

# TC10 / 3. Finite fields

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## The ring $\mathbb{Z}_n$

Set  $\mathbb{Z}_n$  to denote the ring  $\mathbb{Z}/(n)$  of classes of integers modulo  $n$ . We usually represent its elements by the elements of the set  $\{0, 1, \dots, n-1\}$ , with the operations of sum and product the ordinary sum and product of integers, but reduced modulo  $n$ .

We will also set  $\mathbb{Z}_n^*$  to denote the multiplicative group of invertible elements of  $\mathbb{Z}_n$ .<sup>N1</sup>

An element  $k \in \{0, 1, \dots, n-1\}$  is invertible modulo  $n$  if and only if  $\gcd(k, n) = 1$ . In particular we see that  $\mathbb{Z}_n$  is a field if and only if  $n$  is prime.

We have, therefore,  $|\mathbb{Z}_n^*| = \varphi(n)$ , where  $\varphi(n)$  is Euler's (totient) function (by definition,  $\varphi(n)$  is the number of  $k \in \{0, 1, \dots, n-1\}$  such that  $\gcd(k, n) = 1$ ). In particular we have

$$a^{\varphi(n)} \equiv 1 \pmod{n} \text{ for any integer } a \text{ such that } \gcd(a, n) = 1. \text{ }^{\text{N2}}$$

The function  $\varphi(n)$  has the following properties:

1.  $\varphi(nn') = \varphi(n)\varphi(n')$  if  $\text{mcd}(n, n') = 1$ .
2. If  $p$  is prime,  $\varphi(p^r) = p^{r-1}(p - 1)$ .

**Proposition.**  $\sum_{d|n} \varphi(d) = n$ .

## Construction of finite fields

**A.** If  $F$  is a finite field of cardinal  $q$ , then there exists a prime number  $p$  and a positive integer  $r$  such that  $q = p^r$ . The number  $p$  is called the *characteristic* of  $F$ .

**B.** If  $F$  is a finite field and  $K$  a subfield of  $F$  with cardinal  $q$ , then there is positive integer  $r$  such that  $|F| = q^r$ . If  $L$  is another subfield of  $F$  such that  $K \subseteq L$ , then  $|L| = q^s$ , where  $s$  is a divisor of  $r$ .

The converse of **A** is also valid: if  $p$  is a prime number and  $r$  is a positive integer, then there exist fields of cardinal  $q = p^r$ . Moreover, two fields of cardinal  $p^r$  are isomorphic (not canonically).

Let us summarize the essential ideas that are involved in proving these statements.

If  $K$  is a field, and  $f = a_0 + a_1X + \cdots + a_{r-1}X^{r-1} + X^r \in K[X]$ , then we have the quotient ring  $F = K[X]/(f)$ . This ring is a  $K$ -vector space of dimension  $r$ . More explicitly, if  $x = [X]_f$  (the class of  $X$  mod  $f$ ), then  $1, x, \dots, x^{r-1}$  is a basis of  $F$  over  $K$ . In particular we have that if  $K$  is finite and  $|K| = q$ , then  $|f| = q^r$ .

The ring  $F$  is a field if and only if  $f$  is irreducible over  $K$ . Therefore, we know how to construct a field of  $p^r$  elements ( $p$  prime and  $r$  a positive integer) if we know an irreducible polynomial of degree  $r$  over  $\mathbb{Z}_p$ . Thus we have that the existence of a finite field of cardinal  $p^r$  is a consequence of the following result.

**Theorem.** If  $K$  is a finite field, and  $r$  is any positive integer, there exist irreducible polynomials over  $K$  of degree  $r$ .

**Remark.** For  $r = 2$ , the number of monic reducible polynomials is  $(q + 1)q/2$ , while the number of monic polynomials of degree 2 is  $q^2$ . Hence the number of monic irreducible polynomials of degree 2 over  $K$  is  $I_2 = q(q - 1)/2$ .

A similar reasoning is valid for monic polynomial of degree 3. Indeed, there are  $q^3$  monic polynomials of degree 3, while the number of monic reducible polynomials of degree 3 is

$$R_q = \binom{q + 2}{3} + \frac{q^2(q - 1)}{2} = \frac{2}{3}q^3 + \frac{1}{3}q$$

(the first summand counts polynomials that are the product of three monic linear factors and the second those that are the product of a monic linear factor and monic quadratic factor. It follows that the number of monic irreducible polynomials of degree 3 is

$$I_3 = q^3 - R_q = \frac{q^3}{3} - \frac{q}{3}.$$

**Example.**  $\mathbb{Z}_2[X]/(X^2 + X + 1)$  is a field of 4 elements.

**Example.**  $\mathbb{Z}_2[X]/(X^3 + X + 1)$  is a field of 8 elements.

**Examples.** If  $a \in K$ ,  $K$  a field,  $X^2 - a$  is irreducible over  $K$  if and only if  $a$  is not a square in  $K$ . For example,  $X^2 + 1$  is irreducible over  $\mathbb{Z}_3$ , as the squares in  $\mathbb{Z}_3$  are 0 and 1. Similarly, the squares of  $\mathbb{Z}_7$  are 0, 1, 4 and 2, and hence the polynomials

$$X^2 - 3 = X^2 + 4, \quad X^2 - 5 = X^2 + 2, \quad X^2 - 6 = X^2 + 1$$

are irreducible over  $\mathbb{Z}_7$ .

**Examples.** If  $a \in K$ ,  $X^3 - a$  is irreducible over  $K$  if and only if  $a$  is not a cube in  $K$ . Since the cubes in  $\mathbb{Z}_7$  are 0, 1 and 6, the polynomials

$$X^3 - 2 = X^3 + 5, \quad X^3 - 3 = X^3 + 4, \quad X^3 - 4 = X^3 + 3 \quad \text{and} \quad X^3 - 5 = X^3 + 2$$

are irreducible over  $\mathbb{Z}_7$ .

## The Frobenius automorphism

In a finite field  $F$  of characteristic  $p$ , the map  $F \rightarrow F$  such that  $x \mapsto x^p$  is an automorphism of  $F$ . It is called the *Frobenius automorphism* of  $F$ .

The subfield of the elements  $x \in F$  such that  $x^p = x$  is  $\mathbb{Z}_p$ .

If  $K$  is a subfield of  $F$ , and  $|K| = q$ , the map  $F \rightarrow F$  such that  $x \mapsto x^q$  is an automorphism of  $F$  over  $K$ . It is called the *Frobenius automorphism of  $F$  relative to  $K$* .

The subfield of the elements  $x \in F$  such that  $x^q = x$  is  $K$ .



## Splitting field of a polynomial

**Theorem.** Given a field  $K$  and a monic polynomial  $f \in K[X]$ , there exists a field extension  $L/K$  and elements  $\alpha_1, \dots, \alpha_r \in L$  such that

$$f = \prod_{j=1}^r (X - \alpha_j) \text{ and } L = K(\alpha_1, \dots, \alpha_r).$$

**Proof.** Let  $r$  be the degree of  $f$ . If  $r = 1$ , it is sufficient to set  $L = K$ . So we may suppose that  $r > 1$ , and, by induction, that the theorem is true for polynomials of degree  $r - 1$ .

If every irreducible factor of  $f$  has degree 1, then  $f$  has  $r$  roots in  $K$  and again we can set  $L = K$ . We may suppose, therefore, that  $f$  has at least one irreducible factor, say  $g$ , of degree  $> 1$ . Define  $K' = K[X]/(g)$  and  $\alpha = [X]$ . Then the field extension  $K'/K$  and the element  $\alpha \in K'$  are such that  $K' = K(\alpha)$  and  $g(\alpha) = 0$ . Since  $g$  divides  $f$ , we also have  $f(\alpha) = 0$ , and hence  $f' = f/(X - \alpha) \in K'[X]$ . Now the proof follows by induction applied to  $f'$ . □

A field  $L$  that satisfies the conditions of the preceding theorem is called a *splitting field* of  $f$  over  $K$ .

**Theorem** (Splitting field of  $X^{q^r} - X$ ). Let  $K$  be a finite field and  $q = |K|$ . Let  $L$  be a decomposition field of  $h = X^{q^r} - X$  over  $K$ . Then  $|L| = q^r$ .

**Proof.** By definition of decomposition field, there exist elements  $\alpha_i \in L$ ,  $i = 1, \dots, q^r$ , such that

$$X^{q^r} - X = \prod_{i=1}^{q^r} (X - \alpha_i) \text{ and } L = K(\alpha_1, \dots, \alpha_{q^r}).$$

The elements  $\alpha_i$  are different, for otherwise  $h$  and  $h'$  would have a common root, which is impossible because  $h' = -1$ . On the other hand, the set  $\{\alpha_1, \dots, \alpha_{q^r}\}$  of roots of  $h$  in  $L$  is a subfield of  $L$ . Indeed, if  $\alpha$  and  $\beta$  are roots of  $h$  then

$$(\alpha - \beta)^{q^r} = \alpha^{q^r} - \beta^{q^r} = \alpha - \beta \text{ and } (\alpha\beta)^{q^r} = \alpha^{q^r} \beta^{q^r} = \alpha\beta,$$

and if  $\alpha$  is a non-zero root of  $h$ , then

$$(1/\alpha)^{q^r} = 1/\alpha^{q^r} = 1/\alpha$$

(that is,  $\alpha - \beta$ ,  $\alpha\beta$  are roots of  $h$ , and so is  $1/\alpha$  if  $\alpha \neq 0$ ). Since  $\lambda^q = \lambda$  for every  $\lambda \in K$ , the elements of  $K$  are also roots of  $h$ . It follows that

$$L = K(\alpha_1, \dots, \alpha_{q^r}) = \{\alpha_1, \dots, \alpha_{q^r}\}$$

and consequently  $|L| = q^r$ . □

**Corollary** (Existence of finite fields). If  $p$  is a prime number and  $r$  a positive integer, there exists a field of cardinal  $p^r$ .

**Proof.** The cardinal of the splitting field of  $X^{p^r} - X$  over  $\mathbb{Z}_p$  is  $p^r$ . □

**Corollary.** Given a field  $L$  such that  $|L| = p^r$  and a divisor  $s$  of  $r$ , there exists a unique subfield of  $L$  of cardinal  $p^s$ .

**Proof.** If  $r = st$  and we set  $q = p^s$ , then  $|L| = p^r = p^{st} = q^t$ . If there is a subfield  $K$  of  $L$  of cardinal  $q$ , it must be  $K = \{\alpha \in L \mid \alpha^q = \alpha\}$ . Let, then,  $K = \{\alpha \in L \mid \alpha^q = \alpha\}$ . Since the elements of  $K$  are the elements of  $L$

that are fixed by the automorphism  $\alpha \mapsto \alpha^q$ ,  $K$  is a subfield of  $L$ . To see that the cardinal of  $K$  is  $q$ , notice that  $X^{p^r} - X$  is divisible by  $X^q - X$ :

$$X^{p^r} - X = X^{q^t} - X = X(X^{q^{t-1}} - 1) = X(X^{(q-1)m} - 1) = X(X^{q-1} - 1)(\dots)$$

Thus  $X^q - X$  has  $q$  roots in  $L$  and this completes the proof.  $\square$

## Structure of the multiplicative group of a finite field

**Order of an element.** If  $K$  is a finite field and  $\alpha$  is a non-zero element of  $K$ , the *order* of  $\alpha$ ,  $\text{ord}(\alpha)$ , is the least positive integer  $r$  such that  $\alpha^r = 1$ . Note that  $r$  exists and that it is a divisor of  $q - 1$  ( $q$  the cardinal of  $K$ ). Moreover,  $r > 1$  except for  $\alpha = 1$ .

**Example.** In  $\mathbb{Z}_5$  we have  $\text{ord}(2) = \text{ord}(3) = 4$  and  $\text{ord}(4) = 2$ .

**Proposition.** Let  $K$  be a finite field,  $\alpha \in K - \{0\}$  and  $r = \text{ord}(\alpha)$ .

1. If  $x \in K - \{0\}$  is such that  $x^r = 1$ , then there exists an integer  $k$  such that  $x = \alpha^k$ .
2. For every integer  $k$ ,  $\text{ord}(\alpha^k) = r / \gcd(k, r)$ .
3. The elements of order  $r$  of  $K$  have the form  $\alpha^k$ , with  $\gcd(k, r) = 1$ . In particular we have that if there exists an element of order  $r$ , then there are exactly  $\varphi(r)$  elements of order  $r$ .

**Proof.** Consider the polynomial  $f = X^r - 1 \in K[X]$ . Since  $f$  has degree  $r$  and  $K$  is a field,  $f$  has at most  $r$  roots in  $K$ . Since  $r$  is the order of  $\alpha$ , all the elements of the subgroup

$$R = \{1, \alpha, \dots, \alpha^{r-1}\}$$

are roots of  $f$  and hence  $f$  has no roots other than the elements of  $R$ . Since  $x$  is a root of  $f$  by hypothesis,  $x \in R$ . This settles point 1.

To establish 2, let  $d = \gcd(r, k)$  and  $s = r/d$ . We want to see that  $\alpha^k$  has order  $s$ . If  $(\alpha^k)^m = 1$ , then  $\alpha^{km} = 1$  and hence  $r|km$ . Dividing by  $d$  we see that  $s|(m(k/d))$ . As  $s$  and  $k/d$  have no common prime divisors, it follows that  $s|m$ . Finally it is clear that

$$(\alpha^k)^s = \alpha^{k(r/d)} = \alpha^{r(k/d)} = 1$$

and this completes the proof of 2.

Finally 3 is a direct consequence of 1, 2 and the definition of  $\varphi(r)$ .  $\square$

**Primitive roots.** A non-zero element  $\alpha$  of a finite field  $K$  of cardinal  $q = p^r$  is said to be a *primitive root* (or a *primitive element*) of  $K$  if  $\text{ord}(\alpha) = q - 1$ . In this case it is clear that

$$K^* = \{1, \alpha, \dots, \alpha^{q-2}\}.$$

This representation of the elements of  $K$  is called *exponential representation* relative to a primitive root  $\alpha$ . With this representation, the product of elements of  $K$  is particularly easy to obtain:

$$\alpha^i \alpha^j = \alpha^k, \text{ where } k = i + j \bmod q - 1 .$$

**Examples.** The elements 2 and 3 are the primitive roots of  $\mathbb{Z}_5$ .

**Theorem.** Let  $K$  be a finite field of cardinal  $q$  and  $d$  a positive integer. If  $d \mid (q - 1)$ , then  $K$  contains exactly  $\varphi(d)$  elements of order  $d$ .

**Proof.** Let  $p(d)$  be the number of elements of  $K$  that have order  $d$ . It is clear that

$$\sum_{d \mid (q-1)} p(d) = q - 1 ,$$

as the order of any non-zero element is a divisor of  $q - 1$ . Now observe that  $p(d) = \varphi(d)$  if  $p(d) \neq 0$  and that  $\sum_{d \mid (q-1)} \varphi(d) = q - 1$ , with which the proof is easily completed.  $\square$

**Proposition.** Let  $L$  be a finite field,  $K$  a subfield of  $L$  and  $q = |K|$ . Let  $r$  be the positive integer such that  $|L| = q^r$ . If  $\alpha$  is a primitive element of  $L$ , then  $1, \alpha, \dots, \alpha^{r-1}$  is a basis of  $L$  as a  $K$ -vector space.

**Proof.** If  $\alpha \in L$  and  $1, \alpha, \dots, \alpha^{r-1}$  are linearly dependent over  $K$ , there would exist  $a_0, \dots, a_{r-1} \in K$ , not all zero, such that

$$a_0 + a_1\alpha + \dots + a_{r-1}\alpha^{r-1} = 0.$$

If we let

$$h = a_0 + a_1X + \dots + a_{r-1}X^{r-1} \in K[X],$$

then  $h$  is a polynomial of positive degree  $< r$  such that  $h(\alpha) = 0$ . It follows that there exists a monic irreducible polynomial  $f \in K[X]$  of degree  $< r$  such that  $f(\alpha) = 0$ . This implies that the kernel of the homomorphism  $K[X] \rightarrow L$  such that  $g \mapsto g(\alpha)$  is the ideal  $(f)$  and therefore that there is an inclusion of the field  $K' = K[X]/(f)$  in  $L$  that is the identity on  $K$  and such that it maps  $x = [X]_f$  to  $\alpha$ .



But then the order of  $\alpha$  divides  $|K'| - 1 = q^{\deg(f)} - 1 < q^r - 1$  and  $\alpha$  would not be a primitive root.  $\square$

**Primitive polynomials.** If  $f$  is an irreducible polynomial of degree  $r$  over  $\mathbb{Z}_p$ ,  $p$  a prime, then

$$\mathbb{Z}_p(x) = \mathbb{Z}_p[X]/(f)$$

is a field of cardinal  $p^r$ , where  $x$  is the class of  $X$  mod  $f$ . The element  $x$  may be primitive or not. In the case  $\mathbb{Z}_2(x) = \mathbb{Z}_2[X]/(X^2 + X + 1)$ , for example, it is primitive, but in the case  $\mathbb{Z}_3(x) = \mathbb{Z}_3[X]/(X^2 + 1)$ ,  $\text{ord}(x) = 4$ .

**Proposition.** Let  $K$  be a finite field and  $f \in K[X]$  a monic irreducible polynomial,  $f \neq X$ . Let  $x$  be the class of  $X$  in  $L = K[X]/(f)$ . If  $m = \deg(f)$ , then  $\text{ord}(x)$  is the least divisor  $d$  of  $q^m - 1$  such that  $f|(X^d - 1)$ .

**Proof.** The order of  $x$  is the least divisor  $d$  of

$$|L| - 1 = q^m - 1$$

such that  $x^d = 1$ . But this is equivalent to say that  $X^d - 1$  is 0 mod  $f$ , which is the same as asserting that  $X^d - 1$  is a multiple of  $f$ .  $\square$

If  $x$  is a primitive root, we say that  $f$  is *primitive* over  $\mathbb{Z}_p$ . The least divisor  $d$  of  $q^m - 1$  such that  $f|(X^d - 1)$  is called the *period* (or *exponent*) of  $f$ .

## The discrete logarithm

Suppose that  $L$  is a finite field and that  $\alpha \in L$  is a primitive element of  $L$ . Let  $K$  be a subfield of  $L$  and let  $q = |K|$ ,  $r = \dim_K(L)$ . We know that  $1, \alpha, \dots, \alpha^{r-1}$  form a basis of  $L$  over  $K$ , so that the elements of  $L$  can be uniquely written in the form

$$a_0 + a_1\alpha + \cdots a_{r-1}\alpha^{r-1}, \quad a_0, \dots, a_{r-1} \in K.$$

This representation of the elements of  $L$  is called *additive representation* over  $K$  relative to the primitive root  $\alpha$ .

With the additive representation the sum of two elements of  $L$  is reduced to the sum of two vectors of  $K^r$ . To calculate products, however, it is more convenient to use the exponential representation with respect to the primitive element  $\alpha$ . More concretely, if  $x, y \in L^*$  and we know the exponents  $i, j$  such that  $x = \alpha^i, y = \alpha^j$ , then

$$xy = \alpha^{i+j} = \alpha^k, \quad k = i + j \bmod q - 1, \quad q = |L|.$$

Given  $x$ , we write  $\text{ind}(x)$  to indicate the exponent  $i$  (defined mod  $q - 1$ ) such that  $x = \alpha^i$  and we say that it is the *index* or *discrete logarithm* of  $x$  with respect to  $\alpha$ .

In order to be able to use the additive and exponential representations at the same time, it is convenient to tabulate the additive form of the powers  $\alpha^i$  ( $r \leq i \leq q - 2$ ),

$$\alpha^i = a_{i0} + a_{i1}\alpha + \cdots a_{i,r-1}\alpha^{r-1},$$

as this allows us to pass from the exponential form to the additive form and conversely. This table is often completed by assigning a conventional symbol (say  $-$  or  $\infty$ ) as the index of 0.

Given a table of discrete logarithms, we can form the *Zech* (or *Jacobi*) table, which by definition associates the index  $Z(i) = \text{ind}(1 + \alpha^i)$  to the exponent  $i$ . With this we can get exponential representation of a sum  $\alpha^i + \alpha^j$  as  $\alpha^i(1 + \alpha^{j-i}) = \alpha^{i+Z(j-i)}$ .

$x$	$\text{ind}(x)$
0000	—
0001	0
0010	1
0011	4
0100	2
0101	8
0110	5
0111	10

$x$	$\text{ind}(x)$
1000	3
1001	14
1010	9
1011	7
1100	6
1101	13
1110	11
1111	12

$k$	$\alpha^k$	$Z(k)$
—	0000	0
0	0001	—
1	0010	4
2	0100	8
3	1000	14
4	0011	1
5	0110	10
6	1100	13

$k$	$\alpha^k$	$Z(k)$
7	1011	9
8	0101	2
9	1010	7
10	0111	5
11	1110	12
12	1111	11
13	1101	6
14	1001	3

*Discrete logarithm and Zech table of  $\mathbb{Z}_2(\alpha) = \mathbb{Z}_2[X]/(X^4 + X + 1)$*

## Minimal polynomial

Let  $L$  be finite field and  $K$  a subfield. Let  $q = |K|$ . Then  $|L| = q^m$ , for some positive integer  $m$ .

Given  $\alpha \in L$ , the  $m + 1$  elements  $1, \alpha, \dots, \alpha^m$  are linearly dependent over  $K$ . Hence there exist  $a_0, \dots, a_m \in K$  not all zero such that

$$a_0 + a_1\alpha + \dots + a_m\alpha^m = 0 .$$

This means that if

$$f = a_0 + a_1X + \dots + a_mX^m,$$

then  $f \neq 0$  and

$$f(\alpha) = 0.$$

**Proposition.** There exists a unique monic polynomial  $p \in K[X]$  that satisfies the following two conditions:

1.  $p(\alpha) = 0$ .
2. If  $f \in K[X]$  satisfies  $f(\alpha) = 0$ , then  $p|f$ .

The polynomial  $p$  is irreducible and satisfies

3.  $\deg(p) \leq m$ .

**Proof.** Among all the monic polynomials that satisfy  $f(\alpha) = 0$ , pick one, say  $p$ , of least degree. It is clear that  $\deg(p) \leq m$ , as we have observed that there exist non-zero polynomials  $f$  of degree  $\leq m$  such that  $f(\alpha) = 0$ . If now  $f$  is any polynomial such that  $f(\alpha) = 0$ , let  $g$  and  $r$  be the quotient and remainder of the integer division of  $f$  by  $p$ :

$$f = gp + r, \text{ with } r = 0 \text{ or } \deg(r) < \deg(p).$$

Since  $f(\alpha) = p(\alpha) = 0$ , we also have  $r(\alpha) = 0$ . It follows that  $r = 0$ , for otherwise we would have a contradiction with the definition of  $p$ . But this means that  $p|f$ , which is the property 2.

To see that  $p$  is unique, let  $p'$  be another monic polynomial that satisfies 1 and 2. Then  $p|p'$  (we can apply 2 to  $p'$ , as  $p'(\alpha) = 0$ ). Similarly,  $p'|p$ . This implies that  $p' = \lambda p$ , for some  $\lambda \in K^*$ . Since  $p$  and  $p'$  are monic, we conclude that  $p = p'$ .

To prove that  $p$  is irreducible, suppose that  $p = gh$ ,  $g, h \in K[X]$ . Then  $g(\alpha) = 0$  or  $h(\alpha) = 0$ . Without loss of generality we may assume that  $g(\alpha) = 0$ . Then  $g = pg'$  for some polynomial  $g'$ . Thus  $p = gh = pg'h$  and hence  $g'h$  is a constant polynomial. Consequently  $g'$  and  $h$  are constants and therefore the factorization  $p = gh$  is not proper. Hence  $p$  is irreducible.  $\square$



The polynomial  $p$  of last proposition is called the *minimal polynomial* of  $\alpha$  over  $K$ , and usually will be denoted  $p_\alpha$ . The degree of  $p_\alpha$  is also called *degree of  $\alpha$* , and is denoted  $\deg(\alpha)$ .

**Remark.** Note that  $\deg(\alpha)$  is the least positive integer  $r$  such that  $\alpha^r \in \langle 1, \alpha, \dots, \alpha^{r-1} \rangle_K$ .

**Remark.** There exists a unique  $K$ -isomorphism

$$K[X]/(p_\alpha) \simeq K[\alpha] \text{ such that } x \mapsto \alpha,$$

where  $x = [X]$ . Thus we see that the degree of  $\alpha$  coincides with the dimension of  $K[\alpha]$  over  $K$ . For example, if  $\alpha$  is a primitive element of  $L$ , then  $\deg(\alpha) = m$ , as  $K[\alpha] = L$ .

**Remark.** If  $f \in K[X]$  is a monic irreducible polynomial and  $\alpha$  is a root of  $f$  in an extension  $L$  of  $K$ , then  $f$  is the minimal polynomial of  $\alpha$  over  $K$ . Note, in particular, that if  $K[x] = K[X]/(f)$ , then  $f$  is the minimal polynomial of  $x$  over  $K$ .

**Example.** Let  $K = \mathbb{Z}_2$ ,  $K' = K[X]/(X^2 + X + 1)$ ,  $x = [X]$ ,  $L = K'[Y]/(Y^2 + xY + 1)$ ,  $y = [Y]$ . Then  $y^2 = xy + 1 \in \langle 1, y \rangle_{K'}$ , which amounts to rediscovering that the minimal polynomial of  $y$  over  $K'$  is  $Y^2 + xY + 1$ . But  $y^2 \notin \langle 1, y \rangle_K$ , so that the minimal polynomial of  $y$  over  $K$  has degree  $> 2$ . Since  $y^3 = xy + x \notin \langle 1, y, y^2 \rangle_K$  and  $y^4 = y^3 + y^2 + y + 1$ , the minimal polynomial of  $y$  over  $K$  is

$$Y^4 + Y^3 + Y^2 + Y + 1.$$

Notice that this polynomial is not primitive, as  $\text{ord}(y) = 5$ .

**Conjugates of an element.** The set  $C_\alpha$  of *conjugates* over  $K$  of an element  $\alpha \in L$  is defined as

$$C_\alpha = \{\alpha, \alpha^q, \alpha^{q^2}, \dots, \alpha^{q^{r-1}}\},$$

where  $r$  is the least positive integer such that

$$\alpha^{q^r} = \alpha.$$

**Proposition.**  $p_\alpha = \prod_{\beta \in C_\alpha} (X - \beta)$ .

**Proof.** We will use the extension of the Frobenius automorphism of  $L/K$  to the automorphism of the ring  $L[X]$  such that

$$a_0 + a_1X + \cdots + a_nX^n \mapsto a_0^q + a_1^qX + \cdots + a_n^qX^n.$$

The polynomial

$$f = \prod_{\beta \in C_\alpha} (X - \beta)$$

is invariant by this automorphism, as  $\beta \rightarrow \beta^q$  permutes the elements of  $C_\alpha$ . Hence  $f \in K[X]$ . Now observe that if  $\beta \in L$  is a root of  $p_\alpha$ , then  $\beta^q$  is also a root of  $p_\alpha$ , as seen by applying the Frobenius automorfisme of  $L/K$  to the relation  $p_\alpha(\beta) = 0$ . Applying this observation repeatedly beginning with the root  $\alpha$  of  $p_\alpha$ , we obtain that  $p_\alpha(\beta) = 0$  for any  $\beta \in C_\alpha$ . Hence,  $f|p_\alpha$ . But since  $p_\alpha$  is irreducible and  $f$  has positive degree, we conclude that  $f = p_\alpha$ , inasmuch as both polynomials are monic.  $\square$

## Uniqueness of the finite fields with the same cardinal

**Theorem.** If  $K$  and  $K'$  are finite fields with the same cardinal  $q$ , then there exists an isomorphism  $\varphi: K \rightarrow K'$ .

**Proof.** If  $q = p^r$ ,  $\mathbb{Z}_p$  is a subfield of  $K$  and of  $K'$ . Consider the polynomial

$$X^q - X \in \mathbb{Z}_p[X].$$

Regarded as a polynomial with coefficients in  $K$ , we have

$$X^q - X = \prod_{\alpha \in K} (X - \alpha) .$$

Analogously,

$$X^q - X = \prod_{\alpha' \in K'} (X - \alpha') .$$

Let  $\alpha$  be a primitive element of  $K$  and  $f \in \mathbb{Z}_p[X]$  its minimal polynomial.

We know that  $\deg(f) = r$ . Since all the roots of  $f$  are in  $K$ , we also have

$$f \mid (X^{p^r-1} - 1)$$

as polynomials with coefficients in  $K$ . But since these polynomials are monic and with coefficients in  $\mathbb{Z}_p$ , the relation  $f|(X^{p^r-1} - 1)$  is also valid as polynomials with coefficients in  $\mathbb{Z}_p$ . The polynomial  $X^{p^r-1} - 1$  also factors completely in  $K'$  and thereby  $f$  has a root  $\alpha' \in K'$ . From this it follows that there is a unique isomorphism

$$\mathbb{Z}_p[X]/(f) \simeq \mathbb{Z}_p[\alpha'] = K'$$

such that  $x = [X] \mapsto \alpha'$ . But there is also a unique isomorphism

$$\mathbb{Z}_p[X]/(f) \simeq \mathbb{Z}_p[\alpha] = K$$

such that  $x = [X] \mapsto \alpha$ . As a result, there is a unique isomorphism  $K \simeq K'$  such that  $\alpha \mapsto \alpha'$ . □

## Factorization of $X^n - 1$ over a finite field $F = \mathbb{F}_q$

The solution of this question turns out to be of fundamental importance for the study of cyclic codes. If  $q = p^r$ ,  $p$  prime, and we put  $n = p^k n'$ ,  $p \nmid n'$ , then we have

$$X^n - 1 = (X^{n'} - 1)^{p^k}.$$

This shows that we can assume that  $n$  is not divisible by  $p$ .

**Field of decomposition of  $X^n - 1$ .** The condition  $p \nmid n$  tells us that  $[q]_n \in \mathbb{Z}_n^*$ . Hence we may consider the order  $m$  of  $[q]_n$  in  $\mathbb{Z}_n^*$ . By definition,  $m$  is the least positive integer such that

$$q^m \equiv 1 \pmod{n}.$$

In other words,  $m$  is the least positive integer such that

$$n \mid (q^m - 1).$$

We write  $e_n(q)$  to denote it.

Let now  $h \in F[X]$  be any monic irreducible polynomial of degree  $m = e_n(q)$  and define

$$F' = F[X]/(h) \quad (F' \simeq \mathbb{F}_{q^m}).$$

Let  $\alpha$  be a primitive element of  $F'$  (if we chose  $h$  primitive, we can take  $\alpha = [X]_h$ ). Then, by definition of  $m$ ,  $\text{ord}(\alpha) = q^m - 1$  is divisible by  $n$ . Set

$$r = (q^m - 1)/n \text{ and } \omega = \alpha^r.$$

**Proposition.** Over  $F'$  we have

$$X^n - 1 = \prod_{j=0}^{n-1} (X - \omega^j).$$

**Proof.** Since

$$\text{ord}(\omega) = (q^m - 1)/r = n,$$

the set

$$R = \{\omega^j \mid 0 \leq j \leq n-1\}$$

has cardinal  $n$ . Moreover,  $\omega^j$  is a root of  $X^n - 1$  for all  $j$ , because

$$(\omega^j)^n = (\omega^n)^j = 1.$$

Hence the set  $R$  contains  $n$  distinct roots of  $X^n - 1$ . It follows that  $\prod_{j=0}^{n-1} (X - \omega^j)$  is a monic polynomial of degree  $n$  that divides  $X^n - 1$ . Since both polynomials are monic of degree  $n$ , they must coincide.

**Proposition.**  $F' = F[\omega]$  and so  $F'$  is the splitting field of  $X^n - 1$  over  $F$ .

**Proof.** Indeed, if  $|F[\omega]| = q^s$ , then  $n = \text{ord}(\omega)$  must divide  $q^s - 1$  and, by definition of  $m$ , we get  $s = m$ .



## ***Cyclotomic classes***

Given an integer  $j$  in  $0..(n-1)$ , the  $q$ -cyclotomic class of  $j \bmod n$  is the set

$$C_j = \{j, qj, \dots, q^{t-1}j\},$$

where  $t$  is the least positive integer such that  $q^t j \equiv j \pmod{n}$ .

If  $C$  is a  $q$ -cyclotomic class mod  $n$ , we define

$$f_C = \prod_{j \in C} (X - \omega^j).$$

***Lemma.*** The polynomial  $f_C$  has coefficients in  $F$  for every  $q$ -cyclotomic class  $C$ .

***Proof.*** It is enough to note that  $f_C$  is invariant by the Frobenius automorphism.

**Theorem.** The correspondence  $C \mapsto f_C$  is a bijection between the set of  $q$ -cyclotomic classes mod  $n$  and the set of monic irreducible factors of  $X^n - 1$  over  $F$ .

**Proof.** The fact that the  $q$ -cyclotomic classes mod  $n$  form a partition of  $\{0, 1, \dots, n-1\}$ , and the factorization  $f_C = \prod_{j \in C} (X - \omega^j)$ , imply that the factorization  $X^n - 1 = \prod_C f_C$ , where  $C$  runs over the  $q$ -cyclotomic classes mod  $n$ . It is therefore enough to show that  $f_C \in F[X]$  is irreducible for any class  $C$ . To see this, note that

$$\{\omega^j \mid j \in C\}$$

is the set of conjugates of anyone of its elements, so that  $f_C$  is the minimal polynomial of  $\omega^j$  for any  $j \in C$ .

## Notes

**N1.** If  $A$  is a ring with multiplicative unit (usually denoted  $1$ , or  $1_A$ ), then the set  $A^*$  of invertible elements of  $A$  forms a group with the product operation of  $A$ .

**Examples.**  $\mathbb{Z}^* = \{\pm 1\}$ .  $A$  is a field if and only if  $A^* = A - \{0\}$ . If  $K$  is a field,  $K[X]^* = K^*$ . If  $M_n(K)$  is the ring of square matrices of dimension  $n$ , then  $M_n(K)^* = GL(n, K)$ , the linear group over  $K$  of dimension  $n$ .

**N2.** If  $G$  is a finite group of order  $n$ , then  $a^n = e$  for any  $a \in G$  ( $e$  denotes the identity element of  $G$ ). Indeed, there is a least positive integer  $r$  such that  $a^r = e$ . Since  $\{e = a^0, a, \dots, a^{r-1}\}$  is a subgroup of order  $r$  of  $G$ , we know that  $r|n$  (Lagrange lemma) and this clearly implies the assertion.