

# Problem Sheet 4

## Exponential Generating Functions

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Discrete Mathematics III, Summer 2014

Deadline: 26th May 2014 (Monday) by 14:00, at the end of the lecture.

**Problem 1 [10 points]:** Let  $\mathcal{A}$  be a labelled combinatorial family and denote by  $\widehat{\mathcal{A}}$  the corresponding unlabelled family (namely, two elements  $a, a'$  in  $\mathcal{A}$  give rise to the same element in  $\widehat{\mathcal{A}}$  if  $a$  is obtained from  $a'$  by permuting the labels). Writing  $a_n = \{a \in \mathcal{A} : |a| = n\}$  and  $\widehat{a}_n = \{\widehat{a} \in \widehat{\mathcal{A}} : |\widehat{a}| = n\}$ , prove that

$$\widehat{a}_n \leq a_n \leq \widehat{a}_n \cdot n!$$

**Problem 2 [20 points]:** *The Dyssimmetry Theorem for trees:* In the lectures we have proved that the generating function for labelled *rooted* trees  $T(x)$  satisfies the equation  $T(x) = xe^{T(x)}$ . We have also seen that the GF associated to *unrooted* trees is equal to  $T(x) - \frac{1}{2}T(x)^2$ . Such an easy formula for a generating function suggests some direct explanation. This is what we will show in this problem. We start with the following easy observation:

- Prove that the center of a tree is a canonical root (namely, it is uniquely determined; the center however can be either a vertex or an edge).

If now  $\mathcal{T}$  is a family of unrooted trees, write  $\mathcal{T}_\bullet$  for the combinatorial family defined from  $\mathcal{T}$  by marking one of the vertices. Similarly, define  $\mathcal{T}_{\bullet-\bullet}$  and  $\mathcal{T}_{\bullet \rightarrow \bullet}$ , when marking an edge (and orienting it, in the second case).

- Prove the *Dyssimmetry Theorem for trees*: there is a combinatorial bijection between  $\mathcal{T} \cup \mathcal{T}_{\bullet \rightarrow \bullet}$  and  $\mathcal{T}_\bullet \cup \mathcal{T}_{\bullet-\bullet}$ . (*Hint*: first, consider an element in  $\mathcal{T}$  and root it canonically using its center, and see the position of the root with respect to the canonical root).
- Item apply the previous result to get  $U(x)$  in terms of  $T(x)$  without the integration step (namely, get  $U(x) = T(x) - \frac{1}{2}T(x)^2$  using combinatorial arguments).
- **Bonus [10 points]** Application: use the result to get the generating function for unrooted unlabelled non-embedded trees, in terms of the generating function of rooted ones (*Hint*: here you should be careful with the family  $\mathcal{T}_\bullet$ : use the cycle-logarithmic operator).

**Problem 3 [10 points]:** *Unicyclic graphs:* An *unicyclic* graph is a graph (not necessarily connected) with exactly 1 cycle. Get the exponential generating function for this combinatorial family in terms of the generating function of rooted labelled trees  $T(x)$  (which satisfies that  $T(x) = x \exp(T(x))$ ) and the generating function  $U(x)$  for unrooted labelled trees. In the generating function you obtain, use an auxiliary variable  $u$  in order to encode the length of this cycle (*Hint*: firstly, write the GF in terms of connected components. Later, see which is the structure of a connected unicyclic graph).

**Problem 4 [10 points]:** *Surjections and set partitions:* An  $r$ -*surjection* from  $[n]$  to  $[r]$  is a function  $f : [n] \rightarrow [r]$  such that  $f^{-1}(i) \neq \emptyset$  for all choices of  $i$ . An  $r$ -*partition* of  $[n]$  is a partition of  $[n]$  into  $r$  non-empty disjoint subsets.

1. Prove that the EGF of  $r$ -surjections,  $S_r(x)$ , where  $x$  marks the total number of preimages is equal to  $(e^x - 1)^r$  (*Hint*: describe a surjection as a convenient finite sequence).
2. Prove that the EGF of  $r$ -partitions,  $P_r(x)$ , where  $x$  marks the total number of elements, is equal to  $\frac{1}{r!} (e^x - 1)^r$ . Relate its coefficients with the ones counting surjections.
3. From point 1.- deduce that the number of  $r$ -surjections of  $[n]$  is equal to  $\sum_{j=0}^r \binom{r}{j} (-1)^j (r-j)^n$ , which is the formula you obtain when applying inclusion-exclusion.