

# Graph Colouring

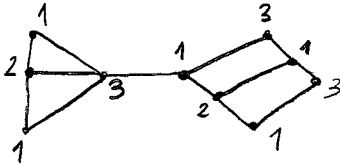
We must start with the basic definition of colouring. We assume all graphs simple.

Def / Let  $G=(V,E)$  be a graph. A map  $c:V \rightarrow S$  (where  $S$  is a finite set) such that  $c(v) \neq c(w)$  when  $vw \in E$  is called a proper colouring. In this context, elements of  $S$  are named colours.

Def / The smallest integer  $k$  such that there exists a map  $c:V \rightarrow [k]$  is called the chromatic number of  $G$ . We write it as  $\chi(G)$ .

In particular, bipartite graphs are graphs with chromatic number equals to 2.

Example / The chromatic number of  $K_n$  is  $n$ .



It seems that, in general, given a graph with  $n$  vertices, its chromatic number is between 1 (isolated vertex) and  $n$  (complete graph, or "almost" complete).

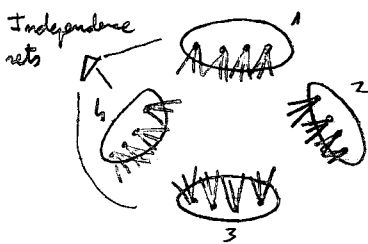
Questions:

- 1) Can we relate this parameter with others in a graph?
- 2) Can we say that  $\chi(G) \leq c$  if we know something additional on  $G$ ?

We start with the first question. For this purpose, we need some extra definitions:

Def / Let  $G=(V,E)$  be a graph. The clique number of  $G$  ( $\omega(G)$ ) is the maximum size of a set of pairwise adjacent vertices.

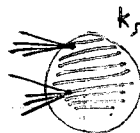
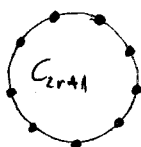
Def / Let  $G=(V,E)$  be a graph. The independence number of  $G$  ( $\alpha(G)$ ) is the maximum size of a set of independent vertices.



Prop / For every graph  $G$ ,  $\chi(G) \geq \omega(G)$  and  $\chi(G) \geq \frac{|V(G)|}{\alpha(G)}$ .

Proof / The first one is trivial (we need to colour the clique of size  $\omega(G)$ ). For the second, we may prove that  $\chi(G) \alpha(G) \geq |V(G)|$ . Observe that each colour set is an independent set, hence the result follows from this observation.

In particular, we could have graphs with  $\chi(G) > \omega(G)$ :



The value  $\omega(G)$  is equal to  $5+2$  (the cycle does not have triangles), but  $\chi(G) \geq 5+3$  (we need 3 colours to colour the cycle).

We can also obtain bounds for  $\chi(G)$  in terms of  $|E(G)|$ :

Prop / Let  $G=(V,E)$  be a graph. Then  $\chi(G) \leq \frac{1}{2} + \sqrt{2|E(G)| + \frac{1}{4}}$ .

Proof / Observe that, for a proper colouring of  $G$ , the endvertices of a certain edge are coloured with different colours. Observe then that if there is no edge joining vertices of colours  $i$  and  $j$ , we could then consider a proper colouring of  $G$  by identifying colours  $i$  and  $j$ . Then:

$$|E(G)| \geq \frac{1}{2} \chi(G) (\chi(G) - 1) \Rightarrow \chi(G) \leq \frac{1}{2} + \sqrt{2|E(G)| + \frac{1}{4}}$$

This is an upper bound in terms of the number of edges. However, we can obtain other upper bounds by algorithmic means:

Prop / (Greedy algorithm)  $\chi(G) \leq \Delta(G) + 1$  for all graphs  $G$ .

Proof / We apply the greedy algorithm: we provide a vertex ordering  $v_1, \dots, v_n$  of  $V(G)$ , and we colour  $v_i$  with the smallest indexed colour not already used to colour  $v_1, \dots, v_{i-1}$ .

As in each vertex ordering, each vertex has at most  $\Delta(G)$  earlier neighbours, this algorithm can use less than  $\Delta(G) + 1$  colours.

This greedy algorithm could be improved if we store the sequence of vertex degrees:

Prop / (Welsh-Powell) If a graph  $G$  has degree sequence  $d_1 \geq \dots \geq d_n$ , then  $\chi(G) \leq 1 + \max_i \{d_i / i\}$ .

Proof / Apply again the greedy algorithm.

Theorem / (Brook's Theorem) Let  $G$  be a connected graph. If  $G$  is neither complete nor an odd cycle,  $\chi(G) \leq \Delta(G)$ .

### Planar graphs and chromatic number

Forcing a certain topology on a graph, would give better improvement for the chromatic number.

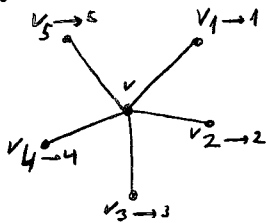
Theorem / (Five colour Theorem) Let  $G$  be a planar graph. Then  $\chi(G) \leq 5$ .

Proof / Induction on the number of vertices. The result is true for all graphs with  $|V(G)| = 1$ . Assume the result true for all graphs which are planar and  $|V(G)| < n$ , and let us prove for  $|V(G)| = n$ .

Let  $G$  be a planar graph with  $|V(G)| = n$ , and choose a vertex  $v$  of  $G$  whose degree is  $\leq 5$ . Call it  $v$ . Such a vertex exists because  $G$  is planar. Distinguish 2 cases:

i)  $d(v) \leq 4$ : Then consider the graph  $G' = G - v$ , with  $|V(G')| = n - 1 < n$ . By hypothesis it can be coloured with 5 colours. Then, as  $d(v) \leq 4$ , we can colour  $v$  in  $G$  with the colour we haven't used.

ii)  $d(v) = 5$ . Consider this vertex and its neighbours: we may assume that they are coloured using 5 colours.



We study the subgraph induced by vertices painted with colours 1 and 3. Observe that if  $v_1$  and  $v_3$  belong to different components of this graph, then we can switch colours on one of the component (For instance, in the one containing  $v_1$ ), and colour  $v$  with colour 1.

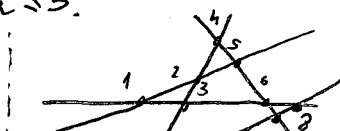
In the other case,  $v_1$  and  $v_3$  are connected in the subgraph. But then, the corresponding graph for colours 2 and 4 must be disconnected, and we can apply the same argument as before.

Obs / This argument fails when dealing with 4 colours, however, the result is true:

Theorem / (Four Colour Theorem, Appel-Haken) Let  $G$  be a planar graph. Then  $\chi(G) \leq 4$ .

We can apply planarity in other contexts.

Example / Consider a set of lines in general position. Prove that the resulting graph has chromatic number  $\leq 3$ .



Apply an analogous argument with a line non parallel to the previous ones: once we find a vertex, it may have at most two predecessors in order  $\Rightarrow$  we use then the third colour.

# Concepts related to vertex colouring

## A.- Perfect graphs.

We have seen that the chromatic number can be bounded in terms <sup>either</sup> of the clique number or the independence number. Additionally, the chromatic number is a local-global condition; hence, one might wonder which graphs have a local behaviour with respect to this parameter.

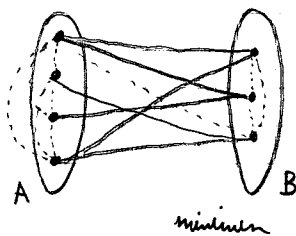
Def / A graph  $G$  is called perfect if every induced subgraph  $H$  of  $G$  has chromatic number  $\chi(H) = \omega(H)$ .

Let us see some examples of perfect graphs:

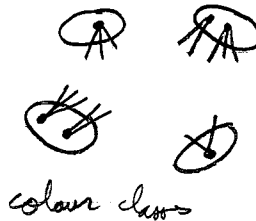
Example / 1) Bipartite graphs are clearly perfect.

2) Complete graphs are also perfect, and the complement (isolated vertices) are also perfect.

3) The complement of bipartite graphs are perfect: we just need to prove that if  $G$  is a bipartite graph, then  $\chi(\bar{G}) = \omega(\bar{G})$  (because every subgraph of the complement of a bipartite graph is the complement of a bipartite graph):



complement  
→  
 $\bar{G}$



Each colour class have at most  $n$  vertices (otherwise we will have triangles in  $G$ )  $\Rightarrow$

$$\chi(\bar{G}) = n - \# \text{ max perfect matching} \\ = n - \# \text{ min vertex cover of } G.$$

Now observe that a  $\checkmark$  vertex cover in  $G$  defines a clique in  $\bar{G}$  with maximum cardinality, hence  $\chi(\bar{G}) = \omega(\bar{G})$ .

The previous examples relate the perfection of a graph with the perfection of its complementary. And in fact this is the case:

Theorem / (Berge - Weak Perfect Graph Conjecture - Lovász '72) A graph is perfect iff its complement is perfect.

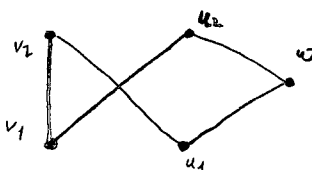
Something more precise would clarify the structure of such graphs. This is the case in this famous theorem:

Theorem / (Berge - Strong Perfect Graph Conjecture - Chudnovsky, Robertson, Seymour & Thomas 2002)

A graph  $G$  is perfect if and only if neither  $G$  nor  $\bar{G}$  contains an odd cycle of length at least 5 as an induced subgraph.

## B.- Graphs with large chromatic number.

In general we have that  $\chi(G) \geq \omega(G)$ , but one would wonder to know which is the gap between these two values. We show now a construction of graphs without triangles and large chromatic number  $\Rightarrow$  Mycielski's construction.



For each vertex  $v_i$  in  $V(G)$ , we make a copy of it (call it  $u_i$ ), and we join this vertex with all neighbours of  $v_i$ . Finally, we add a new vertex  $w$  which is adjacent to all vertices  $\{u_1, \dots, u_n\} = U$ .

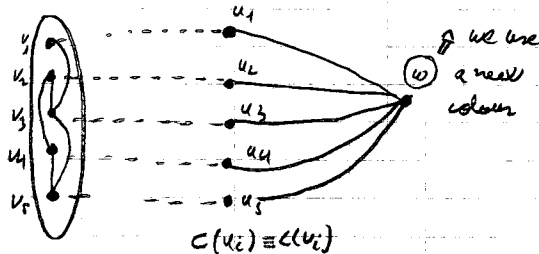
The main result is that applying this operation we would increase the chromatic number of the initial graph.

**Theorem /** Let  $G$  be a graph with  $\chi(G) = k$ , and triangle-free. Then, Mycielski's construction produces a new graph  $G'$ , triangle-free, with  $\chi(G') = k + 1$ .

**Proof /** Write  $V(G) = \{v_1, \dots, v_n\}$ ,  $U = \{u_1, \dots, u_n\}$  the copies of vertices in  $V(G)$ , and  $w$  the additional vertex.

i)  $G'$  is triangle-free: observe that the set  $U$  in  $G'$  is independent by construction; so if  $G'$  has a triangle, this is because  $G$  had one.

ii)  $\chi(G') = k + 1$ : we extend a colouring of  $G$  in the following form:



With this colouring we have that  $\chi(G') \leq \chi(G) + 1$ . We show more that  $\chi(G) < \chi(G')$ . To prove this, we consider a proper colouring of  $G'$  and we get a proper colouring of  $G$  with less colours. Assume that the colours are  $[S]$ , and that  $c(w) = s$ . Hence, the colours used to paint vertices in  $U$  are  $[S - 1]$ .

Let  $A$  be the set of vertices in  $V(G)$  using colour  $s$ . Then, we change its colour by  $c(u_i)$  (where  $v_i \in A$ ): this gives a proper colouring of  $G$  with  $s - 1$  colours.

## Edge colouring of graphs

One can do similar things when now we colour edges instead of vertices:

**Def /** Let  $G = (V, E)$  be a graph. An edge colouring of  $G$  is a map  $c: E \rightarrow [k]$ ; An edge colouring is proper if  $c(e) \neq c(f)$  when  $e$  and  $f$  share an endpoint. The smallest integer  $k$  for which a  $k$ -edge colouring exists is the edge-chromatic number of  $G$ ; we denote it by  $\chi'(G)$ .

**Observation /** a) It is clear that  $\chi'(G) \geq \Delta(G)$

b) If  $L(G)$  is the line graph of  $G$ , then  $\chi'(G) = \chi(L(G))$

c)  $\chi'(G) \leq 2\Delta(G) - 1$ :  $\chi'(G) = \chi(L(G)) \leq \Delta(L(G)) + 1 \leq 2(\Delta(G) - 1) + 1 = 2\Delta(G) - 1$

**Theorem / (König, 1916)** If  $G$  is a bipartite graph, then  $\chi'(G) = \Delta(G)$ .

**Proof /** Recall that every  $k$ -regular bipartite graph has a 1-factor. Hence, deleting this 1-factor gives a  $(k-1)$ -regular bipartite graph, and we can repeat the same argument. So we will show that for every bipartite graph  $G$  with maximum degree  $\Delta(G) = k$ , there is a  $k$ -regular bipartite graph  $H$  containing  $G$ .

To start with, add new vertices to the smaller partite set of  $G$  (if necessary). If the resulting graph is not regular, this is because there is some vertex whose degree is  $\leq k$  in each partite set. Add an edge with these two vertices as endpoints, and continue until the graph becomes  $k$ -regular.

We have shown that  $\Delta(G) \leq \chi'(G) \leq 2\Delta(G) - 1$ , but in fact we can do much better:

**Theorem / (Vizing '64)** Let  $G = (V, E)$  be a simple graph. Then  $\chi'(G) \leq \Delta(G) + 1$ .

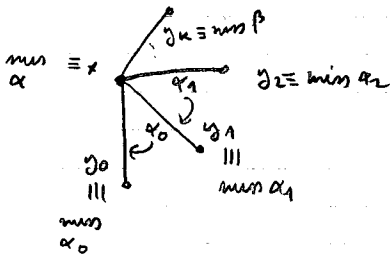
**Proof /** We apply induction on the number of edges of  $G$ : for  $|E(G)| = 0, 1$  the result is trivial. Assume that the result is true for graphs with less than  $k$  edges and let us prove the result for graphs with  $k$  edges.

Let  $G$  be a graph with  $|E(G)|$  edges. Just to simplify notation, if an edge  $e$  is coloured with colour  $\alpha$  we call  $e$  an  $\alpha$ -edge. Observe that for every edge  $e \in E(G)$ , we have an edge colouring of  $G' = (V(G), E(G) - e)$  with  $\Delta(G) + 1$  colours. In such a colouring, the number of colours at a certain vertex is at most  $\Delta(G)$ , so for each vertex there is a colour  $\beta \in \{1, \dots, \Delta(G) + 1\}$  which is missing at this vertex.

Finally, for vertices  $v, w$ , a colour  $\alpha$  and a colour  $\beta$  which is missing at  $v, w$ , there exists a unique maximal path (possibly trivial) starting at  $v$  and alternating colours  $\alpha$  and  $\beta$ . We call this path an  $\alpha/\beta$ -path from  $v$  to  $w$ .

So assume now that  $G$  has no  $(\Delta(G)+1)$ -edge-colouring. Observe now that given  $\bar{xy} = e \in E(G)$  and any colouring of  $G' = (V(G), E(G) - \bar{xy})$  in which  $\alpha$  is missing at  $x$  and  $\beta$  is missing at  $y$ , then the  $\alpha/\beta$ -path from  $y$  ending at  $x$ : otherwise we could interchange colours and painting  $\bar{xy}$  with colour  $\alpha$ .

Let now be  $\bar{xy}_0 \in E(G)$ , and let  $G_0 = (V(G), E(G) - \{\bar{xy}_0\})$ :

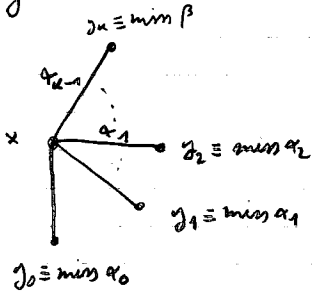


We consider the neighbours of  $x$ , and we consider a maximal sequence  $y_0, y_1, \dots, y_k$  such that the colour of the edge  $\bar{xy}_i$  is the one missed at  $y_{i-1}$ .

Then, for each  $i=0, \dots, k$ , we consider the graph  $G_i = (V(G), E(G) - \{\bar{xy}_i\})$ , and the colouring  $c_i$ :

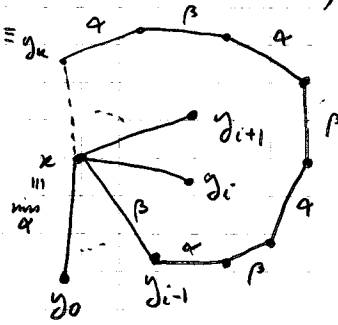
$$c_i(e) = \begin{cases} c_0(\bar{xy}_{i+1}) & \text{if } e = \bar{xy}_i, i < k \\ c_0(e) & \text{otherwise} \end{cases}$$

In particular, the same colours are missed at  $x$ . Assume now that  $\beta$  is missing at  $y_k$  of the colouring  $c_0$ :

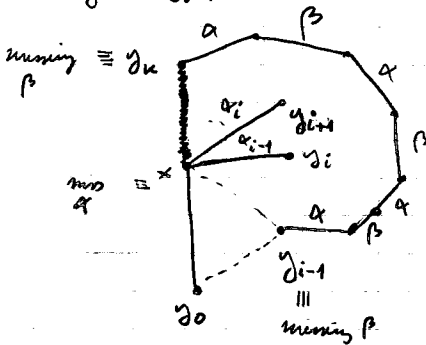


Then,  $\beta$  is still missing at  $y_k$  in  $G_k$  in  $c_k$ . Observe that now  $x$  is NOT missing  $\beta$ : otherwise we could colour edge  $\bar{xy}_k$  with  $\beta$ ! Consequently,  $x$  is incident with a  $\beta$ -edge, which is  $\bar{xy}_r, r < k$  (the sequence of the  $y_i$  is maximal!) Assume that  $c_0(\bar{xy}_i) = \beta$ .

And consequently  $c_k(\bar{xy}_{i-1}) = \beta$ .



We consider the  $\alpha/\beta$ -path starting at  $x$  and finishing at  $y_k$ , with respect to the colouring  $c_k$ . Now observe that in  $G_0(c_0)$  and  $G_{i-1}(c_{i-1})$ ,  $\beta$  is missing at  $y_{i-1}$ :



Finally, observe that in  $G_k$  we cannot have a  $\alpha/\beta$ -path starting at  $x$  and finishing at  $y_{i-1}$ , because this contradicts the fact that  $y_k$  was missing  $\beta$ .

Vizing's Theorem divide graphs into two classes, in terms of  $\chi'(G) = \Delta(G)$  or  $\chi'(G) = \Delta(G) + 1$ . Those are called class 1 and class 2.