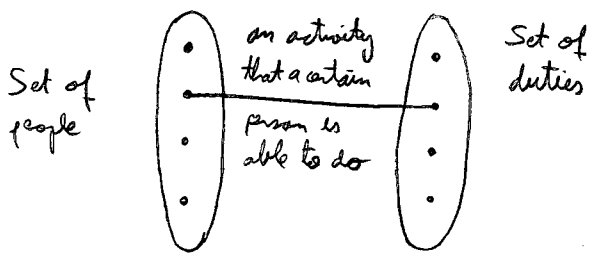


# Matching theory

(all graphs will be simple)

## Motivation:



Q: Are there general results to assure an assignment person-duty such that every task is done by exactly one person?

↳ Choice of a certain "disjoint" set of edges.

Def/ Let  $G=(V,E)$  be a graph. A matching in  $G$  is a set of edges which do not share endpoints. The size of a matching is its number of edges.

Def/ Let  $G=(V,E)$  be a graph and  $M$  a matching in  $G$ .  $M$  is a perfect matching if every vertex is incident with  $M$ .

In a more general context we have the following definition

Def/ Let  $G=(V,E)$  be a graph. A  $k$ -regular spanning subgraph of  $G$  is called  $k$ -factor.

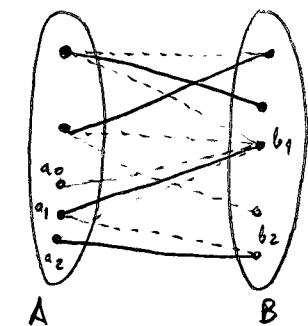
In particular, a perfect matching defines a 1-factor. We will study questions related to matchings, including the characterization of maximum matchings and proving conditions for the existence of 1-factors.

## Matchings in bipartite graphs.

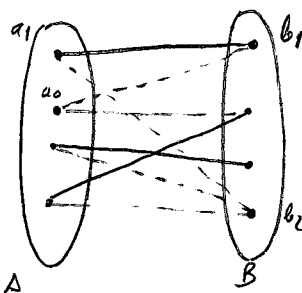
We write in all the section  $G=(V,E)$ , and  $V=A \cup B$  a bipartition of the vertices.

Def/ Let  $G=(V,E)$  be a bipartite graph, and let  $M$  be a matching in  $G$ . An alternating path wrt  $M$  in  $G$  is a path starting at an unmatched vertex in  $A$  which contains, alternately, edges from  $E \setminus M$  and  $M$ .

An alternating path finishing at an unmatched vertex in  $B$  is called augmenting path.



$a_0 b_1 a_1 b_2$  is an alternating path



$a_0 b_1 a_1 b_2$  is an augmenting path

Finding augmenting paths provide a way to increase the size of the initial matching

↓  
flip the edges in order to increase by one the size of the matching.

First question: can we characterize the maximum size of a matching in a bipartite graph? YES!

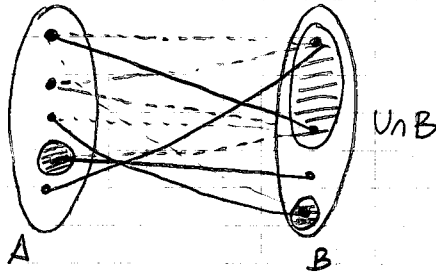
Def/ A vertex cover of a graph  $G=(V,E)$  is a subset  $V' \subseteq V$  such that every edge is incident with  $V'$ .

Of course a.k.a. for existence of perfect matching is only necessary when  $|A|=|B|$

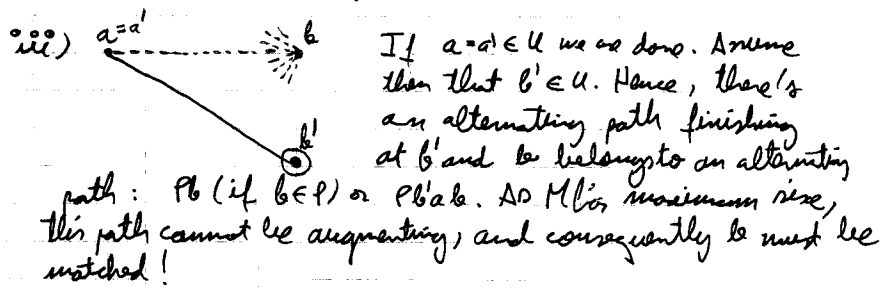
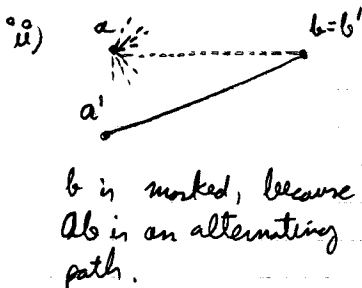
Theorem / (König, 1931) The size of a maximum matching in a bipartite graph  $G$  is equal to the minimum size of a vertex cover.

Proof! Let  $M$  be a matching of maximum cardinality. Since every vertex cover in particular covers  $M$ , we could try to construct a vertex cover of size  $|M|$ .

To do so, choose for every edge in  $M$  one of its endpoints: in  $B$  if some alternating path finishes there, or in  $A$  otherwise. Call this set  $U$ .



We show that every edge is incident with  $U$ . Of course edges from  $M$  are all incident with  $U$ . So let us assume that  $e = ab$  is an edge  $\notin M$ .  
 i)  $a, b$  are matched  $\Rightarrow$  Trivial!  
 ii)  $a, b$  are not matched  $\Rightarrow$  Not possible, because we could construct  $M' = M \cup \{ab\}$  which is a matching with  $|M'| > |M|$ .



We have redefined the notion of alternating and augmenting path in this proof.

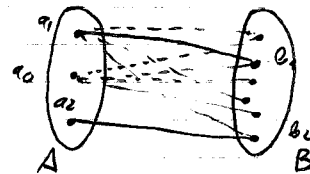
Second question: When can we assure that there exist a matching such that all vertices in  $A$  are matched?

For a subset  $S \subseteq A$ , denote by  $N(S)$  the set of neighbours of  $S$  in  $B$ . Of course it is necessary that  $|N(S)| \geq |S|$  in order to assure such a matching. But in fact, this condition is sufficient:

Theorem / (Hall, 1935) A bipartite graph  $G$  has a matching of  $A$  iff for all  $S \subseteq A$   $|N(S)| \geq |S|$ .

Proof! (Algorithmic) We use augmenting paths. Let  $M$  be a matching which does not contain a particular vertex  $a_0$ . We construct then an augmenting path in the following form:

- i)  $a_0$  is unmatched
- ii)  $b_i$  is adjacent to some vertex  $a_i$  ( $a_0, a_1, \dots, a_{i-1}$ )
- iii)  $a_i b_i \in M$ .



Observe that step ii) can be always done due to the condition  $|N(S)| \geq |S|$ , so the sequence will finish in some vertex in  $B$ . Let  $b_k$  be the last vertex in the sequence, and let

$$P = b_k a_{i(k)} b_{i(k)} a_{i(k)-1} \dots a_{i(1)} \quad (i'(k) = 0)$$

be the corresponding alternating path. Observe that:

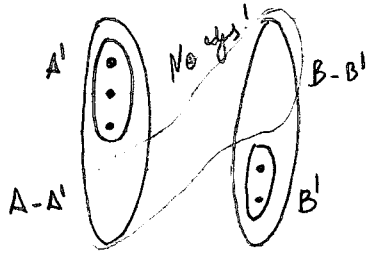
a) If  $b_k$  is matched, it must be matched to a vertex which has appeared in the sequence (otherwise we could extend the path).

b) Hence,  $b_k$  is unmatched and  $P$  is an augmenting path!

NOT COVERED!

Another proof using König's theorem:

Proof / Assume that  $G$  does not contain a matching of  $A$ . Hence, by König's Theorem there exists a cover set of size  $< |A|$ . Write this cover set  $U = A' \cup B'$ ,  $A' \subseteq A$ ,  $B' \subseteq B$ :



$$|A'| + |B'| = |U| < |A|$$

$$\Downarrow$$

$$|B'| < |A| - |A'| = |A - A'|$$

And  $|N(A - A')| \leq |B'| < |A - A'|$  which is a bad set !!

Consequences: 2 corollaries of Hall's theorem:

Corollary / If  $G$  is  $k$ -regular with  $k \geq 1$ , then  $G$  has a 1-factor.

Proof / Just notice that the number of edges incident with a set  $S \subseteq A$  is  $k|S|$ , which is smaller than  $k|N(S)|$ .

Corollary / (Petersen, 1891) Each  $(2k)$ -regular graph has a 2-factor.

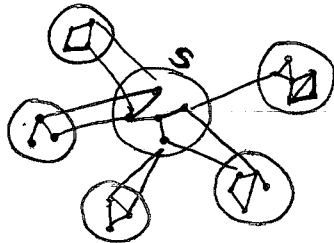
Proof / Consider an Euler tour and apply the previous corollary when repeating vertices with respect to  $\rightarrow$  and  $\leftarrow$ .

Matchings in general graphs.

The next question is to study 1-factors in general graphs. For this purpose, let  $G = (V, E)$  be a graph and  $S \subseteq V$ . Denote by  $o(G-S)$  the number of connected components of odd size of the graph  $G[V-S]$ :

$$o(G-S) = 3$$

$$|S| = 5$$



It is necessary that  $o(G-S) \leq |S|$ , because every odd component needs a vertex in  $S$  to complete the 1-factor.

$\Downarrow$   
In fact the condition is sufficient!

Theorem / (Tutte, 1947) A graph  $G = (V, E)$  has a 1-factor iff  $o(G-S) \leq |S|$  for all  $S \subseteq V$ .

Proof / We start with an observation in order to prove the difficult implication. Let  $G$  be a graph which satisfies that  $o(G-S) \leq |S| \forall S \subseteq V$ . Then, if  $G^*$  is obtained from  $G$  by adding an edge, the number of components of odd order does not increase: once adding a new edge we join components of  $G-S$ , and two even components generate a unique component; if the components are both odd, once adding an edge the resulting one is even. Something similar can be said when the components have different parity (odd + even  $\rightarrow$  odd).

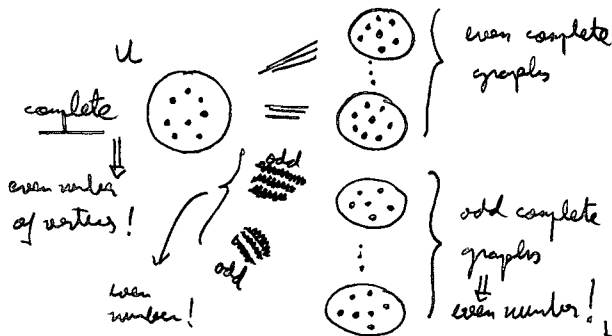
Observe also that  $G$  has a 1-factor  $\Rightarrow G^*$  has a 1-factor.

Hence, we can try to prove the following: it does not exist a graph  $G$  such that:

- i) Satisfies Tutte's condition
- ii) It does not have a 1-factor
- iii) Adding any missing edge yields a graph with a 1-factor.

Assume that  $G$  exists and let  $U$  be the set of vertices in  $V(G)$  with degree  $|V(G)| - 1$ . Two situations might happen:

a)  $G[V-U]$  is a disjoint union of complete graphs!

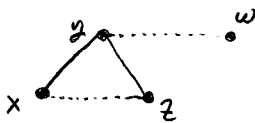


The total number of vertices is even (because adding any edge gives a graph with a 1-factor), hence the number of odd complete graphs must be even.

Each odd complete graph needs to match an odd number of vertices with  $U$  (just one, in fact) and match the rest easily. As  $o(G-U) \leq |U|$  we can do this.

Hence,  $U$  (which defines a complete graph) can be finally matched using the rest of the vertices (not used to match with the odd component).

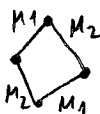
b)  $G[V-U]$  is not a disjoint union of complete graphs: in this case,  $G[V-U]$  has two non-adjacent vertices with a common neighbour:



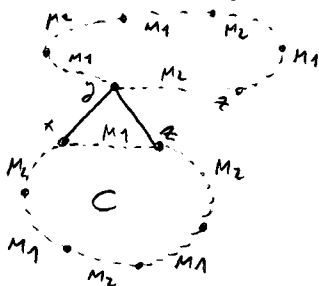
as  $y$  does not belong to  $U$ , it is not incident with a vertex  $w$ .

Observe now that, by assumption, adding either  $\overline{xz}$  or  $\overline{yw}$ , we create graphs with 1-factors. Let  $M_1$  (resp.  $M_2$ ) the 1-factors obtained when adding  $\overline{xz}$  (resp.  $\overline{yw}$ ). We will prove that the set of edges in exactly  $M_1$  or in  $M_2$ , joint with  $\{\overline{xz}, \overline{yw}\}$  contains a 1-factor avoiding  $\overline{yw}$  and  $\overline{xz}$ . Call this set  $\overline{M_1 \Delta M_2} = F$ .

Observe more that  $\overline{yw}$  and  $\overline{xz} \in F$ . Additionally, each vertex in the matchings  $M_1$  and  $M_2$  have degree 1. So, when joining  $M_1$  and  $M_2$  (killing repeated edges) the resulting graph must be defined in terms of isolated vertices and cycles, which have even length. Distinguish now two cases:

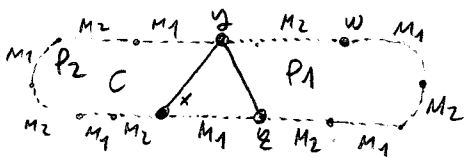


1) The cycle  $C$  containing  $\overline{xz}$  it does not contain  $\overline{yw}$ :



just take then the edges in  $C$  from  $M_2$  and the rest of the edges of  $M_1$ . This new matching covers all vertices, and avoid edges  $\overline{xz}$  and  $\overline{yw}$ .

2) The cycle  $C$  containing  $\overline{xz}$  it also contains  $\overline{yw}$ :



just take then  $M_1$  on the path  $P_1$ ,  $M_2$  in the path  $P_2$ , and edge  $\overline{yz}$  to cover  $y$  and  $z$ .

$P_1$ : path with endvertices  $y$  and  $z$   
 $P_2$ : path with endvertices  $x$  and  $y$ .

Obs/ For any  $S \subseteq V(G)$ ,  $|S| + o(G-S) \equiv |V(G)| \pmod{2}$ . Consequently, also the difference  $|S| - o(G-S)$ .

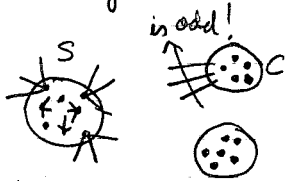
Then, if  $G$  do NOT have a 1-factor, there exist  $S \subseteq V(G)$  such that  $o(G-S) > |S|$ , an in fact  $o(G-S) - |S| \geq 2$ .

and  $|V(G)| \equiv 0 \pmod{2}$

Corollary 1 (Peterson' 1891) Every 3-regular graph with no bridges has a 1-factor.

Proof / Let  $G$  be a cubic bridgeless graph. It is seen that Tutte's condition is satisfied

Let  $S \subseteq V(G)$  be given, and consider an odd component  $C$  of  $G-S$ :



the sum of the degrees of  $C$  is an odd number, but only an even number arises from edges joining vertices in  $C$ . So the number of edges joining  $C$  and  $S$  is odd. Hence it must be greater or equal than 3 (we do not have bridges, hence it cannot be

1). Then the number of such edges is, at least,  $3o(G-S)$ . But it is also at most  $3|S|$ , because  $G$  is cubic. Hence  $o(G-S) \leq |S|$ .

In this context one would want to know how many 1-factors have a cubic bridgeless graph. This is precisely formulated by the following conjecture:

Conjecture / (Lovász-Plummer, 70's) There exist an universal constant  $\epsilon > 0$  such that, for any cubic bridgeless graph  $G$ ,

$$2^{\epsilon |V(G)|} \leq \# \text{ 1-factors} \leq 2^{|V(G)|}$$

After some partial results, now we have an affirmative answer:

Theorem / (Esperet, Kardoš, King, Král, Novine, 2011) One can take  $\epsilon = 1/3656$ .