \rangle = \ell(\tilde{a}b) = \ell(ab) = 0$ .  $\square$

Since  $\hat{g}, \hat{g}x, \dots, \hat{g}x^{n-k-1}$  form a basis of  $C_{\hat{g}}$ , if we let

$$\hat{g} = h_0 + h_1X + \dots + h_kX^k,$$

then

$$H = \begin{pmatrix} h_k & h_{k-1} & \dots & h_0 & 0 & 0 & \dots & 0 \\ 0 & h_k & h_{k-1} & \dots & h_0 & 0 & \dots & 0 \\ \vdots & \ddots & \ddots & \ddots & \dots & \ddots & \ddots & \vdots \\ 0 & \dots & 0 & h_k & h_{k-1} & \dots & h_0 & 0 \\ 0 & \dots & \dots & 0 & h_k & h_{k-1} & \dots & h_0 \end{pmatrix}$$

is a control matrix of  $C_g$ .

**Example** (The ternary Golay code). The polynomial

$$g = X^5 - X^3 + X^2 - X - 1$$

is an irreducible factor of  $X^{11} - 1$  over  $\mathbb{Z}_3$ . In fact, the irreducible factors of  $X^{11} - 1$  over  $\mathbb{Z}_3$  are  $X - 1$ ,  $g$ , and  $X^5 + X^4 - X^3 + X^2 - 1$  (notice that the 3-cyclotomic classes mod 11 are  $\{0\}$ ,  $\{1,3,9,5,4\}$  and  $\{2,6,7,10,8\}$ , and this shows that  $X^{11} - 1$  has two irreducible factors of degree 5).

Let  $q = 3$ ,  $n = 11$  and  $C = C_g$ . Then the type of  $C$  is  $[11,6]$ . Let us see that the minimum distance of  $C$  is 5.

Let  $G$  be the normalized generating matrix of  $C$ . The matrix  $\bar{G}$  (parity completion of  $G$ ) satisfies that  $\bar{G}\bar{G}^T = 0$  (in order to preserve the submatrix  $I_6$  to the right, we place the parity symbols of the rows of  $G$  to the left, so that they form the first column of  $\bar{G}$ ). It follows that the code  $\bar{C} = \langle \bar{G} \rangle$  is selfdual and therefore that the weight of any element of  $\bar{C}$  is a multiple of 3. Since the rows of  $\bar{G}$  have weight 6, the minimum distance

of  $\bar{C}$  is 3 or 6. But every row of  $\bar{G}$  has exactly one 0 in the first 6 columns, and the position of this 0 is different for different rows. This implies that a linear combination of two rows of  $\bar{G}$  has weight  $\geq 2 + 2$  and hence  $\geq 6$ . Since the weight of this combination is clearly  $\leq 12 - 4 = 8$ , it must have weight 6. In particular, it contains exactly 2 zeros in its first six positions. This proves that a linear combination of 3 rows of  $\bar{G}$  has at least  $1 + 3$  non-zero components, and therefore it has at least weight 6. Since the combinations of 4 or more rows of  $\bar{G}$  have weight  $\geq 4$ , this completes the proof.

$$\bar{G} = \begin{pmatrix} 1 & 2 & 2 & 1 & 2 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 2 & 2 & 1 & 2 & 0 & 1 & 0 & 0 & 0 & 0 \\ 2 & 2 & 2 & 0 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 2 & 2 & 2 & 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 2 & 1 & 2 & 1 & 0 & 2 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

CC examples

cyclic-normalized-matrix[12,6]\_3

## Roots of a cyclic code

Let  $F$  be a finite field and  $q = |F|$ . Let  $C$  be a cyclic  $F$ -code of length  $n$  and  $g$  its generating polynomial. The roots of  $C$  are, by definition, the roots of  $g$  in a splitting field  $F'$  of  $X^n - 1$  over  $F$  (recall that  $|F'| = q^m$ , where  $m = e_n(q)$ ).

If  $\omega \in F'$  is a primitive  $n$ -th root of unity and we write  $E_g$  to denote the set of those  $k \in \mathbb{Z}_n$  such that  $\omega^k$  is a root of  $g$ , then  $E_g$  is the union of the  $q$ -cyclotomic classes corresponding to the monic irreducible divisors of  $g$ .

If  $E'_g \subseteq E_g$  is a subset formed by an element of each  $q$ -cyclotomic class contained in  $E_g$ , we say that

$$M = \{\omega^k | k \in E'_g\}$$

is a minimal set of roots of  $C = C_g$ .

**Proposition.** If  $M$  is a minimal set of roots of a cyclic code  $C$ , then

$$C = \{a \in F[x]_n \mid a(\xi) = 0 \text{ for all } \xi \in M\}.$$

**Determination of a cyclic code by specifying its roots.** Let now  $\xi_1, \dots, \xi_r \in F'$  be  $n$ -th roots of unity

$$C_{\xi_1, \dots, \xi_r} = \{a \in F[x]_n \mid a(\xi_j) = 0 \text{ for all } j = 1, \dots, r\}.$$

Then  $C_{\xi_1, \dots, \xi_r}$  is an ideal of  $F[x]_n$  and we say that it is the cyclic code determined by  $\xi_1, \dots, \xi_r$ .

**Proposition.** The generating polynomial of  $C_{\xi_1, \dots, \xi_r}$  is

$$g = \text{lcm}(g_1, \dots, g_r),$$

where  $g_i$  is the minimal polynomial of  $\xi_i$ .



**Control matrix of  $C_{\xi_1, \dots, \xi_r}$ .** The condition  $a(\xi_j) = 0$  can be seen as a linear relation on the components  $a_0, \dots, a_{n-1}$  of  $a$  with coefficients  $1, \xi_j, \dots, \xi_j^{n-1}$ :

$$a_0 + a_1 \xi_j + \dots + a_{n-1} \xi_j^{n-1} = 0. \quad [*]$$

In other words, the matrix  $V_n(\xi_1, \dots, \xi_r)^T \in M_n^r(F')$  is a control matrix of  $C_{\xi_1, \dots, \xi_r}$ .

If we express each  $\xi_j^i$  as a vector of the components relative to a basis of  $F'$  over  $F$ , the relation  $[*]$  is equivalent to  $m$  linear relations with coefficients in  $F$  that have to be satisfied by  $a_0, \dots, a_{n-1}$ . In this way we obtain a control matrix  $\bar{H} \in M_n^m(F)$  with coefficients in  $F$ , and from  $\bar{H}$  we can form a control matrix  $H \in M_n^{n-k}(F)$  by eliminating linearly dependent rows.

**Example** (some Hamming codes are cyclic). Let  $m$  be a positive integer such that  $\gcd(m, q - 1) = 1$ , and define

$$n = (q^m - 1)/(q - 1).$$

Let  $\omega \in F'$  be an  $n$ -th root of unity of order  $n$  (if  $\alpha \in F'$  is a primitive element, we can take  $\omega = \alpha^{q-1}$ ). Then  $C_\omega$  is equivalent to the Hamming code of codimension  $m$ ,  $\text{Ham}_q(m)$ . Indeed,

$$n = (q - 1)(q^{m-2} + 2q^{m-3} + \cdots + m - 1) + m,$$

as it can be easily checked, and hence  $\gcd(n, q - 1) = 1$ . It follows that  $\omega^{q-1}$  is an  $n$ -th root of unity of order  $n$ , and therefore  $\omega^{i(q-1)} \neq 1$  for  $i = 1, \dots, n - 1$ . In particular,  $\omega^i \notin F$ . Moreover,  $\omega^i$  and  $\omega^j$  are linearly independent over  $F$  if  $i \neq j$ . As  $n$  is the greatest number of elements of  $F'$  that are pair-wise linearly independent over  $F$ , the claim follows from the description above of the control matrix  $C_\omega$  and the definition of the Hamming code  $\text{Ham}_q(m)$ .

## ***BCH codes***

Let  $\omega \in F'$  be a primitive  $n$ -th root of unity. Let  $\delta \geq 2$  and  $\ell \geq 1$  be integers. Let  $BCH_\omega(\delta, \ell)$  denote the cyclic code of length  $n$  generated by

$$g = \text{lcm}(p_{\omega^\ell}, p_{\omega^{\ell+1}}, \dots, p_{\omega^{\ell+\delta-2}}).$$

It is called the  $BCH^{N1}$  code with *design* (or *intentional*) *distance*  $\delta$  and *offset*  $\ell$ .

In the case  $\ell = 1$ , we write  $BCH_\omega(\delta)$  instead of  $BCH_\omega(\delta, 1)$  and we say that they are *strict*  $BCH$  codes.

An  $BCH$  is called *primitive* if  $n = q^m - 1$  (note that this condition is equivalent to say that  $\omega$  is a primitive element of  $F'$ ).

**Theorem** (The *BCH* bound). If  $d$  is the minimum distance of  $BCH_\omega(\delta, \ell)$ , then  $d \geq \delta$ .

**Proof.**<sup>N2</sup> First note that an element  $a \in F[x]_n$  is in  $BCH_\omega(\delta, \ell)$  if and only if  $a(\omega^{\ell+i}) = 0$  for all  $i \in \{0, \dots, \delta - 2\}$ . But the relation  $a(\omega^{\ell+i}) = 0$  is equivalent to

$$a_0 + a_1\omega^{\ell+i} + \dots + a_{n-1}\omega^{(n-1)(\ell+i)} = 0,$$

and hence

$$(1, \omega^{\ell+i}, \omega^{2(\ell+i)}, \dots, \omega^{(n-1)(\ell+i)}) \quad [*]$$

is a control vector of  $BCH_\omega(\delta, \ell)$ . Now we claim that the matrix  $H$  whose rows are the vectors  $[*]$  has the property that any  $\delta - 1$  of its columns are linearly independent. Indeed, the determinant formed by the columns  $j_1, \dots, j_{\delta-1}$  is equal to

$$\begin{vmatrix} \omega^{j_1 \ell} & \dots & \omega^{j_{\delta-1} \ell} \\ \omega^{j_1(\ell+1)} & \dots & \omega^{j_{\delta-1}(\ell+1)} \\ \vdots & & \vdots \\ \omega^{j_1(\ell+\delta-2)} & \dots & \omega^{j_{\delta-1}(\ell+\delta-2)} \end{vmatrix}$$

and this is non-zero if  $j_1, \dots, j_{\delta-1}$  are distinct, as it is equal to  $\omega^{j_1 \ell} \dots \omega^{j_{\delta-1} \ell} \cdot V_{\delta-1}(\omega^{j_1}, \dots, \omega^{j_{\delta-1}})$ .

**Example** (The minimum distance of a **BCH** code can be greater than the design distance). Let  $q = 2$  and  $m = 4$ . Let  $\omega$  be a primitive element of  $\mathbb{F}_{16}$ . Since  $\omega$  has order 15, we can apply the previous results to the case  $q = 2, m = 4$  and  $n = 15$ . The 2-cyclotomic classes mod  $n$  are

$$\{1, 2, 4, 8\}, \{3, 6, 12, 9\}, \{5, 10\}, \{7, 14, 13, 11\}.$$

This shows, if we set  $C_\delta = BCH_\omega(\delta)$  and  $d_\delta = d_{C_\delta}$ , that

$$C_4 = C_5, \text{ and hence } d_4 = d_5 \geq 5, \text{ and}$$

$$C_6 = C_7, \text{ and hence } d_6 = d_7 \geq 7.$$

Note that the dimension of  $C_4 = C_5$  is  $15 - 2 \cdot 4 = 7$ , and that the dimension of  $C_6 = C_7$  is  $15 - 2 \cdot 4 - 2 = 5$ .

**Example.** It is similar to the preceding example, with  $q = 2$  and  $m = 5$ . Let  $\omega$  be a primitive element of  $\mathbb{F}_{32}$ . The 2-cyclotomic classes mod 31 are

$$\{1, 2, 4, 8, 16\}, \{3, 6, 12, 24, 17\}, \{5, 10, 20, 9, 18\}, \\ \{7, 14, 28, 25, 19\}, \{11, 22, 13, 26, 21\}, \{15, 30, 29, 27, 23\}.$$

Thus we see, with similar conventions as in the previous example, that

$$C_2 = C_3, C_4 = C_5, C_6 = C_7, C_8 = C_9 = C_{10} = C_{11}, C_{12} = C_{13} = C_{14} = C_{15}.$$

Therefore

$$d_2 = d_3 \geq 3, d_4 = d_5 \geq 5, d_6 = d_7 \geq 7,$$

$$d_8 = d_9 = d_{10} = d_{11} \geq 11, \text{ and}$$

$$d_{12} = d_{13} = d_{14} = d_{15} \geq 15.$$

If we set  $k_\delta = \dim(C_\delta)$ , then we have

$$k_2 = 31 - 5 = 26, k_4 = 31 - 2 \cdot 5 = 21, k_6 = 31 - 3 \cdot 5 = 16,$$

$$k_8 = 31 - 4 \cdot 5 = 11, k_{12} = 31 - 5 \cdot 5 = 6.$$

**Exercise.** If  $\omega$  is a primitive element  $\mathbb{F}_{64}$ , prove that the minimum distance of  $BCH_\omega(16)$  is  $\geq 21$  and that its dimension is 18.

Example CC

```
# Given q and m, to find a table
#   {s-> {k_s, d_s} with s in 2..n}
# where k_s is dimension of BCH_{GF(q^m)}(s)
# and d_s a lower bound for the minimum distance.
# q = 2 is default value of q.

bch_dimension_distancelb(m) :=
    bch_dimension_distancelb(m, 2);
```

```

bch_dimensionlbs(m,q) :=
begin
  local n=q^m-1, j, C={}, D={}
  for k in 2..n do
    j=k-1
    C=union(C,cyclotomic_class(j,n,q))
    while index(j,C) != 0 do j=j+1 end
    D=D|{k->{n-length(C), j}}
    if j==n then return D else continue end
  end
end;

```

```

X=bch_dimension_distancelb(6);
{x.2→x.1 with x in X}
→
{
  {1,63}→32,
  {7,31}→(28,29,30,31),
  {10,27}→(24,25,26,27),
  {16,23}→(22,23),
  {18,21}→(16,17,18,19,20,21),

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$\{24, 15\} \rightarrow (14, 15),$   
 $\{30, 13\} \rightarrow (12, 13),$   
 $\{36, 11\} \rightarrow (10, 11),$   
 $\{39, 9\} \rightarrow (8, 9),$   
 $\{45, 7\} \rightarrow (6, 7),$   
 $\{51, 5\} \rightarrow (4, 5),$   
 $\{57, 3\} \rightarrow (2, 3)$   
 $\}$

In relation to the dimension of  $BCH_\omega(\delta, \ell)$ , the following bound holds:

**Proposition.** If  $m = e_n(q)$ , then

$$\dim BCH_\omega(\delta) \geq n - m(\delta - 1).$$

**Proof:** If  $g$  is the generating polynomial of  $BCH_\omega(\delta, \ell)$ , then

$$\dim BCH_\omega(\delta) = n - \deg(g).$$

Since  $g$  is the least common multiple of the minimal polynomials

$$p_i = p_{\omega^{\ell+i}}, i = 1, \dots, \ell - 1, \text{ and}$$

$$\deg(p_{\omega^{\ell+i}}) \leq [F':F] = m,$$

it is clear that  $\deg(g) \leq m(\delta - 1)$ , and this implies the claimed inequality.

### *Improving the dimension bound in the binary case*

The bound in the previous proposition can be improved considerably for strict binary **BCH** codes. Let  $C_i$  be the 2-cyclotomic class of  $i \bmod n$ . If we set  $p_i$  to denote the minimal polynomial of  $\omega^i$ , where  $\omega$  is a primitive  $n$ -th root of unity, then  $p_i = p_{2i}$ , as  $(2i \bmod n) \in C_i$ . We get, if  $t \geq 1$ , that

$$\begin{aligned} \text{lcm}(p_1, p_2, \dots, p_{2t}) &= \text{lcm}(p_1, p_2, \dots, p_{2t-1}) \\ &= \text{lcm}(p_1, p_3, \dots, p_{2t-1}). \end{aligned}$$

Now the first of these equalities tells us that  $BCH_\omega(2t+1) = BCH_\omega(2t)$ , so that it is enough to consider, among the strict binary **BCH** codes, those with odd design distance.

**Proposition.** If  $k$  is the dimension of the strict binary code

$$BCH_{\omega}(2t + 1),$$

then  $k \geq n - tm$ , where  $m = e_n(2)$ .

**Proof:** Let  $g = \text{lcm}(p_1, p_2, \dots, p_{2t})$  be the generating polynomial of  $BCH_{\omega}(2t + 1)$ . Then we know that  $k = n - \deg(g)$ . But

$$g = \text{lcm}(p_1, p_3, \dots, p_{2t-1})$$

and hence  $\deg(g)$  is at most the sum of the degrees of  $p_1, p_3, \dots, p_{2t-1}$ . Since the degree of  $p_i$  is at most  $m$ , it follows that  $\deg(g) \leq tm$  and this establishes the claim.

**Example.** If we apply the bound of the previous proposition to the code  $BCH_{\omega}(8) = BCH_{\omega}(9)$ ,  $\omega$  be a primitive element of  $\mathbb{F}_{32}$ , we get that

$$k \geq n - tm = 31 - 4 \cdot 5 = 11.$$

Since the dimension of this code is exactly 11, we see that the bound in the proposition cannot be improved in general.

**Exercise.** Let

$$f = X^4 + X + 1 \in \mathbb{Z}_2[X], \quad F = \mathbb{Z}_2[X]/(f),$$

and let  $\alpha$  be a primitive element of  $F$ . Find the dimension and a control matrix of  $BCH_\alpha(4)$ .

Example CC: `bch_16(4)` .

**Example** (The binary Golay code is cyclic). Let  $q = 2$ ,  $n = 23$  and  $m = e_n(2) = 11$ . The splitting field of  $X^{23} - 1 \in \mathbb{Z}_2[X]$  is  $L = \mathbb{F}_{2^{11}}$ . The 2-cyclotomic classes mod 23 are

$$C_0 = \{0\},$$

$$C_1 = \{1, 2, 4, 8, 16, 9, 18, 13, 3, 6, 12\},$$

$$C_5 = \{5, 10, 20, 17, 11, 22, 21, 19, 15, 7, 14\}.$$

If  $\omega \in L$  is a primitive 23-rd root of unity, the generating polynomial of  $C = BCH_\omega(5)$  is  $g = \text{lcm}(p_1, p_2, p_3, p_4) = p_1$ . Since  $\deg(p_1) = |C_1| = 11$ , it turns out that  $\dim(C) = 23 - 11 = 12$ . Moreover, the minimum distance of  $C$  is 7 (see next exercise; note that by the BCH bound it is  $\geq 5$ ) and therefore  $C$  is a binary perfect code of type  $[23, 12, 7]$ .

**Exercise.** Show that the minimum distance of the binary code in the previous example is 7. [*Hint.* Adapt the arguments in the presentation of the ternary Golay code as a cyclic code].

Example CC: golay2

The **RS** codes with  $n = q - 1$  turn out to be strict primitive **BCH** codes.

**Proposition.** If  $\omega$  is a primitive element of a finite field  $F = \mathbb{F}_q$  and  $n = q - 1$ , then

$$BCH_\omega(\delta) = RS_{1, \omega, \dots, \omega^{n-1}}(n - \delta + 1).$$

**Proof:** The Vandermonde matrix  $H = V_{1,\delta-1}(1, \omega, \dots, \omega^{n-1})$  is a control matrix of  $C = RS_{1,\omega,\dots,\omega^{n-1}}(n - \delta + 1)$ , **P26**. Since the  $i$ -th row of  $H$  is  $1, \omega^i, \dots, \omega^{i(n-1)}$ , the vectors  $\mathbf{a} = (a_0, a_1, \dots, a_{n-1})$  of  $C$  are those that satisfy  $a_0 + a_1\omega^i + \dots + a_{n-1}\omega^{i(n-1)} = 0$  for  $i = 1, \dots, \delta - 1$ . In terms of the polynomial  $a_X$ , this is equivalent to say that  $\omega^i$  is a root of  $a_X$  for  $i = 1, \dots, \delta - 1$  and thereby  $C$  coincides with the cyclic code corresponding to the roots  $\omega, \dots, \omega^{\delta-1}$ . But this code is precisely  $BCH_\omega(\delta)$ .

## Notes

**N1.** From *Bose–Chaudhuri–Hocquenghem*. The BCH codes were proposed in 1959 by Alexis Hocquenghem (1908?-1990), in the paper *Codes correcteurs d'erreurs* (Chifres 2, 147-156), and in 1960, independently, by Raj Chandra Bose (1901-1987) and Dwijendra Kumar Ray-Chaudhuri (b. 1933), in the papers *On a class of error correcting binary group codes* and

*Further results on error correcting binary group codes* (Inform. Control 3, 68-79 and 279-290).

**N2.** In next chapter we will see that the BCH codes are a special case of alternant codes and that the BCH bound is a special case of the ‘alternant bound’. Actually the alternant bound is a straightforward transcription of the BCH bound to the more general setting of alternant codes.