

# Computing the characteristic numbers of the variety of nodal plane cubics in $\mathbb{P}^3$

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## Abstract

In this note we obtain, phrased in present day geometric and computational frameworks, the characteristic numbers of the family  $U_{\text{nod}}$  of non-degenerate nodal plane cubics in  $\mathbb{P}^3$ , first obtained by Schubert in his *Kalkül der abzählenden Geometrie*. The main geometric contribution is a detailed study of a variety  $X_{\text{nod}}$ , which is a compactification of the family  $U_{\text{nod}}$ , including the boundary components (degenerations) and a generalization to  $\mathbb{P}^3$  of a formula of Zeuthen for nodal cubics in  $\mathbb{P}^2$ . The computations have been carried out with the WIRIS boost WIT.

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## 0. Introduction

Given an irreducible  $n$ -dimensional family of plane curves in  $\mathbb{P}^3$ , we are interested in the number of curves in the family that satisfy  $n$  conditions and, in particular, in its *characteristic numbers*, namely, the number of curves that go through  $i$  given points, intersect  $k$  given lines and are tangent to  $n - 2i - k$  given planes. Concerning the family of nodal cubics in  $\mathbb{P}^2$ , the characteristic numbers (and many other intersection numbers) were calculated by Maillard (1871), Zeuthen (1872) and Schubert (1879), and were verified, in different ways, by Sacchiero (1984), Kleiman and Speiser (1988), Aluffi (1991) and Miret and Xambó (1991).

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In this paper we study the characteristic numbers of the variety of nodal plane cubics in  $\mathbb{P}^3$  given by Schubert. We first construct a compactification  $X_{\text{nod}}$  of the variety  $U_{\text{nod}}$  of non-degenerate nodal plane cubics of  $\mathbb{P}^3$  by means of the projectivization of a suitable vector bundle. From this we get that the Picard group  $\text{Pic}(X_{\text{nod}})$  is a rank 3 free group generated by the classes  $\mu$ ,  $b$  and  $\nu$  of the closures in  $X_{\text{nod}}$  of the hypersurfaces of  $U_{\text{nod}}$  determined, respectively, by the conditions:

- $\mu$ , that the plane determined by the nodal cubic go through a point;
- $b$ , that the node be on a plane and
- $\nu$ , that the nodal cubic intersect a line.

We show that the boundary  $X_{\text{nod}} - U_{\text{nod}}$  consists of two irreducible components of codimension 1 and we prove a formula which expresses the condition

- $\rho$ , that the nodal cubic be tangent to a plane,

in terms of the two degenerations and the condition  $\mu$ . This formula is a generalization to  $\mathbb{P}^3$  of a degeneration relation given by [Zeuthen \(1872\)](#) for nodal cubics in the projective plane. We compute, on the basis of the intersection theory of  $X_{\text{nod}}$  and using WIT (see [Xambó \(2002–2006\)](#)), the intersection numbers of the form  $\mu^i \nu^k \rho^{11-i-k}$  given by [Schubert \(1879\)](#). In particular, we get the number  $\nu^{11}$  of plane nodal cubics that intersect 11 lines which was used (and verified) by [Kleiman et al. \(1987\)](#). Finally, the computation of the characteristic numbers  $P^i \nu^k \rho^{11-2i-k}$  of the family of nodal plane cubics in  $\mathbb{P}^3$  follows from the incidence formula  $P = \nu\mu - 3\mu^2$ , where  $P$  is the condition that the nodal cubic goes through a given point.

## 1. The variety $X_{\text{nod}}$ of nodal plane cubics

In the sequel,  $\mathbb{P}^3$  will denote the projective space associated to a 4-dimensional vector space over an algebraically closed ground field  $\mathbf{k}$  of characteristic 0, and the term *variety* will be used to mean a quasi-projective  $\mathbf{k}$ -variety.

Let  $\mathbb{U}$  denote the rank 3 tautological bundle over the Grassmann variety  $\Gamma$  of planes of  $\mathbb{P}^3$ . Therefore, the projective bundle  $\mathbb{P}(\mathbb{U})$  is a non singular variety defined by  $\mathbb{P}(\mathbb{U}) = \{(\pi, x) \in \Gamma \times \mathbb{P}^3 \mid x \in \pi\}$ . Let  $\mathbb{L}$  be the tautological line subbundle of the rank 3 bundle  $\mathbb{U}|_{\mathbb{P}(\mathbb{U})}$  over  $\mathbb{P}(\mathbb{U})$  and let  $\mathbb{Q}$  be the tautological quotient bundle. We will denote by  $a$  the hyperplane class of  $\mathbb{P}(\mathbb{U})$  and by  $\mu$  the pullback to  $\mathbb{P}(\mathbb{U})$  of  $c_1(\mathcal{O}_{\Gamma}(1))$  under the natural projection  $\mathbb{P}(\mathbb{U}) \rightarrow \Gamma$ .

We define  $\mathbb{E}_{\text{nod}}$  as the subbundle of  $S^3 \mathbb{U}^*|_{\mathbb{P}(\mathbb{U})}$  whose fiber over  $(\pi, x) \in \mathbb{P}(\mathbb{U})$  is the linear subspace of forms  $\varphi \in S^3 \mathbb{U}^*$  defined over  $\pi$  that have multiplicity at least 2 at  $x$ . In fact, given a point  $(\pi, x) \in \mathbb{P}(\mathbb{U})$  and taking projective coordinates  $x_0, x_1, x_2, x_3$  so that  $\pi = \{x_3 = 0\}$  and  $x = [1, 0, 0, 0]$ , we can express the elements  $\varphi$  of the fiber of  $\mathbb{E}_{\text{nod}}$  over  $(\pi, x)$  as follows:

$$\varphi = b_1 x_0 x_1^2 + b_2 x_0 x_1 x_2 + b_3 x_0 x_2^2 + a_1 x_1^3 + a_2 x_1^2 x_2 + a_3 x_1 x_2^2 + a_4 x_2^3, \quad (1)$$

where  $b_i$  and  $a_i$  are in  $\mathbf{k}$ . Thus,  $\mathbb{E}_{\text{nod}}$  is a rank 7 subbundle of  $S^3 \mathbb{U}^*|_{\mathbb{P}(\mathbb{U})}$ .

In the next proposition we give a free resolution of the vector bundle  $\mathbb{E}_{\text{nod}}$  over  $\mathbb{P}(\mathbb{U})$ . To do this, we consider the natural inclusion map  $i : \mathbb{Q}^* \rightarrow \mathbb{U}^*$ , the product map  $\kappa : \mathbb{Q}^* \otimes S^2 \mathbb{Q}^* \rightarrow S^3 \mathbb{Q}^*$ , and the maps

$$h : \mathbb{U}^* \otimes S^2 \mathbb{Q}^* \rightarrow S^3 \mathbb{U}^*|_{\mathbb{P}(\mathbb{U})} \quad \text{and} \quad j : S^3 \mathbb{Q}^* \rightarrow S^3 \mathbb{U}^*|_{\mathbb{P}(\mathbb{U})}$$

whose images are clearly contained in  $\mathbb{E}_{\text{nod}}$ .

**Proposition 1.1.** *The sequence*

$$0 \longrightarrow \mathbb{Q}^* \otimes S^2 \mathbb{Q}^* \xrightarrow{\alpha} (\mathbb{U}^* \otimes S^2 \mathbb{Q}^*) \oplus S^3 \mathbb{Q}^* \xrightarrow{\beta} \mathbb{E}_{\text{nod}} \longrightarrow 0, \quad (2)$$

where  $\alpha = \begin{pmatrix} i \otimes 1 \\ -\kappa \end{pmatrix}$  and  $\beta = h + j$ , is an exact sequence of vector bundles over  $\mathbb{P}(\mathbb{U})$ .

**Proof.** From the definition of  $\mathbb{E}_{\text{nod}}$  it follows that  $\beta$  is a surjective map and, since  $i \otimes 1$  is injective, we get that  $\alpha$  is also injective. Moreover, from the definitions of  $\alpha$  and  $\beta$  it follows that  $\beta\alpha = 0$ . Now, to complete the proof it is enough to see, since  $\text{Im } \alpha \subseteq \text{Ker } \beta$ , that  $\text{rank}(\text{Im } \alpha) = \text{rank}(\text{Ker } \beta)$ . But this can be easily checked by simple computations.  $\square$

Let  $X_{\text{nod}}$  be the projective bundle  $\mathbb{P}(\mathbb{E}_{\text{nod}})$  over  $\mathbb{P}(\mathbb{U})$ . Then,  $X_{\text{nod}}$  is a non singular variety of dimension 11 whose points are pairs  $(f, (\pi, x)) \in \mathbb{P}(S^3 \mathbb{U}^*) \times \mathbb{P}(\mathbb{U})$  such that the nodal cubic  $f$  is contained in the plane  $\pi$  and has a node at  $x$ .

We will denote by  $b$  the pullback to  $\text{Pic}(X_{\text{nod}})$  of the class  $a$  in  $\text{Pic}(\mathbb{P}(\mathbb{U}))$  under the natural projection  $X_{\text{nod}} \rightarrow \mathbb{P}(\mathbb{U})$ . Since this projection is flat,  $b$  is the class of the hypersurfaces of  $X_{\text{nod}}$  whose points  $(f, (\pi, x))$  satisfy that  $x$  is on a given plane. Furthermore, the relation  $\zeta = v - 3\mu$  holds in  $\text{Pic}(X_{\text{nod}})$ , where  $\zeta$  denotes the hyperplane class of  $X_{\text{nod}}$  and  $v$  the class of the hypersurface of  $X_{\text{nod}}$  whose points  $(f, (\pi, x))$  satisfy that  $f$  intersects a given line.

**Proposition 1.2.** *The intersection ring  $A^*(X_{\text{nod}})$  is isomorphic to the quotient of the polynomial ring  $\mathbb{Z}[\mu, b, v]$  by the ideal*

$$\langle \mu^4, b^3 - \mu b^2 + \mu^2 b - \mu^3, v^7 - 6b v^6 + 24b^2 v^5 \rangle.$$

In particular,  $\text{Pic}(X_{\text{nod}})$  is a rank 3 free group generated by  $\mu$ ,  $b$  and  $v$ .

**Proof.** Since  $\zeta = v - 3\mu$ , the intersection ring  $A^*(X_{\text{nod}})$  is (see Fulton (1998), ex. 8.3.4) isomorphic to  $A^*(P(\mathbb{U}))[v]/\sum \bar{\pi}^* c_i(\mathbb{E}_{\text{nod}} \otimes \mathcal{O}_{\Gamma}(-3))v^{5-i}$ , where  $\bar{\pi} : \mathbb{E}_{\text{nod}} \rightarrow \mathbb{P}(\mathbb{U})$  is the natural projection. Now, using Proposition 1.1 we get the result taking into account the intersection ring of  $\mathbb{P}(\mathbb{U})$ .  $\square$

Thus, using the projection formula, we have

$$\int_{X_{\text{nod}}} \mu^i b^j v^{11-i-j} = \int_{\mathbb{P}(\mathbb{U})} \mu^i a^j s_{5-i-j}(\mathbb{E}_{\text{nod}} \otimes \mathcal{O}_{\Gamma}(-3)), \quad (3)$$

where the  $t$ -th Segre class  $s_t(\mathbb{E}_{\text{nod}} \otimes \mathcal{O}_{\Gamma}(-3))$  can be calculated from the resolution (2). This allows us to compute all the intersection numbers of  $X_{\text{nod}}$  in the conditions  $\mu$ ,  $b$  and  $v$ . The result, obtained with WIT (see Xambó (2002–2006)), is the following:

$$\begin{aligned} \mu^3 v^8 &= 12, & \mu^2 v^9 &= 216, & \mu v^{10} &= 2040, & v^{11} &= 12960 \\ \mu^3 b v^7 &= 6, & \mu^2 b v^8 &= 100, & \mu b v^9 &= 872, & b v^{10} &= 5040 \\ \mu^3 b^2 v^6 &= 1, & \mu^2 b^2 v^7 &= 18, & \mu b^2 v^8 &= 160, & b^2 v^9 &= 904 \\ \mu^2 b^3 v^6 &= 1, & \mu b^3 v^7 &= 12, & b^3 v^8 &= 72 \end{aligned} \quad (4)$$

We denote by  $\rho$  the class of the hypersurface of  $X_{\text{nod}}$  whose points  $(f, (\pi, x))$  satisfy that  $f$  is tangent to a given plane. Notice that the dual  $f^*$  of an irreducible nodal cubic is a quartic curve. Furthermore, the indeterminacy locus of the map  $f \mapsto f^*$  is the 4-codimensional closed set of  $X_{\text{nod}}$  consisting of points such that  $f$  degenerates to a double line and a simple line.

## 2. Degenerations of $X_{\text{nod}}$

Let  $U_{\text{nod}}$  be the subvariety of  $X_{\text{nod}}$  whose points are pairs  $(f, (\pi, x)) \in X_{\text{nod}}$  such that  $f$  is an irreducible nodal cubic contained in the plane  $\pi$ , with a node at  $x$ . In fact,  $X_{\text{nod}}$  is a compactification of  $U_{\text{nod}}$  whose boundary  $X_{\text{nod}} - U_{\text{nod}}$  consists of the following two codimension 1 irreducible components, called *degenerations* of first order of  $X_{\text{nod}}$  (see Fig. 1).

- $X_{\text{ncusp}}$ , that parameterizes pairs  $(f, (\pi, x)) \in X_{\text{nod}}$  such that  $f$  is a cuspidal cubic with cusp at  $x$ .
- $X_{\text{consec}}$  parameterizes pairs  $(f, (\pi, x)) \in X_{\text{nod}}$  such that  $f$  is a cubic consisting of a conic  $f'$  and a line  $l$  which intersects with the conic at two points, being  $x$  one of them.

We will denote the classes in  $\text{Pic}(X_{\text{nod}})$  of the degenerations  $X_{\text{ncusp}}$  and  $X_{\text{consec}}$  by  $\gamma$  and  $\chi$ , respectively.

### 2.1. The variety $X_{\text{ncusp}}$

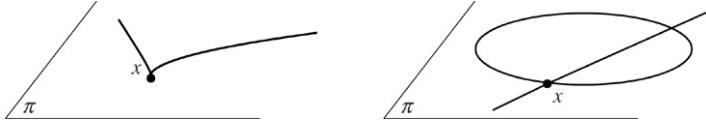
In Hernández and Miret (2003) a compactification  $X_{\text{cusp}}$  of the variety of non-degenerate cuspidal plane cubics in  $\mathbb{P}^3$  is introduced by means of the projectivization of a suitable vector bundle constructed over the flag variety  $\mathbb{F} = \{(\pi, x, u) \mid x \in u, u \subset \pi\}$ . Actually,  $X_{\text{cusp}}$  is the 10-dimensional subvariety of  $\mathbb{P}(S^3\mathbb{U}^*|_{\mathbb{F}})$  whose points are pairs  $(f, (\pi, x, u))$  such that  $f$  is a cuspidal cubic contained in the plane  $\pi$ , that has a cusp at  $x$  and  $u$  as the cuspidal tangent at  $x$ .

Moreover, we denote by  $\mu$  and  $c$  the pullbacks to  $\text{Pic}(X_{\text{cusp}})$  of the hyperplane classes  $\mu = c_1(\mathcal{O}_{\mathbb{P}^1}(1))$  and  $c = c_1(\mathcal{O}_{\mathbb{P}^2}(1))$ , respectively, under the natural projections, so that  $\mu$  is the class of the hypersurface of  $X_{\text{cusp}}$  such that  $\pi$  goes through a given point and  $c$  coincides with the class of the hypersurface of  $X_{\text{cusp}}$  such that  $x$  is on a given plane. In addition, let us denote by  $\nu$  and  $\rho$  the classes of the hypersurfaces of  $X_{\text{cusp}}$  consisting of the pairs  $(f, (\pi, x, u))$  such that  $f$  intersect a given line and, respectively, that  $f$  is tangent to a given plane.

In Miret et al. (2003) are verified and completed all the intersection numbers obtained by Schubert about cuspidal plane cubics in terms of the characteristic conditions and those relative to the singular triangle. In particular:

$$\begin{aligned}
 \mu^3 &= 24, 60, 114, 168, 168, 114, 60, 24 \\
 \mu^2 &= 384, 864, 1488, 2022, 2016, 1524, 924, 468, 192 \\
 \mu &= 3216, 6528, 10200, 12708, 12144, 9156, 5688, 3090, 1488, 624 \\
 1 &= 17760, 31968, 44304, 49008, 43104, 30960, 18888, 10284, 5088, \\
 &\quad 2304, 960 \\
 \mu^3 c &= 12, 42, 96, 168, 186, 132, 72 \\
 \mu^2 c &= 176, 536, 1082, 1688, 1844, 1496, 956, 512 \\
 \mu c &= 1344, 3576, 6388, 8852, 9108, 7264, 4706, 2688, 1392 \\
 c &= 6592, 14800, 22336, 25560, 22864, 16672, 10380, 5836, 3040, 1504 \\
 \mu^3 c^2 &= 2, 8, 20, 38, 44, 32 \\
 \mu^2 c^2 &= 32, 110, 240, 400, 452, 372, 240 \\
 \mu c^2 &= 248, 740, 1416, 2076, 2216, 1818, 1200, 696 \\
 c^2 &= 1168, 2896, 4592, 5408, 4952, 3708, 2376, 1392, 768
 \end{aligned} \tag{5}$$

where the numbers listed to the right of a given  $\mu^i c^j$  correspond to the intersection numbers  $\mu^i c^j \nu^k \rho^{10-i-j-k}$ , for  $k = 10 - i - j, \dots, 0$ .

Fig. 1. A closed point of  $X_{\text{ncusp}}$  and of  $X_{\text{consec}}$ .

Now, we will see that there exists a birational map between the variety  $X_{\text{cusp}}$  and the degeneration  $X_{\text{ncusp}}$  of  $X_{\text{nod}}$ . Notice that the dual of a  $(f, (\pi, x)) \in X_{\text{ncusp}}$ , where  $f$  is a non-degenerate cuspidal cubic, consists of the dual cuspidal cubic together with the cusp as a simple focus.

**Proposition 2.1.** *The map  $\psi_{\text{cusp}} : X_{\text{cusp}} \rightarrow X_{\text{nod}}$  that assigns  $(f, (\pi, x))$  to  $(f, (\pi, x, u))$  is a birational map between  $X_{\text{cusp}}$  and  $X_{\text{ncusp}} \subseteq X_{\text{nod}}$ . Moreover, we have that  $\psi_{\text{cusp}}^*(\mu) = \mu$ ,  $\psi_{\text{cusp}}^*(b) = c$ ,  $\psi_{\text{cusp}}^*(v) = v$  and  $\psi_{\text{cusp}}^*(\rho) = \rho + c$ .*

**Proof.** Since  $u$  is the tangent line of  $f$  at  $x$  ( $f$  a non-degenerate cuspidal cubic on  $\pi$  with cusp at  $x$ ), it is clear that  $\psi_{\text{cusp}}$  induced a birational map. On the other hand, the relation  $\psi_{\text{cusp}}^*(\rho) = \rho + c$  can be proved considering the commutative diagram:

$$\begin{array}{ccc} X_{\text{cusp}} & \xrightarrow{\psi_{\text{cusp}}} & X_{\text{nod}} \\ (\varphi_{\text{cusp}}, p) \downarrow & & \downarrow \varphi_{\text{nod}} \\ \mathbb{P}(S^3\mathbb{U}) \times_{\Gamma} \mathbb{P}(\mathbb{U}) & \xrightarrow{\kappa} & \mathbb{P}(S^4\mathbb{U}) \end{array}$$

where  $p$  is the natural projection,  $\varphi_{\text{nod}}$  and  $\varphi_{\text{cusp}}$  are the birational maps over  $X_{\text{nod}}$  and  $X_{\text{cusp}}$  that assign  $f \mapsto f^*$ , and  $\kappa$  is the map that assigns  $((f^*, \pi), (\pi, x)) \mapsto (f^* \cdot x^*, \pi)$ , where  $x^*$  is the pencil of planes that go through  $x$  (the pencil focus). From this, we have that

$$\begin{aligned} \psi_{\text{cusp}}^*(\rho) &= \psi_{\text{cusp}}^* \varphi_{\text{nod}}^*(c_1 \mathcal{O}_{\mathbb{P}(S^4\mathbb{U})}(1)) = (\varphi_{\text{cusp}}, p)^* \kappa^*(c_1 \mathcal{O}_{\mathbb{P}(S^4\mathbb{U})}(1)) \\ &= (\varphi_{\text{cusp}}, p)^* (c_1 \mathcal{O}_{\mathbb{P}(S^3\mathbb{U})}(1), c_1 \mathcal{O}_{\mathbb{P}(\mathbb{U})}(1)) = \rho + c. \end{aligned}$$

The remaining relations can be proved in a similar way.  $\square$

Now, from this proposition and from the intersection numbers (5) of  $X_{\text{cusp}}$ , we can compute the intersection numbers of the degeneration  $X_{\text{ncusp}}$  using:

$$\int_{X_{\text{nod}}} \mu^i b^j v^k \rho^t \gamma = \int_{X_{\text{cusp}}} \mu^i c^j v^k (\rho + c)^t.$$

**Proposition 2.2.** *In  $A^*(X_{\text{nod}})$  we have:*

$$\begin{aligned} \mu^3 \gamma &= 24, 72, 200, 480, 960, 1424, 1512, 1200 \\ \mu^2 \gamma &= 384, 1040, 2592, 5600, 10240, 14944, 17440, 16512, 12800 \\ \mu \gamma &= 3216, 7872, 17600, 34112, 56320, 76896, 87152, 83520, 70032, 52320 \\ \gamma &= 17760, 38560, 75072, 124800, 173952, 203840, 204320, 179712, 142720, \\ &\quad 105312, 75520 \end{aligned}$$

where the numbers listed to the right of a given  $\mu^i \gamma$  correspond to the intersection numbers  $\mu^i v^k \rho^{10-i-k} \gamma$ , for  $k = 10 - i, \dots, 0$ .

These values agree with those on page 154 of **Schubert (1879)** (*Tabelle von Zahlen  $\gamma$* ), except for the number 14944 corresponding to  $\mu^2 v^3 \rho^6 \gamma$ , which is given as 14744 there, a fact that appears to be nothing but a misprint.

**Corollary 2.1.** *The following relation holds in  $\text{Pic}(X_{\text{nod}})$ :*

$$\gamma = -4\mu + 2\nu.$$

**Proof.** From **Proposition 1.2** we know that  $\gamma = \alpha_1\mu + \alpha_2\nu + \alpha_3b$ , with  $\alpha_i \in \mathbb{Z}$ , holds in  $\text{Pic}(X_{\text{nod}})$ . By substituting this expression into the formulas  $\gamma\mu^3\nu^5b^2 = 2$ ,  $\gamma\mu^3\nu^6b = 12$  and  $\gamma\mu^2\nu^6b^2 = 32$  we obtain the desired formula.  $\square$

## 2.2. The variety $X_{\text{consec}}$

In this section we introduce a birational model of the variety  $X_{\text{consec}} \subseteq X_{\text{nod}}$ . To do this, we consider the variety  $\mathbb{G} = \mathbb{F} \times_{\mathbb{P}(\mathbb{U}^*)} \mathbb{F}$  consisting of the points  $(\pi, x_a, x_b, u_l)$  such that  $(\pi, x_a, u_l) \in \mathbb{F}$  and  $(\pi, x_b, u_l) \in \mathbb{F}$ . The pullback to  $\mathbb{G}$  of the classes  $\mu, \ell$  of  $\mathbb{P}(\mathbb{U}^*)$  will be denoted by the same notations and similarly for  $a$  and  $b$  of  $\mathbb{F}$ .

We will denote by  $\mathbb{E}_{\text{consec}}$  the rank 4 subbundle of  $S^2\mathbb{U}^*|_{\mathbb{G}}$  whose fiber over a point  $(\pi, x_a, x_b, u_l) \in \mathbb{G}$  is the linear subspace of forms  $\varphi \in S^2\mathbb{U}^*$  that vanish at  $x_a$  and  $x_b$ . The next statement provides a resolution of  $\mathbb{E}_{\text{consec}}$ . We use the following notations:

- $\mathbb{Q}_a^*$ , respectively  $\mathbb{Q}_b^*$ , for the pullback of  $\mathbb{Q}^*$  to  $\mathbb{G}$  under the projection  $\mathbb{G} \rightarrow \mathbb{F}$  which assigns  $(\pi, x_a, u_l)$  to  $(\pi, x_a, x_b, u_l)$ , respectively  $(\pi, x_a, u_l)$  to  $(\pi, x_a, x_b, u_l)$ ;
- $\mathcal{O}_{\mathbb{G}}(-1)$ , for the pullback to  $\mathbb{G}$  of the tautological line subbundle of  $\mathbb{P}(\mathbb{U}^*)$ .

**Lemma 2.1.** *The sequence*

$$0 \rightarrow \mathcal{O}_{\mathbb{G}(-2)} \rightarrow \mathcal{O}_{\mathbb{G}(-1)} \otimes \mathbb{Q}_a^* \otimes \mathbb{Q}_b^* \rightarrow (\mathbb{U}^* \otimes \mathcal{O}_{\mathbb{G}(-1)}) \oplus (\mathbb{Q}_a^* \otimes \mathbb{Q}_b^*) \rightarrow \mathbb{E}_{\text{consec}} \rightarrow 0$$

is an exact sequence of vector bundles over  $\mathbb{G}$ .

**Proof.** Similar to that given in **Proposition 1.1**.  $\square$

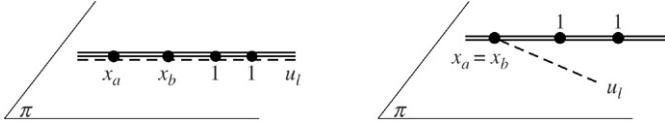
Thus,  $\mathbb{P}(\mathbb{E}_{\text{consec}})$  is the 10-dimensional subvariety of  $\mathbb{P}(S^2\mathbb{U}^*|_{\mathbb{G}})$  whose points are pairs  $(f', (\pi, x_a, x_b, u_l))$  such that  $f'$  is a conic contained in the plane  $\pi$  that goes through the points  $x_a$  and  $x_b$ .

Furthermore, we denote by  $\mu, a, b$  and  $\ell$  the pullbacks to  $\text{Pic}(\mathbb{P}(\mathbb{E}_{\text{consec}}))$  of the homonymous classes of  $\text{Pic}(\mathbb{G})$  under the natural projections. In addition, let us denote by  $\nu'$  the class of the hypersurface of  $\mathbb{P}(\mathbb{E}_{\text{consec}})$  consisting of the pairs  $(f', (\pi, x_a, x_b, u_l))$  such that the conic  $f'$  intersect a given line.

Using again the projection formula, we have

$$\int_{\mathbb{P}(\mathbb{E}_{\text{consec}})} \mu^i a^j b^k \ell^h \nu'^{10-i-j-k-h} = \int_{\mathbb{G}} \mu^i a^j b^k \ell^h s_{7-i-j-k-h}(\mathbb{E}_{\text{consec}} \otimes \mathcal{O}_{\Gamma}(-3)),$$

where  $s_i(\mathbb{E}_{\text{consec}} \otimes \mathcal{O}_{\Gamma}(-3))$  can be calculated from the resolution given in **Lemma 2.1**. This allows us to compute all the intersection numbers of  $\mathbb{P}(\mathbb{E}_{\text{consec}})$  in the conditions  $\mu, a, b, \ell$  and  $\nu'$ . In particular, we have:

Fig. 2. A closed point of the component  $E_1$  and  $E_2$ .

$$\begin{array}{llll}
 \mu^3 a \ell v'^5 = 2, & \mu^2 a \ell v'^6 = 16, & \mu a \ell v'^7 = 68, & a \ell v'^8 = 184 \\
 \mu^3 \ell^2 v'^5 = 2, & \mu^2 \ell^2 v'^6 = 16, & \mu \ell^2 v'^7 = 68, & \ell^2 v'^8 = 184 \\
 \mu^3 a^2 \ell v'^4 = 1, & \mu^2 a^2 \ell v'^5 = 8, & \mu a^2 \ell v'^6 = 34, & a^2 \ell v'^8 = 92 \\
 \mu^3 a \ell^2 v'^4 = 1, & \mu^2 a \ell^2 v'^5 = 10, & \mu a \ell^2 v'^6 = 50, & a \ell^2 v'^8 = 160 \\
 \mu^2 \ell^3 v'^5 = 4, & \mu \ell^3 v'^6 = 32, & \ell^3 v'^7 = 136, & \\
 \mu^2 a^3 \ell v'^4 = 1, & \mu a^3 \ell v'^5 = 6, & a^3 \ell v'^6 = 18, & \\
 \mu^2 a^2 \ell^2 v'^4 = 2, & \mu a^2 \ell^2 v'^5 = 14, & a^2 \ell^2 v'^6 = 52, & \\
 \mu^2 a \ell^3 v'^4 = 2, & \mu a \ell^3 v'^5 = 16, & a \ell^3 v'^6 = 68, & \\
 \mu \ell^4 v'^5 = 4, & \ell^4 v'^6 = 32, & & \\
 \mu a^3 \ell^2 v'^4 = 1, & a^3 \ell^2 v'^5 = 6, & & \\
 \mu a^2 \ell^3 v'^4 = 2, & a^2 \ell^3 v'^5 = 12, & & \\
 \mu a \ell^4 v'^4 = 2, & a \ell^4 v'^5 = 12, & & 
 \end{array}$$

Finally, in order to compute intersection numbers involving the  $\rho$  condition, we will consider  $\bar{\mathbb{P}}(\mathbb{E}_{\text{consec}})$ , the closure of the graph in  $\mathbb{P}(\mathbb{E}_{\text{consec}}) \times_{\mathbb{G}} \mathbb{P}(S^2 \mathbb{U}|_{\mathbb{G}})$  of the rational map  $\psi : \mathbb{P}(\mathbb{E}_{\text{consec}}) \rightarrow \mathbb{P}(S^2 \mathbb{U}|_{\mathbb{F}})$  that assigns the conic of tangents to a given conic of rank  $\geq 2$ . Notice that the points of  $\bar{\mathbb{P}}(\mathbb{E}_{\text{consec}})$  consist of triples  $(f', f'^*, (\pi, x_a, x_b, u_l))$  where  $f'^*$  is the dual conic of  $f'$  over  $\pi$ , so that  $\psi$  is undefined precisely at a closed set  $D$  of codimension 2 of  $\mathbb{P}(\mathbb{E}_{\text{consec}})$  which has two irreducible components:

- $D_1$  consisting of pairs  $(f', (\pi, x_a, x_b, u_l))$  such that  $f'$  is a double line which coincides with the line  $u_l$ ;
- $D_2$  consisting of pairs  $(f', (\pi, x_a, x_b, u_l))$  such that  $x_b = x_a$  and  $f'$  is a double line that goes through the point  $x_a$ .

Then, the projection map  $h : \bar{\mathbb{P}}(\mathbb{E}_{\text{consec}}) \rightarrow \mathbb{P}(\mathbb{E}_{\text{consec}})$  is just the blow-up of  $\mathbb{P}(\mathbb{E}_{\text{consec}})$  along  $D$ . The geometric description of the two irreducible components of the exceptional divisor  $E = h^{-1}(D)$  is given below (see Fig. 2):

- $E_1$  parameterizes triples  $(f', f'^*, (\pi, x_a, x_b, u_l))$  such that  $f'$  is a double line which coincides with  $u_l$  and the dual conic  $f'^*$  degenerates into two pencils whose foci lie on  $u_l$ ;
- $E_2$  parameterizes triples  $(f', f'^*, (\pi, x_a, x_b, u_l))$  such that  $x_b = x_a$ ,  $f'$  is a double line over  $\pi$  that goes through  $x_a$  and the dual conic  $f'^*$  consists of a pair of pencils whose foci lie on this double line.

We will also write  $\mu$ ,  $a$ ,  $b$ ,  $\ell$  and  $v'$  to denote the pullbacks to  $\bar{\mathbb{P}}(\mathbb{E}_{\text{consec}})$  of their homonymous classes in  $\text{Pic}(\mathbb{P}(\mathbb{E}_{\text{consec}}))$  under the blow-up  $h : \bar{\mathbb{P}}(\mathbb{E}_{\text{consec}}) \rightarrow \mathbb{P}(\mathbb{E}_{\text{consec}})$ . Then,  $\mu$ ,  $a$ ,  $b$ ,  $\ell$  and  $v'$  are the classes of the hypersurfaces of  $\bar{\mathbb{P}}(\mathbb{E}_{\text{consec}})$  whose points  $(f', f'^*, (\pi, x_a, x_b, u_l))$  satisfy that  $\pi$  goes through a given point,  $x_a$  is on a given plane,  $x_b$  is on a given plane,  $u_l$  intersects a line and  $f'$  intersects a line, respectively. Let  $\rho'$  be the class of the hypersurface of  $\bar{\mathbb{P}}(\mathbb{E}_{\text{consec}})$  whose points  $(f', f'^*, (\pi, x_a, x_b, u_l))$  satisfy that  $\pi \cap \pi' \in f'^*$  for a given plane  $\pi'$  (that is,  $f'$  is tangent to a given plane).

**Lemma 2.2.** *The following relation holds in  $\text{Pic}(\overline{\mathbb{P}}(\mathbb{E}_{\text{consec}}))$ :*

$$\rho' = 2\nu' - 2\mu - 2\varepsilon_1 - 4\varepsilon_2.$$

**Proof.** Due to the properties of the blow-up, there exists a morphism  $\overline{\psi} : \overline{\mathbb{P}}(\mathbb{E}_{\text{consec}}) \rightarrow \mathbb{P}(S^2\mathbb{U}|_{\mathbb{G}})$  which makes the following diagram commutative:

$$\begin{array}{ccc} \overline{\mathbb{P}}(\mathbb{E}_{\text{consec}}) & & \\ h \downarrow & \searrow \overline{\psi} & \\ \mathbb{P}(\mathbb{E}_{\text{consec}}) & \xrightarrow{\psi} & \mathbb{P}(S^2\mathbb{U}|_{\mathbb{G}}), \end{array}$$

that is,  $\overline{\psi}$  coincides, as a rational map, with  $\psi \circ h$ . Thus, as we know that  $\psi$  is univocally given by sections of the invertible sheaf  $\mathcal{O}_{\mathbb{P}(\mathbb{E}_{\text{consec}})}(2)$  over  $\mathbb{P}(\mathbb{E}_{\text{consec}})$ , we can conclude, see [Roberts and Speiser \(1984\)](#), that

$$\overline{\psi}^*(\mathcal{O}_{\mathbb{P}(S^2\mathbb{U}|_{\mathbb{F}})}(1)) = h^*(\mathcal{O}_{\mathbb{P}(\mathbb{E}_{\text{consec}})}(2)) \otimes \mathcal{O}_{\overline{\mathbb{P}}(\mathbb{E}_{\text{consec}})}(-E).$$

Taking Chern classes, we get  $c_1(\overline{\psi}^*(\mathcal{O}_{\mathbb{P}(S^2\mathbb{U}|_{\mathbb{G}})}(1))) = c_1(h^*(\mathcal{O}_{\mathbb{P}(\mathbb{E}_{\text{consec}})}(2))) - (2\varepsilon_1 + 4\varepsilon_2)$ . Finally, we know  $c_1(h^*(\mathcal{O}_{\mathbb{P}(\mathbb{E}_{\text{consec}})}(1))) = \nu' - 2\mu$ , and, by duality,  $c_1(\overline{\psi}^*(\mathcal{O}_{\mathbb{P}(S^2\mathbb{U}|_{\mathbb{F}})}(1))) = \rho' - 2\mu$ , so the formula follows.  $\square$

Now, in order to calculate the intersection numbers  $\mu^i a^j b^k \ell^h v'^s \rho'^t$  with  $t = 10 - i - j - k - h - s$ , and since the intersection numbers  $\mu^i a^j b^k \ell^h v'^{9-i-j-k-h}$  are easily calculated using the resolution of  $\mathbb{E}_{\text{conic}}$  given in [Lemma 2.1](#), we only need to compute numbers over  $\overline{\mathbb{P}}(\mathbb{E}_{\text{consec}})$  which involve any of the two components of the exceptional divisor, that is, numbers of the form  $\mu^i a^j b^k \ell^h v'^s \rho'^{9-i-j-k-h-s} \varepsilon_1$  or  $\mu^i a^j b^k \ell^h v'^s \rho'^{9-i-j-k-h-s} \varepsilon_2$ , and then proceed down recursively by induction on the order of the  $\rho$  condition.

**Proposition 2.3.** *The map  $\psi_{\text{consec}} : \overline{\mathbb{P}}(\mathbb{E}_{\text{consec}}) \rightarrow X_{\text{nod}}$  that assigns  $(f' \cdot u_l, (\pi, x_b))$  to  $(f', f'^*, (\pi, x_a, x_b, u_l))$  is a birational map between  $\overline{\mathbb{P}}(\mathbb{E}_{\text{consec}})$  and  $X_{\text{consec}} \subseteq X_{\text{nod}}$ . Moreover, we have that  $\psi_{\text{consec}}^*(\mu) = \mu$ ,  $\psi_{\text{consec}}^*(b) = b$ ,  $\psi_{\text{consec}}^*(\nu) = \nu' + \ell$  and  $\psi_{\text{consec}}^*(\rho) = \rho' + 2a$ .*

**Proof.** Notice that if we take a system of projective coordinates  $\{x_0, x_1, x_2, x_3\}$  of  $\mathbb{P}^3$  such that  $x = [1, 0, 0, 0]$  and  $\pi = \{x_3 = 0\}$  then  $\partial f / \partial x_0$  is the tangent cone of  $f$  at  $x$  over  $\pi$ . From this it is easy to see that  $\psi_{\text{consec}}$  induces a birational isomorphism. On the other hand, all the relations of the proposition can be proved in a similar way and so, as an illustration, we will only indicate how to prove that  $\psi_{\text{consec}}^*(\nu) = \nu' + \ell$ . To establish this relation it is enough to consider the commutative diagram:

$$\begin{array}{ccc} \overline{\mathbb{P}}(\mathbb{E}_{\text{consec}}) & \xrightarrow{\psi_{\text{consec}}} & X_{\text{nod}} \\ (p_2, p_1) \downarrow & & \downarrow p_3 \\ \mathbb{P}(S^2\mathbb{U}^*) \times_{\mathbb{P}} \mathbb{P}(\mathbb{U}^*) & \xrightarrow{q} & \mathbb{P}(S^3\mathbb{U}^*) \end{array}$$

where  $p_1$ ,  $p_2$  and  $p_3$  are the natural projections and  $q$  is the map that assigns  $(f', u_l, \pi) \mapsto (f' \cdot u_l, \pi)$ . From this, we have that

$$\begin{aligned}\psi_{\text{consec}}^*(v) &= \psi_{\text{consec}}^* p_3^*(c_1 \mathcal{O}_{\mathbb{P}(S^3 \mathbb{U}^*)}(1)) = (p_2, p_1)^* q^*(c_1 \mathcal{O}_{\mathbb{P}(S^2 \mathbb{U}^*)}(1)) \\ &= (p_2, p_1)^* (c_1 \mathcal{O}_{\mathbb{P}(S^2 \mathbb{U}^*)}(1), c_1 \mathcal{O}_{\mathbb{P}(\mathbb{U}^*)}(1)) = v' + \ell,\end{aligned}$$

as claimed.  $\square$

Therefore,

$$\int_{X_{\text{nod}}} \mu^i b^j v^k \rho^t \chi = \int_{\mathbb{P}(\mathbb{E}_{\text{consec}})} \mu^i b^j (v' + \ell)^k (\rho' + 2a)^t,$$

and so we have the following table.

**Proposition 2.4.** *In  $A^*(X_{\text{nod}})$  we have:*

$$\begin{array}{ll}\mu^3 \chi &= 42, 114, 260, 480, 588, 422, 144, 0 \\ \mu^2 \chi &= 672, 1652, 3424, 5840, 7264, 6452, 3952, 1344, 0 \\ \mu \chi &= 5640, 12568, 23632, 36864, 44040, 39820, 26968, 13452, 4224, 0 \\ \chi &= 31320, 62160, 103328, 141792, 153984, 130960, 86560, 44088, \\ &\quad 16072, 3984, 0\end{array}$$

where the numbers listed to the right of a given  $\mu^i \chi$  correspond to the intersection numbers  $\mu^i v^k \rho^{10-i-k} \chi$ , for  $k = 10 - i, \dots, 0$ .

**Corollary 2.2.** *The following relation holds in  $\text{Pic}(X_{\text{nod}})$ :*

$$\chi = 3\mu - 3b + 5v.$$

**Proof.** We obtain the expression of  $\chi$  in terms of the basis  $\{\mu, b, v\}$  of  $\text{Pic}(X_{\text{nod}})$  using table (4), the table in Proposition 2.4, and proceeding as in Corollary 2.1.  $\square$

### 3. Characteristic numbers of $X_{\text{nod}}$

In this section we express the condition  $\rho \in \text{Pic}(X_{\text{nod}})$ , that the nodal cubic  $(f, (\pi, x))$  is tangent to a given plane, in terms of the  $\mu$  condition and the degenerations  $\gamma$  and  $\chi$ . The formula we obtain generalizes to  $\mathbb{P}^3$  Zeuthen's degeneration formula  $3\rho = \gamma + 2\chi$  for nodal curves in  $\mathbb{P}^2$  (see Zeuthen (1872)).

**Proposition 3.1.** *The following relation holds in  $\text{Pic}(X_{\text{nod}})$ :*

$$3\rho = 4\mu + \gamma + 2\chi.$$

**Proof.** From Proposition 1.2 and Corollaries 2.1 and 2.2 we know that there exist rational numbers  $s_i$  such that  $\rho = s_0 \mu + s_1 \gamma + s_2 \chi$  holds in  $\text{Pic}(X_{\text{nod}})$ . Taking into account the degeneration formula of Zeuthen verified by Kleiman and Speiser (1988) we know that  $s_1 = \frac{1}{3}$  and  $s_2 = \frac{2}{3}$ . In order to determine  $s_0$  we compute the intersection number  $\mu^2 v^7 \rho b$  in two different ways. First, we have  $\mu^3 v^7 \rho = \frac{1}{3} \mu^3 v^7 \gamma + \frac{2}{3} \mu^3 v^7 \chi = 36$ . Now, from Corollary 2.1, we get  $\mu^2 b v^7 \rho = 2\mu^3 b v^6 \rho + \frac{1}{2} \mu^2 b v^6 \rho \gamma = 2 \cdot 22 + \frac{1}{2} \cdot 568 = 328$ . Finally, by substituting the expression of  $\rho$  in the relation  $\mu^2 b v^7 \rho = 328$ , we obtain  $s_0 = \frac{4}{3}$ .  $\square$

This proposition implies that the intersection numbers  $\mu^i v^k \rho^{11-i-k}$  in  $X_{\text{nod}}$  can be obtained as  $\mu^i v^k \rho^{11-i-k} = \frac{1}{3} (\mu^i v^k \rho^{10-i-k} (4\mu + \gamma + 2\chi))$ , because the unique degenerations of the 1-dimensional systems  $\mu^i v^k \rho^{10-i-k}$  are the ones consisting of a cuspidal cubic or a degenerated

conic with a secant line. Thus, from [Propositions 2.2 and 2.4](#), we are now able to compute all the non-zero intersection numbers of the form  $\mu^i v^k \rho^{11-i-k}$  in  $X_{\text{nod}}$ .

**Proposition 3.2.** *In  $A^*(X_{\text{nod}})$  we have:*

$$\begin{aligned}\mu^3 &= 12, 36, 100, 240, 480, 712, 756, 600, 400 \\ \mu^2 &= 216, 592, 1496, 3280, 6080, 8896, 10232, 9456, 7200, 4800 \\ \mu &= 2040, 5120, 11792, 23616, 40320, 56240, 64040, 60672, 49416, \\ &\quad 35760, 23840 \\ 1 &= 12960, 29520, 61120, 109632, 167616, 214400, 230240, 211200, 170192, \\ &\quad 124176, 85440, 56960\end{aligned}$$

where the numbers listed to the right of a given  $\mu^i$  correspond to the intersection numbers  $\mu^i v^k \rho^{11-i-k}$ , for  $k = 11 - i, \dots, 0$ .

Finally, from the formula  $P = \mu v - 3\mu^2$  given by Schubert (see [Hernández and Miret \(2003\)](#)), where  $P$  is the class of the subvariety of  $X_{\text{nod}}$  consisting of pairs  $(f, (\pi, x))$  such that  $f$  goes through a given point, and from the table of [Proposition 3.2](#), we get the characteristic numbers of nodal plane cubics in  $\mathbb{P}^3$  that involve the  $P$  condition. Our results confirm the characteristic numbers listed on page 159 of [Schubert \(1879\)](#).

**Theorem 3.1.** *The following results hold in  $A^*(X_{\text{nod}})$ :*

$$\begin{aligned}P^2 &= 144, 376, 896, 1840, 3200, 4624, 5696, 5856 \\ P &= 1392, 3344, 7304, 13776, 22080, 29552, 33344, 32304, 27816, 21360\end{aligned}$$

where the numbers listed to the right of a given  $P^i$  correspond to the characteristic numbers  $P^i v^k \rho^{11-2i-k}$ , for  $k = 11 - 2i, \dots, 0$ .

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