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A switching high-gain observer approach for estimating the state of charge of metal hydride storage tanks

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ABSTRACT

State of charge serves as a critical metric for the monitoring and operation of metal hydride storage systems. As the state of charge cannot be obtained through direct measurement, it is typically inferred by constructing state observers that reconstruct the internal states of the storage system. However, the system exhibits an equilibrium region between absorption and release, in which it becomes non-observable. In comparison to previous works, we consider a model that includes thermal effects, which reduces the unobservable region. To deal with the piecewise smooth nature of the model, this paper proposes a novel nonlinear switching high-gain observer for metal hydride storage tank systems. The observability properties of a third-order state-space representation of the system are systematically investigated and sufficient conditions ensuring observer convergence are established under the assumption of synchronous switching between the observer and the system. Furthermore, the robustness of the proposed observer is examined in scenarios where asynchronous switching occurs between the observer and the system. The numerical simulations used in the paper show that the estimation errors of the sorption rate, internal hydrogen states, and temperature converge within 0.2 s, 5 s, and 2 s, respectively, while the state of charge estimate converges within 6 s. The proposed approach is further validated experimentally using a commercial metal hydride storage tank, where the estimated states closely follow the measured temperature and pressure-related dynamics, and the state of charge estimate converges to the offline reference.

1. Introduction

Metal hydride (MH) storage tanks are widely regarded as a promising technology for solid-state hydrogen storage due to their high volumetric energy density and inherent safety advantages [1]. In practical applications such as renewable energy systems, hybrid power systems, and hydrogen-based backup power units, the reliable operation of MH tanks requires accurate knowledge of their internal storage condition [2]. Among the relevant indicators, the state of charge (SOC), which represents the proportion of the current hydrogen content relative to the maximum storage capacity, plays an important role in monitoring, control, and safety management [3,4]. Despite its importance, the SOC of a MH storage tank is not directly measurable with existing sensing technologies. Consequently, it has to be inferred from measurable variables, including temperature, pressure, and hydrogen flow rate. Suárez et al. [5] calculated the amount of absorbed or

released hydrogen by integrating the flow rate over time. However, using this approach to find the remaining hydrogen in the tanks requires knowing the exact initial mass. Furthermore, direct integration of flow measurements is very sensitive to sensor noise, and the error built up over long periods can cause major inaccuracies in the results. Model-based state observers [6,7] provide an alternative framework to address this issue by reconstructing the internal state of the tank using its dynamic model and measured signals. Nevertheless, SOC estimation remains a challenging task, mainly due to the nonlinear behavior of the physicochemical processes and the hybrid nature of hydrogen absorption and desorption dynamics.

Existing observer design methods mostly rely on simplified low-order state-space models to reduce computational complexity [8,9]. Although these models can estimate the SOC with reasonable accuracy, the primary issue lies in the existence of large unobservable regions,

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Nomenclature**Abbreviations**

SOC	State of charge
MH	Metal hydride
USDE	Unknown system dynamic estimator

Parameters

V_{MH}	Volume of the MH (m^3)
f_{in}	Normalized mass-flow rate of hydrogen ($\text{kg}/\text{m}^3/\text{s}$)
C_d	Desorption constant (1/s)
V_g	Normalized volume of hydrogen
f_r	Normalized sorption mass-flow rate of hydrogen ($\text{kg}/\text{m}^3/\text{s}$)
ϵ	Porosity of the MH
C_a	Absorption constant (1/s)
F_{in}	Flow rate of hydrogen (Ln/min)
V_{H_2}	Maximum volume of hydrogen that can be absorbed (m^3)
P	Pressure of hydrogen (Pa)
k_p	Proportional coefficient
ρ_g	Density of hydrogen (kg/m^3)
P_0	Atmospheric pressure (101 325 Pa)
M_{H_2}	Molar mass of hydrogen ($2.016 \times 10^{-3} \text{ kg/mol}$)
R	Universal gas constant (8.314 J/mol/K)
ρ_s	Density of the MH (kg/m^3)
ρ_{H_2}	Density of hydrogen in standard state (kg/m^3)
V_s	Normalized volume of the MH
P_{pipe}	Pipe pressure (Pa)
φ, φ_0	Plateau flatness coefficients
β	Plateau hysteresis coefficient
ρ_{s0}	Empty density of the MH without any hydrogen (kg/m^3)
$P_{eq,d}$	Equilibrium pressure of desorption (Pa)
ρ_{ss}	Saturated density of the MH with complete absorption of hydrogen (kg/m^3)
$P_{eq,a}$	Equilibrium pressure of absorption (Pa)
m_{total}	Maximum hydrogen capacity (kg)
ΔS_d	Entropy change for desorption (J/mol/K)
E_d	Activation energy of desorption (J/mol)
ΔH_d	Enthalpy change for desorption (J/mol)
E_a	Activation energy of absorption (J/mol)

Subscripts

a	Absorption
σ	Switching signal
d	Desorption

In this work, a nonlinear switching high-gain observer is proposed for SOC estimation in MH storage tanks. More specifically, the main contributions of this work are summarized as follows.

1. The proposed approach relies on a third-order state-space representation of the MH tank, for which a comprehensive observability analysis is performed.
2. Based on the observability analysis, a switching high-gain observer is constructed to reconstruct the internal states of the MH tank. Sufficient conditions are established to guarantee convergence of the observer under the assumption of synchronous switching between the observer and the plant.
3. The robustness of the proposed approach is investigated in scenarios where the mode of operation of the observer and the plant are temporarily de-synchronized, which naturally arise in practical implementations.
4. The effectiveness of the proposed SOC estimation framework is demonstrated through a numerical simulation and experimental validation on an MH storage tank setup.

Section 2 describes the mathematical modeling of the MH storage tank and formulates the observer design and SOC estimation tasks. Then, Section 3 examines the system observability. The observer design methodology is detailed in Section 4. Numerical simulation results are reported in Section 5, followed by experimental verification using an MH tank setup in Section 6.

2. Problem formulation**2.1. Mathematical model of MH tanks**

This work adopts an established mathematical description of the MH storage tank reported in Keow et al. [10].

The main assumptions of the MH tank model are:

1. Hydrogen is treated as an ideal gas.
2. The physical properties of the MH, including its thermal conductivity, porosity, and volume, are assumed to remain constant during both hydriding and dehydriding.
3. The MH and the tank are assumed to be at a uniform temperature.
4. Heat convection within the tank, the temperature dependence of thermophysical properties, and radiative heat transfer within the porous medium are neglected.
5. The heat transfer coefficients are assumed to be constant.

The system dynamics are expressed in a state-space representation as follows.

$$\dot{\mathbf{x}} = \begin{bmatrix} \frac{u_1 - f_r}{V_g} \\ \frac{f_r}{V_s} \\ \frac{f_r \frac{\Delta H}{M_{H_2}} + f_r x_3 (C_{pg} - C_{ps}) + Q}{V_g C_{pg} x_1 + V_s C_{ps} x_2} \end{bmatrix}, \quad (1a)$$

$$\mathbf{y} = \begin{bmatrix} \frac{x_1 x_3 R}{M_{H_2}} \\ x_3 \end{bmatrix}. \quad (1b)$$

The state vector is defined as: $\mathbf{x} = [x_1, x_2, x_3]^T = [\rho_g, \rho_s, T]^T$, where ρ_g [kg/m^3] and ρ_s [kg/m^3] denote the hydrogen density and the metal hydride density, respectively, and T [K] represents the tank temperature. Both densities evolve according to the hydrogen absorption and desorption processes. The system is driven by measurable inputs, $\mathbf{u} = [u_1, u_2]^T = [f_{in}, T_{amb}]^T$, where f_{in} [$\text{kg}/\text{m}^3/\text{s}$] is the normalized hydrogen mass-flow rate and T_{amb} [K] is the ambient temperature. The measurable outputs are given by $\mathbf{y} = [y_1, y_2]^T = [P, T]^T$, with P [Pa] denoting the tank pressure.

namely equilibrium regions between absorption and desorption. When the system traverses these regions, the observer loses its estimation capability, which significantly reduces the convergence rate of the observer and robustness. This limitation prompts us to consider models that reduce non-observable regions and to design new observers based on these models.

In practice, physical limitations impose boundedness on the system variables, such that the state, input, and output vectors evolve within compact sets.

The quantities V_g and V_s represent the normalized volumes of hydrogen and metal hydride, respectively. The parameters C_{pg} and C_{ps} correspond to their specific heat capacities. The term ΔH [J/mol] denotes the enthalpy variation associated with the reaction (absorption: ΔH_a , desorption: ΔH_d), and the heat transfer term Q [W/m³] is modeled as:

$$Q = \frac{k_{amb}(T_{amb} - T)}{V_{MH}}, \quad (2)$$

where k_{amb} is the heat transfer coefficient. Additional constants include the universal gas constant R [8.314 J/mol/K] and the molar mass of hydrogen M_{H_2} [2.016 $\times 10^{-3}$ kg/mol].

Remark 1. The thermal model adopts a lumped-temperature assumption, where the measured temperature T is considered to represent the average thermal state of the MH bed. For larger-scale MH tanks, spatial temperature gradients may become significant, and multiple temperature measurements or a distributed thermal model may therefore be required. The parameter k_{amb} depends on the specific tank geometry, heat-transfer configuration, insulation, and operating conditions. Therefore, k_{amb} should be calibrated for the specific tank design when applying the proposed model to larger-scale systems.

The normalized hydrogen sorption rate f_r is described by a piecewise nonlinear function, accounting for both absorption and desorption regimes depending on the pressure conditions.

$$\begin{cases} f_{r,a} = C_a e^{-\frac{E_a}{RT}} \ln\left(\frac{P}{P_{eq,a}}\right) (\rho_{ss} - \rho_s), & P > P_{eq,a}, \\ f_{r,d} = C_d e^{-\frac{E_d}{RT}} \left(\frac{P - P_{eq,d}}{P_{eq,d}}\right) \rho_s, & P < P_{eq,d}, \\ f_{r,e} = 0, & \text{otherwise.} \end{cases} \quad (3)$$

where C_a [1/s] and C_d [1/s] denote the rate coefficients for absorption and desorption, respectively. The parameters E_a [J/mol] and E_d [J/mol] represent the corresponding activation energies. $P_{eq,a}$ [Pa] and $P_{eq,d}$ [Pa] denote the equilibrium pressures linked to absorption and desorption. The notation ρ_{ss} [kg/m³] refers to the density of the metal hydride when fully saturated with hydrogen, whereas ρ_{s0} [kg/m³] represents the density of the alloy in the absence of hydrogen.

The equilibrium pressures $P_{eq,a}$ and $P_{eq,d}$ that define the boundaries for the function switching in (3) are computed as

$$P_{eq,a} = P_0 \cdot e^{\left(\frac{\Delta S_d}{R} - \frac{\Delta H_d}{R \cdot T} + (\varphi + \varphi_0) \tan\left[\pi \left(\frac{\rho_s - \rho_{s0}}{\rho_{ss} - \rho_{s0}} - 0.5\right)\right] + \frac{\beta}{2}\right)}, \quad (4)$$

$$P_{eq,d} = P_0 \cdot e^{\left(\frac{\Delta S_d}{R} - \frac{\Delta H_d}{R \cdot T} + (\varphi - \varphi_0) \tan\left[\pi \left(\frac{\rho_s - \rho_{s0}}{\rho_{ss} - \rho_{s0}} - 0.5\right)\right] - \frac{\beta}{2}\right)}, \quad (5)$$

where P_0 [Pa] denotes the atmospheric pressure. The parameters φ [-] and φ_0 [-] represent the flatness of the plateau area, whereas β [-] defines the hysteresis impact of the plateau. ΔH_d [J/mol] and ΔS_d [J/mol/K] refer to the changes in enthalpy and entropy linked to the desorption process, respectively.

Finally, the saturated density ρ_{ss} is expressed as

$$\rho_{ss} = \rho_{s0} + \frac{V_{H_2} \cdot \rho_{H_2}}{V_{MH} \cdot (1 - \varepsilon)} \quad (6)$$

where V_{H_2} [m³] denotes the hydrogen storage capacity of the tank, and ρ_{H_2} [kg/m³] represents the density of hydrogen under standard conditions (1 atm, 0 °C). V_{MH} [m³] corresponds to the metal hydride volume, and ε denotes its porosity. For simplicity, ε is assumed to remain constant during both the charging and discharging processes [3, 10].

2.2. Main objective

The primary goal of this study is to assess the SOC of the MH tank described by model (1) using the measured signals $\mathbf{y}, \mathbf{u}$ in real time. In particular, we will solve this problem by means of a nonlinear observer that uses the temperature, pressure and flow rate as measured signals to estimate the state $\mathbf{x}$. Subsequently, the SOC of the MH tank can be derived from the estimated state. More precisely, the SOC of the MH tank is calculated using the following expression [9]:

$$soc_{tank} = \frac{V_{MH} \cdot V_g}{m_{total}} x_1 + \frac{V_{MH} \cdot V_s}{m_{total}} (x_2 - \rho_{s0}), \quad (7)$$

where m_{total} represents the maximum hydrogen capacity of the MH tank. Since the variables x_1 and x_2 in the definition are unknown (all the remaining ones are constants and assumed known) the SOC estimation becomes a problem of estimating the states x_1 and x_2 in real-time. Thus, we convert the problem of estimating the SOC of MH tanks into an observer design problem.

More specifically, the observer design problem can be formulated as the construction of a dynamical system of the form

$$\dot{\hat{\mathbf{x}}} = \mathbf{j}(\hat{\mathbf{x}}, \mathbf{y}, \mathbf{u}), \quad (8)$$

with state $\hat{\mathbf{x}} \in \mathcal{X}$ and vector field $\mathbf{j} : \mathcal{X} \times \mathcal{Y} \times \mathcal{U} \rightarrow \mathbb{R}^3$, such that for all initial conditions $\mathbf{x}(0) \in \mathcal{X}$, $\hat{\mathbf{x}}(0) \in \mathcal{X}$,

$$\lim_{t \rightarrow \infty} \|\mathbf{x}(t) - \hat{\mathbf{x}}(t)\| = 0. \quad (9)$$

Then, the estimation of SOC, $\hat{soc}_{tank}$, is

$$\hat{soc}_{tank} = \frac{V_{MH} \cdot V_g}{m_{total}} \hat{x}_1 + \frac{V_{MH} \cdot V_s}{m_{total}} (\hat{x}_2 - \rho_{s0}). \quad (10)$$

Prior to revealing the primary outcome of this paper, specifically the observer (8), the observability of the system (1a)–(1b) must be analyzed, which shows if the state variable $\mathbf{x}$ can be deduced from the observed variables and the model. This issue is tackled in the next section.

3. Observability properties of the model

Consider the following input-affine nonlinear system:

$$\begin{aligned} \dot{\mathbf{x}} &= \mathbf{f}(\mathbf{x}) + \mathbf{g}(\mathbf{x}) \mathbf{u} \\ \mathbf{y} &= \mathbf{h}(\mathbf{x}), \end{aligned} \quad (11)$$

where $\mathbf{x} \in \mathbb{R}^n$, $\mathbf{u} \in \mathbb{R}^m$, and $\mathbf{y} \in \mathbb{R}^p$ denote the state, input, and output, respectively.

A system is instantaneously observable [6,11,12] if distinct state trajectories $\mathbf{x}(t)$ always produce different output trajectories $\mathbf{Y}(\mathbf{x}_a, \mathbf{u}, t)$, which separate after an arbitrarily short time interval. In the context of this work, instantaneous observability, requires that any three distinct trajectories of (ρ_g, ρ_s, T) produce distinguishable pressure and temperature trajectories, P and T , for the tank.

Instantaneous observability can be checked via the rank of the Jacobian matrix of the observability map [13]:

$$O_k(\mathbf{x}, \mathbf{u}, \dots, \mathbf{u}^{(k-2)}) = \begin{bmatrix} \mathbf{y} \\ \dot{\mathbf{y}} \\ \vdots \\ \mathbf{y}^{(k-1)} \end{bmatrix}, \quad (12)$$

where $\mathbf{u}^k \triangleq \frac{d^k \mathbf{u}}{dt^k}$, $\mathbf{y}^k \triangleq \frac{d^k \mathbf{y}}{dt^k}$, with $k \in \mathbb{N}$ such that $k \geq n$. Precisely, if, $\forall \mathbf{x} \in \mathbb{R}^n$ and $\forall \mathbf{u}, \dots, \mathbf{u}^{(k-2)} \in \mathbb{R}^m$,

$$\text{rank} \left(\frac{\partial O_k(\mathbf{x}, \mathbf{u}, \dots, \mathbf{u}^{(k-2)})}{\partial \mathbf{x}} \right) = n, \quad (13)$$

then, the system is instantaneously observable.

For system (1a)–(1b), the observability map (12) with $k = 3$ is given by:

$$O_3(\mathbf{x}, \mathbf{u}, \dot{\mathbf{u}}) = [y_1, y_2, \dot{y}_1, \dot{y}_2]^T. \quad (14)$$

As indicated in (3), f_r is a nonlinear function defined in a piecewise manner. Consequently, the examination of the rank of the Jacobian matrix $J \triangleq \frac{\partial O_3}{\partial \mathbf{x}}$ is categorized into two scenarios: Case 1: $f_r = 0$ and Case 2: $f_r \neq 0$. The analysis of these two cases is presented in Appendix A.

In summary, from an observability perspective, the area specified by the potential values of $[x_1, x_2, x_3]$ can be categorized into these four distinct regions:

$$\begin{aligned} \Omega_1 &= \{(\mathbf{x}, \mathbf{u}) \in \mathcal{X} \times \mathcal{U} \mid P > P_{eq,a}\}, \text{ inst. obs.} \\ \Omega_2 &= \{(\mathbf{x}, \mathbf{u}) \in \mathcal{X} \times \mathcal{U} \mid P_{eq,d} \leq P \leq P_{eq,a}, x_3 = T_{amb}\}, \text{ non-obs.} \\ \Omega_3 &= \{(\mathbf{x}, \mathbf{u}) \in \mathcal{X} \times \mathcal{U} \mid P_{eq,d} \leq P \leq P_{eq,a}, x_3 \neq T_{amb}\}, \text{ inst. obs.} \\ \Omega_4 &= \{(\mathbf{x}, \mathbf{u}) \in \mathcal{X} \times \mathcal{U} \mid P < P_{eq,d}\}, \text{ inst. obs.} \end{aligned}$$

It is important to mention that the areas can likewise be described equivalently using f_r in this manner:

$$\begin{aligned} \Omega_1 &= \{(\mathbf{x}, \mathbf{u}) \in \mathcal{X} \times \mathcal{U} \mid f_r > 0\}, & \text{ inst. obs} \\ \Omega_2 &= \{(\mathbf{x}, \mathbf{u}) \in \mathcal{X} \times \mathcal{U} \mid f_r = 0, x_3 = T_{amb}\}, & \text{ non-obs} \\ \Omega_3 &= \{(\mathbf{x}, \mathbf{u}) \in \mathcal{X} \times \mathcal{U} \mid f_r = 0, x_3 \neq T_{amb}\}, & \text{ inst. obs} \\ \Omega_4 &= \{(\mathbf{x}, \mathbf{u}) \in \mathcal{X} \times \mathcal{U} \mid f_r < 0\}, & \text{ inst. obs} \end{aligned}$$

In our previous works [8,9], we use the second-order model, the observability mainly relies on the pressure measurement. However, in the equilibrium plateau region, different hydrogen contents may produce similar pressure values, resulting in ambiguity when reconstructing the internal hydrogen state. Consequently, a relatively large non-observable region exists.

By introducing the temperature state and using temperature measurements, additional dynamic information generated by the heat effects of hydrogen absorption and desorption reactions becomes available. Specifically, the absorption process is exothermic while the desorption process is endothermic, which causes measurable temperature variations associated with the internal hydrogen transfer. Therefore, even when the pressure information alone is insufficient to distinguish different hydrogen states, the temperature evolution provides an additional observation channel that improves the distinguishability of internal states.

According to the observability analysis presented in the manuscript, the non-observable region in the third-order model only occurs when both the sorption rate is zero and the tank temperature exactly satisfies $T = T_{amb}$. When $T \neq T_{amb}$, the thermal dynamics introduce additional information and the system becomes observable.

Although there still exists a non-observable region in the three-order state-space model, different from the observability analysis of the two-order state-space model discussed in our previous works [8,9], we emphasize that the non-observable region is more difficult to reach since it requires the exact equality $T = T_{amb}$, which will not be satisfied in common operating modes due to the exothermic and endothermic reactions of the system.

Remark 2. The non-observability in the domain Ω_2 comes from the fact that $\dot{\mathbf{x}} = 0$ in this region. Therefore, the system dynamics does not depend on the states at this point, and no observability property can be guaranteed.

4. Observer design of the MH tank model

4.1. Proposal

We consider again the input-affine nonlinear system (11). Moreover, assume that system (11) is forward invariant and has a unique solution

in a compact set $\mathbf{X} \subseteq \mathbb{R}^n$ uniformly for all $\mathbf{u} \in \mathbf{U} \subseteq \mathbb{R}^m$ where $\mathbf{U}$ is also a compact set.

Based on recent results on high-gain observers for multi-input multi-output systems [14], we introduce the following assumption, which will be used in the observer design.

Assumption 1. There exist C^1 functions $\Phi_\sigma : \mathcal{X} \rightarrow \mathbb{R}^n$, matrices $\mathbf{L}, \mathbf{M} \in \mathbb{R}^{n \times n}$ (both invertible), $\mathbf{N} \in \mathbb{R}^{p \times p}$, and $\mathbf{C} \in \mathbb{R}^{p \times n}$, where $\mathbf{L}, \mathbf{M}$, and $\mathbf{N}$ depend on the observer gain l . In addition, there exist matrix functions $\mathbf{u} \mapsto \mathbf{K}(\mathbf{u}) \in \mathbb{R}^{n \times p}$ and $\mathbf{u} \mapsto \mathbf{A}(\mathbf{u}) \in \mathbb{R}^{n \times n}$, together with a symmetric positive definite matrix $\mathbf{P}_\eta \in \mathbb{R}^{n \times n}$, such that

- (O1) the mappings Φ_σ are diffeomorphism on $\mathcal{X}$,
- (O2) $\mathbf{C}\Phi_\sigma(\mathbf{x}) = \mathbf{h}(\mathbf{x})$,
- (O3) for any $\mathbf{u}$, the following conditions are satisfied:

$$\mathbf{P}_\eta(\mathbf{A}(\mathbf{u}) - \mathbf{K}(\mathbf{u})\mathbf{C}) + (\mathbf{A}(\mathbf{u}) - \mathbf{K}(\mathbf{u})\mathbf{C})^T \mathbf{P}_\eta \leq -2\gamma \mathbf{P}_\eta,$$

$$\mathbf{A}(\mathbf{u})\mathbf{L} = \mathbf{L}\mathbf{M}\mathbf{A}(\mathbf{u}), \quad \mathbf{N}\mathbf{C}\mathbf{L} = \mathbf{C}.$$

Moreover, there exists a scalar δ satisfying

$$(O4) \lim_{l \rightarrow +\infty} \delta = 0,$$

$$(O5) \text{ For all } \mathbf{x}_a \in \mathcal{C}, \mathbf{x}_b \in \hat{\mathcal{C}} \text{ and } \mathbf{u} \in \mathcal{U},$$

$$\begin{aligned} & \left| \mathbf{P}_\eta^{\frac{1}{2}} \mathbf{M}^{-1} \mathbf{L}^{-1} [\mathbf{B}(\Phi_\sigma(\mathbf{x}_a), \mathbf{u}) - \mathbf{B}(\Phi_\sigma(\mathbf{x}_b), \mathbf{u})] \right| \\ & \leq \delta \left| \mathbf{P}_\eta^{\frac{1}{2}} \mathbf{L}^{-1} [\Phi_\sigma(\mathbf{x}_a) - \Phi_\sigma(\mathbf{x}_b)] \right| \end{aligned}$$

is satisfied where the function $\mathbf{B} : \mathbb{R}^{n \times m} \rightarrow \mathbb{R}^n$ are defined as

$$\mathbf{B}(\Phi_\sigma(\mathbf{x}), \mathbf{u}) = \mathbf{L}_f \Phi_\sigma(\mathbf{x}) + \mathbf{L}_g \Phi_\sigma(\mathbf{x}) \mathbf{u} - \mathbf{A}(\mathbf{u}) \Phi_\sigma(\mathbf{x}).$$

Following the representation in [9], the MH tank model (1a) and (1b) can be rewritten as the following input-affine nonlinear switched system:

$$\begin{aligned} \dot{\mathbf{x}} &:= \mathbf{f}_\sigma(\mathbf{x}, \mathbf{u}) = \mathbf{f}_\sigma(\mathbf{x}) + \mathbf{g}(\mathbf{x})\mathbf{u}, \\ \mathbf{y} &:= \mathbf{h}(\mathbf{x}), \end{aligned} \quad (15)$$

where σ is a switching signal for system dynamics $\mathbf{f}$ with the index set $\mathcal{G} := \{1, 2, 3, 4\}$ that is equal to s when $(\mathbf{x}, \mathbf{u}) \in \Omega_s$, and Ω_s denotes the different modes of operation defined at the end of Section 3. The vector fields $\mathbf{f}_s$ with $s \in \mathcal{G}$ and $\mathbf{g}$ are defined as

$$\begin{aligned} \mathbf{f}_1(\mathbf{x}) &= \begin{bmatrix} -\frac{f_{r,a}}{V_g} \\ \frac{f_{r,a}}{V_s} \\ f_{r,a} \frac{\Delta H_a}{M_{H_2}} + f_{r,a} x_3 (C_{pg} - C_{ps}) - \frac{k_{amb} \cdot x_3}{V_{MH}} \\ \frac{V_g C_{pg} x_1 + V_s C_{ps} x_2}{V_g C_{pg} x_1 + V_s C_{ps} x_2} \end{bmatrix}, \\ \mathbf{f}_2(\mathbf{x}) &= \begin{bmatrix} 0 \\ 0 \\ -\frac{k_{amb} \cdot T_{amb}}{V_{MH} (V_g C_{pg} x_1 + V_s C_{ps} x_2)} \end{bmatrix}, \\ \mathbf{f}_3(\mathbf{x}) &= \begin{bmatrix} 0 \\ 0 \\ -\frac{k_{amb} \cdot x_3}{V_{MH}} \\ \frac{V_g C_{pg} x_1 + V_s C_{ps} x_2}{V_g C_{pg} x_1 + V_s C_{ps} x_2} \end{bmatrix}, \\ \mathbf{f}_4(\mathbf{x}) &= \begin{bmatrix} -\frac{f_{r,d}}{V_g} \\ \frac{f_{r,d}}{V_s} \\ f_{r,d} \frac{\Delta H_d}{M_{H_2}} + f_{r,d} x_3 (C_{pg} - C_{ps}) - \frac{k_{amb} \cdot x_3}{V_{MH}} \\ \frac{V_g C_{pg} x_1 + V_s C_{ps} x_2}{V_g C_{pg} x_1 + V_s C_{ps} x_2} \end{bmatrix}, \end{aligned}$$

$$\mathbf{g}(\mathbf{x}) = \begin{bmatrix} \frac{1}{V_g} & 0 \\ 0 & 0 \\ 0 & \frac{k_{amb}}{V_{MH}(V_g C_{pg} x_1 + V_s C_{ps} x_2)} \end{bmatrix}.$$

Then we can design the observer as

$$\begin{aligned} \dot{\hat{\mathbf{x}}} &:= \mathbf{F}_s(\hat{\mathbf{x}}, \mathbf{h}, \mathbf{u}) = \mathbf{f}_\sigma(\hat{\mathbf{x}}) + \mathbf{g}(\hat{\mathbf{x}})\mathbf{u} \\ &+ s_{f_r} \cdot \left[\frac{\partial \Phi_\sigma(\hat{\mathbf{x}})}{\partial \mathbf{x}} \right]^{-1} \mathbf{LMKN}[\mathbf{h}(\mathbf{x}) - \mathbf{h}(\hat{\mathbf{x}})], \end{aligned} \quad (16)$$

where s_{f_r} is the time-varying switching function:

$$[lef t = s_{f_r}(t) = \begin{cases} 0, & \text{if } |\hat{f}_r| < \epsilon_{tol} \text{ and } \hat{x}_3 = T_{amb} \\ 1, & \text{otherwise.} \end{cases} \quad (17)$$

$\epsilon_{tol} > 0$ is the tunable tolerance of $\hat{f}_r$. Notice that even if the estimation of the observer $\hat{\mathbf{x}}$ is not the same as the true one $\mathbf{x}$, the observer still has the same operating mode σ . An explanation on how to achieve this is provided in Section 4.2.

For the cases $s_{f_r} = 1$, the following lemma establishes the exponential convergence of the proposed observer.

Lemma 1. Consider system (15) together with observer (16), and assume that Assumption 1 is satisfied for all modes $\sigma \in \mathcal{G} \setminus \{2\}$. Furthermore, suppose that $\hat{\mathbf{x}}(t) \in \mathcal{X}$ for all $t \geq 0$. Then, for each mode $\sigma \in \mathcal{G} \setminus \{2\}$, there exists constants $a, b, L_\Phi, L_{\Phi^{-1}}$, such that

$$\|\mathbf{x}(t) - \hat{\mathbf{x}}(t)\| \leq L_{\Phi^{-1}} L_\Phi a e^{-bt} \|\mathbf{x}(0) - \hat{\mathbf{x}}(0)\|. \quad (18)$$

The proof is postponed to Appendix B.

For the case $s_{f_r} = 0$, observer (16) reduces to the following open-loop system

$$\dot{\hat{\mathbf{x}}} = \mathbf{f}_2(\hat{\mathbf{x}}) + \mathbf{g}(\hat{\mathbf{x}})\mathbf{u}. \quad (19)$$

In this case, the error dynamics of $\mathbf{x}$ is

$$\dot{\hat{\mathbf{x}}} = \dot{\mathbf{x}} - \dot{\hat{\mathbf{x}}} = \mathbf{f}_2(\mathbf{x}) + \mathbf{g}(\mathbf{x})\mathbf{u} - \mathbf{f}_2(\hat{\mathbf{x}}) - \mathbf{g}(\hat{\mathbf{x}})\mathbf{u} = 0. \quad (20)$$

As a result, the estimation error remains constant within this region. It follows that if the system does not remain in the region Ω_2 for an extended period, exponential convergence of the observer can still be ensured. This result can be described by the following theorem.

Theorem 2. Consider system (15), observer (16) and assume that $\hat{\mathbf{x}}(t) \in \mathcal{X}$ for all $t \geq 0$ and that Assumption 1 holds. Moreover, denote by $T_s(t_i, t_{i+1})$ the sum of the total time that $\sigma(t) = s$ in the interval $[t_i, t_{i+1})$. Then, for any switching signal $\sigma: [0, \infty) \rightarrow \mathcal{G}$ and corresponding switching instants $\mathcal{G} := \{t_1, t_2, \dots, t_k, \dots\}$ such that

$$T_1(0, t) + T_3(0, t) + T_4(0, t) \geq \underline{m}t, \quad \forall t \geq 0 \quad (21)$$

holds for some constants $0 < \underline{m} \leq 1$ and $t_1 \geq 0$, we have

$$\|\mathbf{x}(t) - \hat{\mathbf{x}}(t)\| \leq \prod_{k=0}^{i-1} (L_{\Phi^{-1}} L_\Phi a) \cdot e^{-b\underline{m}t} \cdot \|\mathbf{x}(0) - \hat{\mathbf{x}}(0)\|.$$

for all $t \geq 0$.

The proof is postponed to Appendix C.

4.2. On synchronizing the switching between the observer and the plant

In the MH tank system, a lack of synchronization between the observer and the plant may cause the observer to evolve according to incorrect dynamics, which degrade the accuracy of state estimation. Therefore, ensuring that the observer (16) operates under the same mode σ as the system is essential. According to (3), the mode of the system can be determined from the sign of f_r . In our earlier study [8],

we presented a simple estimator, namely the unknown system dynamic estimator (USDE) [15,16], to evaluate f_r , and its construction is therefore omitted here:

$$\hat{f}_r = u_{1f} - V_g \cdot \frac{\bar{x}_1 - \bar{x}_{1f}}{\kappa}. \quad (22)$$

where $\bar{x}_1$ can be obtained from (1b) as

$$\bar{x}_1 \triangleq \frac{y_1 \cdot M_{H_2}}{R \cdot y_2}, \quad (23)$$

and $(\cdot)_f = [\cdot]/(\kappa s + 1)$ is the low-pass filter operation, with $\kappa > 0$ a filter parameter.

Taking this into account, we propose the following switching mechanism for the observer:

$$[lef t = \dot{\hat{\mathbf{x}}} = \begin{cases} \mathbf{f}_1(\hat{\mathbf{x}}) + \mathbf{g}(\hat{\mathbf{x}})\mathbf{u} + \mathbf{\Pi}_1, & \hat{f}_r > \epsilon_{tol}, \\ \mathbf{f}_2(\hat{\mathbf{x}}) + \mathbf{g}(\hat{\mathbf{x}})\mathbf{u}, & |\hat{f}_r| \leq \epsilon_{tol}, \hat{x}_3 = T_{amb}, \\ \mathbf{f}_3(\hat{\mathbf{x}}) + \mathbf{g}(\hat{\mathbf{x}})\mathbf{u} + \mathbf{\Pi}_3, & |\hat{f}_r| \leq \epsilon_{tol}, \hat{x}_3 \neq T_{amb}, \\ \mathbf{f}_4(\hat{\mathbf{x}}) + \mathbf{g}(\hat{\mathbf{x}})\mathbf{u} + \mathbf{\Pi}_4, & \hat{f}_r < -\epsilon_{tol}, \end{cases} \quad (24)$$

where

$$\mathbf{\Pi}_\sigma = \left[\frac{\partial \Phi_\sigma(\hat{\mathbf{x}})}{\partial \mathbf{x}} \right]^{-1} \mathbf{LMKN}[\mathbf{h}(\mathbf{x}) - \mathbf{h}(\hat{\mathbf{x}})]$$

with $\sigma = \{1, 3, 4\}$ and ϵ_{tol} is a positive threshold included to consider potential errors during the estimation of f_r .

Remark 3. The threshold ϵ_{tol} defines a tolerance region around the equilibrium condition. Specifically, when $|\hat{f}_r| \leq \epsilon_{tol}$, the observer identifies the system as being close to the equilibrium region and further determines the operating mode according to whether the estimated temperature satisfies $\hat{x}_3 = T_{amb}$. Otherwise, the observer switches to the absorption or desorption mode according to the sign of $\hat{f}_r$. This switching mechanism improves the robustness of the observer by preventing unnecessary mode changes caused by small fluctuations of the estimated sorption rate.

4.3. Robustness to de-synchronization

Naturally, since the true mode of the plant is not directly measured, but estimated through (22), there can be some time ranges where the mode of the observer and the plant are not the same, thus they are de-synchronized. Nonetheless, since the observer is exponentially stable, it exhibits robustness to discrepancies between the plant and the model. As a result, if the desynchronization time is sufficiently short, asymptotic convergence of the form (9) is still achieved. The remainder of this section provides further details about this behavior. We have the following theorem:

Theorem 3. Consider the plant (15) and observer (16), and assume that the observer mode σ_j satisfies condition (21) and the hypotheses of Theorem 2. Suppose that the plant and observer modes satisfy $\sigma_i \neq \sigma_j$ for $t \in [t_1, t_2)$ and $\sigma_i = \sigma_j$ for all $t \geq t_2$. Then:

1. The estimation error $\tilde{\mathbf{x}}(t) = \mathbf{x}(t) - \hat{\mathbf{x}}(t)$ remains bounded for all $t \in [t_1, t_2)$.
2. For all $t \geq t_2$, the estimation error converges exponentially.

The proof is postponed to Appendix D.

5. Numerical simulations

In this section, a numerical simulation is carried out to verify the feasibility of the proposed observation scheme. The parameters listed in Table 1 are used, with additional parameters provided in Table 2.

One of the system inputs, namely the normalized mass-flow rate, f_{in} , is presented in Fig. 1. The other input, i.e., the ambient temperature, T_{amb} , is assumed to remain constant. $f_{in} > 0$ corresponds to charge

Table 1
Optimal values with multi-object identification [17].

Parameter	Value	Unit
ΔH_a	20000	J/mol
ΔS_d	109	J/(molK)
ΔH_d	25530	J/mol
φ	0.3228	—
φ_0	0	—
β	0.1333	—
C_a	388.6084	1/s
C_d	2761.0	1/s
E_a	30847	J/mol
E_d	32507	J/mol
k_{amb}	1.2138	J/(Ks)
C_{ps}	6425.6	J/(kgK)
ρ_{s0}	6247.0	kg/m ³
ε	0.6928	—
V_{MH}	$3 \cdot 10^{-4}$	m ³

Table 2
Thermodynamic model and remaining parameters [18].

Symbol	Value	Symbol	Value
m_{total}	0.0315 kg	T_{amb}	298.15 K
k_p	0.1537		

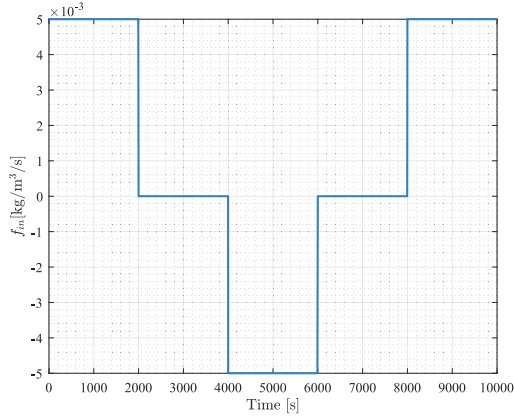


Fig. 1. Evolution of f_{in} for the system (15).

while $f_{in} < 0$ corresponds to discharge. The system initial conditions are set as: initial temperature $T(0) = 298.15$ K, $x_1(0) = 0.0824$ kg/m³, $x_2(0) = 6270.6$ kg/m³, $x_3(0) = 298.15$ K and the initial conditions of the observer are $\hat{x}_1(0) = 0.5$ kg/m³, $\hat{x}_2(0) = 6260$ kg/m³, $\hat{x}_3(0) = 300$ K. The low-pass filter parameter κ in (22) is fixed to $\kappa = 1 \cdot 10^{-2}$ and the threshold in (24) is chosen as $\epsilon_{tol} = 1 \cdot 10^{-5}$. Furthermore, observer gain $l = 4$ and $\mathbf{L}, \mathbf{M}, \mathbf{N}, \mathbf{K}$ are defined as

$$\begin{aligned} \mathbf{L} &= \text{diag}(1, 1, 4), \quad \mathbf{M} = \text{diag}(4, 4, 4), \\ \mathbf{N} &= \text{diag}(1, 1), \quad \mathbf{K} = \begin{pmatrix} 0.3596 & 0 \\ 0 & 1.3155 \\ 0 & 0.4298 \end{pmatrix}. \end{aligned} \quad (25)$$

Remark 4. In general, increasing l accelerates the convergence of the estimation error by strengthening the correction effect of the high-gain observer. However, an excessively large gain may aggravate the peaking phenomenon, resulting in large transient estimation errors, especially during the initial stage. Therefore, the observer gain should be selected to achieve a balance between fast convergence and an acceptable level of transient peaking. In this work, $l = 4$ was selected based on this consideration, providing a satisfactory compromise between convergence speed and peaking behavior. The same gain was consistently adopted in both the numerical simulations and experiments.

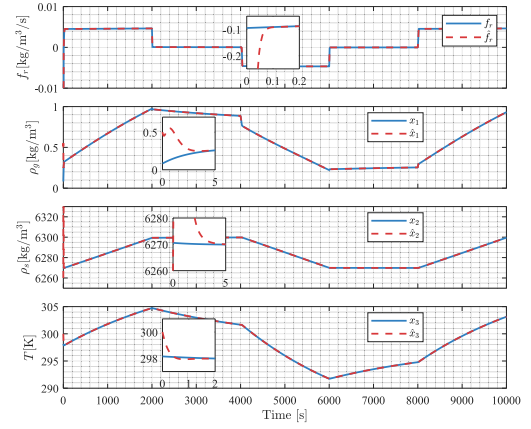


Fig. 2. USDE and observer results in simulation.

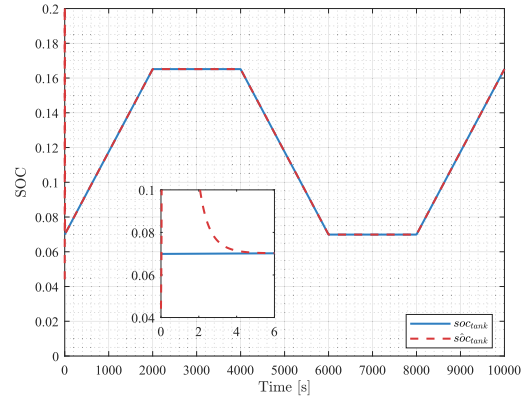


Fig. 3. Comparison between SOC estimates and true values in simulation.

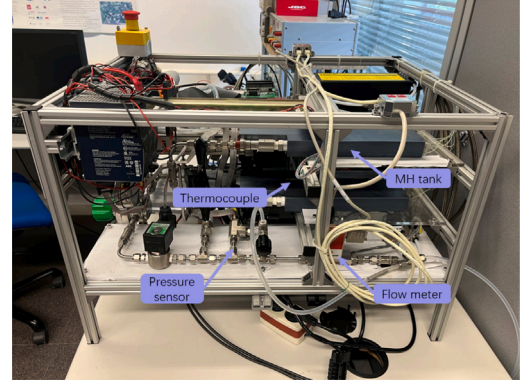


Fig. 4. Diagram of experimental setup [8].

The simulation results are presented in Fig. 2. From this figure, we can see that the estimation of the sorption rate $\hat{f}_r$ converges quickly within 0.2s, the estimations $\hat{x}_1$ and $\hat{x}_2$ converge within the first 5s, while the estimation $\hat{x}_3$ converges within 2s.

Finally, the SOC estimate, $\hat{soc}_{tank}$ is displayed in Fig. 3. It can be observed that the SOC estimate follows the same trend as the estimate of x_2 and converges within 6s.

6. Experimental validation

The proposed observer and SOC estimation approach have been experimentally validated using a real setup (Fig. 4). The experimental

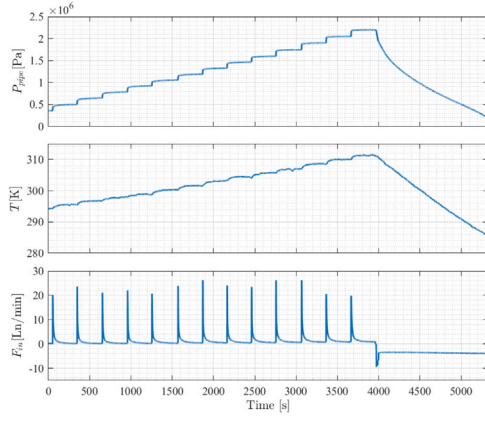


Fig. 5. Experiment measurable variables: pipe pressure P_{pipe} , tank temperature T and flow rate F_{in} .

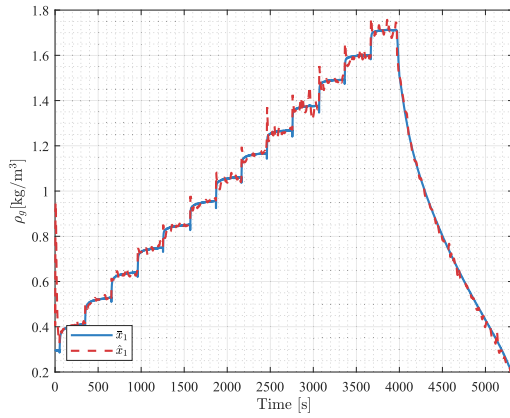


Fig. 6. Observer results obtained for the experimental results (ρ_g).

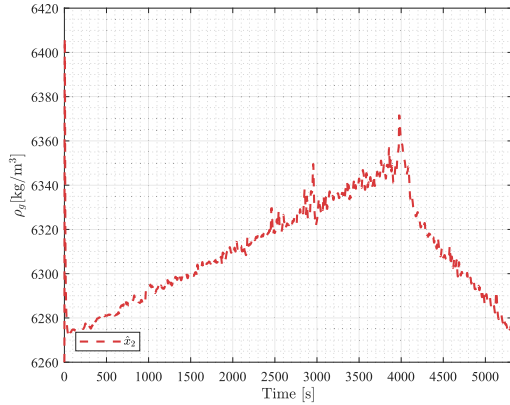


Fig. 7. Experimental validation of the observer for (ρ_s).

platform includes a commercial MH tank (H2planet® MyH2 SLIM 350) based on Hydralloy C5 (Ti_{0.95}Zr_{0.05}Mn_{1.48}Fe_{0.08}Al_{0.01}), along with hydrogen pipelines and measurement devices such as mass flow meters, pressure sensors, and thermocouples. The MH tank is naturally cooled in the ambient environment. All sensor signals are collected and processed in real time using an NI sbRIO-9629 platform, providing the experimental data required for parameter identification and SOC estimation validation. The profiles of the measured variables during the experiment are depicted in Fig. 5, including the pipe pressure p_{pipe} , tank temperature T , and flow rate F_{in} .

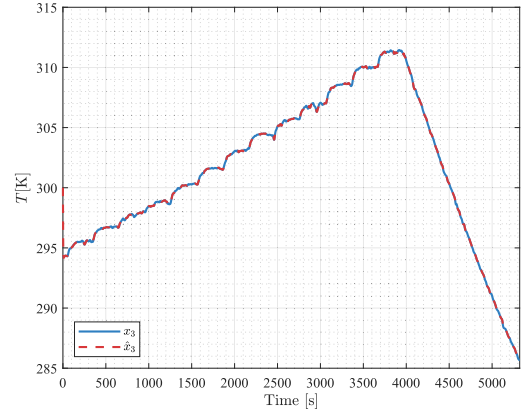


Fig. 8. Observer results obtained for the experimental results (T).

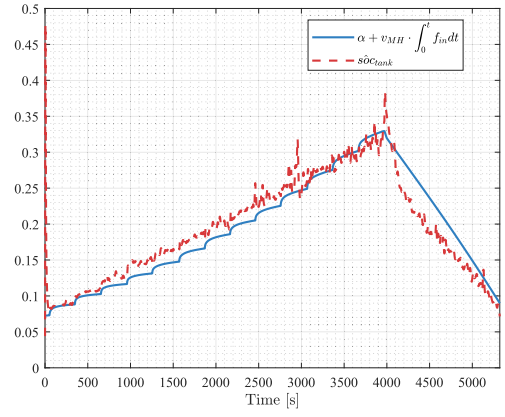


Fig. 9. SOC estimation: comparison of observer-based and offline results.

The low-pass filter parameter κ in (22) is fixed to $\kappa = 1.5$ and the threshold in (24) is chosen as $\epsilon_{tol} = 1 \cdot 10^{-5}$. For the absorption process, the observer is initialized with $\hat{x}_1(0) = 0.5 \text{ kg/m}^3$ and $\hat{x}_2(0) = 6260 \text{ kg/m}^3$. Furthermore, the observer gain l and the matrices L , M , N , and K are chosen to be the same as those in (25).

Fig. 6 illustrates the evolution of the estimate of ρ_g . It can be seen that the estimate $\hat{x}_1$ converges within 100s. Additionally, Fig. 7 depicts the evolution of the estimate of ρ_s . Since the true trajectory of ρ_s cannot be directly measured by sensors, it is not included in the figure. Fig. 8 illustrates the evolution of the estimate of T . The results indicate that the estimate closely follows the true trajectory of the tank temperature T .

Finally, Fig. 9 illustrates the evolution of the SOC estimate, $\hat{soc}_{tank}$. Since $\hat{x}_1$ and $\hat{x}_2$ are used to compute $\hat{soc}_{tank}$, its convergence behavior is inherently influenced by the convergence properties of both $\hat{x}_1$ and $\hat{x}_2$. (10) is employed to estimate soc_{tank} , given that its true value is not directly measurable. The initial value of soc_{tank} , namely $m_{H_2}(0)$, is unknown. Hence, a posteriori parameter α is determined using the PCT curve. The figure shows that $\hat{soc}_{tank}$ converges to the true soc_{tank} , confirming the effectiveness of the proposed SOC estimation method.

7. Conclusion

This paper proposed a nonlinear switching high-gain observer for SOC estimation in metal hydride storage tanks. By incorporating thermal effects, the third-order model significantly reduces the non-observable region compared with commonly used second-order models. Sufficient conditions for observer convergence were established under synchronous switching, and robustness to asynchronous switching was

demonstrated. The results of the numerical simulation used in the paper show that the estimation errors of the sorption rate, internal hydrogen states, and temperature converge within 0.2 s, 5 s, and 2 s, respectively, while the SOC estimate converges within 6 s. Experimental validation using a commercial metal hydride storage tank further confirms the effectiveness of the proposed approach for state and SOC estimation.

CRedit authorship contribution statement

Mingrui Chen: Writing – original draft, Validation, Methodology. **Andreu Cecilia:** Writing – review & editing, Validation. **Jing Na:** Writing – review & editing, Validation. **Carles Batlle:** Writing – review & editing, Validation. **Ramon Costa-Castelló:** Writing – review & editing, Supervision.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Observability analysis of the two cases

(1) Case 1: $f_r = 0$.

In this case, the system dynamics simplify to

$$\dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, \mathbf{u}) = \begin{bmatrix} \frac{u_1}{V_g} \\ 0 \\ \frac{k_{amb} \cdot (u_2 - x_3)}{V_{MH} \cdot (V_g C_{pg} x_1 + V_s C_{ps} x_2)} \end{bmatrix}. \quad (26)$$

The matrix J has the following form:

$$J_{f_r=0} = \begin{bmatrix} \frac{x_3 R}{M_{H_2}} & * & * \\ * & * & 1 \\ * & * & * \\ * & * & * \\ * & * & * \\ * & * & * \end{bmatrix}, \quad (27)$$

where

$$*_3 = -\frac{x_1 R k_{amb} (u_2 - x_3) C_{ps} V_s}{M_{H_2} V_{MH} (V_g C_{pg} x_1 + V_s C_{ps} x_2)^2},$$

$$*_4 = -\frac{k_{amb} (u_2 - x_3) C_{ps} V_s}{V_{MH} (V_g C_{pg} x_1 + V_s C_{ps} x_2)^2},$$

$$*_5 = \frac{u_1}{V_g} \left[-\frac{R k_{amb} (u_2 - x_3) C_{ps} V_s}{M_{H_2} V_{MH} (V_g C_{pg} x_1 + V_s C_{ps} x_2)^2} + \frac{2 x_1 R k_{amb} (u_2 - x_3) C_{pg} V_g C_{ps} V_s}{M_{H_2} V_{MH} (V_g C_{pg} x_1 + V_s C_{ps} x_2)^3} \right] + \frac{x_1 R k_{amb}^2 (u_2 - x_3) C_{ps} V_s}{M_{H_2} V_{MH}^2 (V_g C_{pg} x_1 + V_s C_{ps} x_2)^3} - \frac{k_{amb} (u_2 - x_3) C_{ps} V_s}{V_{MH} (V_g C_{pg} x_1 + V_s C_{ps} x_2)^2} \cdot \left[\frac{R u_1}{M_{H_2} V_g} - \frac{x_1 R k_{amb}}{M_{H_2} V_{MH} (V_g C_{pg} x_1 + V_s C_{ps} x_2)} \right],$$

$$*_6 = \frac{2 k_{amb} (u_2 - x_3) C_{pg} u_1 C_{ps} V_s}{V_{MH} (V_g C_{pg} x_1 + V_s C_{ps} x_2)^3} + \frac{2 k_{amb}^2 (u_2 - x_3) C_{ps} V_s}{V_{MH}^2 (V_g C_{pg} x_1 + V_s C_{ps} x_2)^3},$$

It can be noted that the temperature of the MH tank is given in Kelvin, so x_3 is always positive and $\frac{x_3 R}{M_{H_2}} \neq 0$. In addition, we can note that only when $x_3 = T_{amb}$, the values of $*_3, *_4, *_5, *_6$ are zero and under this scenario, the rank of matrix $J_{f_r=0}$ is not full rank, then the MH tank system is non-observable. In contrast, when $x_3 \neq T_{amb}$, at least one of the values of $*_3, *_4, *_5, *_6$ is not zero and the rank of matrix $J_{f_r=0}$ is full rank, then the MH tank system is observable.

(2) Case 2: $f_r \neq 0$

To check if the matrix J is full range, we consider its 3-order submatrix:

$$\begin{bmatrix} \frac{\partial y_1}{\partial x_1} & \frac{\partial y_1}{\partial x_2} & \frac{\partial y_1}{\partial x_3} \\ \frac{\partial y_2}{\partial x_1} & \frac{\partial y_2}{\partial x_2} & \frac{\partial y_2}{\partial x_3} \\ \frac{\partial y_3}{\partial x_1} & \frac{\partial y_3}{\partial x_2} & \frac{\partial y_3}{\partial x_3} \end{bmatrix} = \begin{bmatrix} \frac{\partial y_1}{\partial x_1} & \frac{\partial y_1}{\partial x_2} & \frac{\partial y_1}{\partial x_3} \\ \frac{\partial y_2}{\partial x_1} & \frac{\partial y_2}{\partial x_2} & \frac{\partial y_2}{\partial x_3} \\ 0 & 0 & 1 \end{bmatrix}. \quad (28)$$

In our previous work [8], we already showed that the following matrix

$$M = \begin{bmatrix} \frac{\partial y_1}{\partial x_1} & \frac{\partial y_1}{\partial x_2} \\ \frac{\partial y_2}{\partial x_1} & \frac{\partial y_2}{\partial x_2} \end{bmatrix},$$

is full rank, when $f_r \neq 0$. Therefore, the 3-order submatrix of the matrix (28) is also full rank. Consequently, the matrix J satisfies the full rank condition when $f_r \neq 0$, and we conclude that the MH tank system is observable when $f_r \neq 0$.

Appendix B. Proof of Lemma 1

From the observability analysis of Section 3, we have that, for each mode $\sigma \in \mathcal{G} \setminus \{2\}$, there exists a function Φ_σ , defined as [19, Section 7.2]

$$\Phi_\sigma(\mathbf{x}) = \begin{bmatrix} \Phi_{\sigma 1}(\mathbf{x}) & \cdots & \Phi_{\sigma p}(\mathbf{x}) \end{bmatrix}^\top,$$

$$\Phi_{\sigma i}(\mathbf{x}) = \begin{bmatrix} \mathbf{h}_i(\mathbf{x}) & L_{f_\sigma} \mathbf{h}_i(\mathbf{x}) & \cdots & L_{f_\sigma}^{p_i-1} \mathbf{h}_i(\mathbf{x}) \end{bmatrix}^\top$$

where $\mathbf{h}_i$ is the i th component of $\mathbf{h}$, p_i are observability indexes and $\sum_{i=1}^p p_i = n$. Let $\eta_\sigma = \Phi_\sigma(\mathbf{x})$, $\hat{\eta}_\sigma = \Phi_\sigma(\hat{\mathbf{x}})$, $\tilde{\eta}_\sigma = \eta_\sigma - \hat{\eta}_\sigma$, then the system can be transformed from $\mathbf{x}$ -coordinate to η -coordinate. Now the system dynamics in η -coordinate can be rewritten as

$$\begin{aligned} \dot{\eta}_\sigma &= \frac{\partial \Phi_\sigma(\mathbf{x})}{\partial \mathbf{x}} \dot{\mathbf{x}} = L_{f_\sigma} \Phi_\sigma(\mathbf{x}) + L_g \Phi_\sigma(\mathbf{x}) \mathbf{u} \\ &= L_{f_\sigma} \Phi_\sigma(\mathbf{x}) + L_g \Phi_\sigma(\mathbf{x}) \mathbf{u} - \mathbf{A}(\mathbf{u}) \Phi_\sigma(\mathbf{x}) \\ &\quad + \mathbf{A}(\mathbf{u}) \Phi_\sigma(\mathbf{x}) \\ &= \mathbf{A}(\mathbf{u}) \eta_\sigma + \mathbf{B}(\eta_\sigma, \mathbf{u}), \end{aligned} \quad (29)$$

$$\begin{aligned}
\dot{\hat{\eta}}_\sigma &= \frac{\partial \Phi_\sigma(\hat{\mathbf{x}})}{\partial \mathbf{x}} \dot{\hat{\mathbf{x}}} = L_{\mathbf{f}_\sigma} \Phi_\sigma(\hat{\mathbf{x}}) + L_{\mathbf{g}} \Phi_\sigma(\hat{\mathbf{x}}) \mathbf{u} \\
&+ \mathbf{LMK}(\mathbf{u}) \mathbf{NC}(\eta_\sigma - \hat{\eta}_\sigma) \\
&= L_{\mathbf{f}_\sigma} \Phi_\sigma(\hat{\mathbf{x}}) + L_{\mathbf{g}} \Phi_\sigma(\hat{\mathbf{x}}) \mathbf{u} \\
&+ \mathbf{LMK}(\mathbf{u}) \mathbf{NC}(\eta_\sigma - \hat{\eta}_\sigma) - \mathbf{A}(\mathbf{u}) \Phi_\sigma(\hat{\mathbf{x}}) \\
&+ \mathbf{A}(\mathbf{u}) \Phi_\sigma(\hat{\mathbf{x}}) \\
&= \mathbf{A}(\mathbf{u}) \hat{\eta}_\sigma + \mathbf{B}(\hat{\eta}_\sigma, \mathbf{u}) + \mathbf{LMK}(\mathbf{u}) \mathbf{NC}(\eta_\sigma - \hat{\eta}_\sigma).
\end{aligned} \tag{30}$$

Define Lyapunov function in η coordinate,

$$V_\sigma(\eta_\sigma) = \frac{1}{2} \tilde{\eta}_\sigma^\top \left[\mathbf{LMP}_\eta^{-1} \mathbf{L}^\top \right]^{-1} \tilde{\eta}_\sigma,$$

the derivative of $V_\sigma(\eta_\sigma)$ is

$$\begin{aligned}
\dot{V}_\sigma(\eta) &= \tilde{\eta}_\sigma^\top \mathbf{L}^{-\top} \mathbf{P}_\eta \mathbf{M}^{-1} \mathbf{L}^{-1} \dot{\tilde{\eta}}_\sigma \\
&= \tilde{\eta}_\sigma^\top \mathbf{L}^{-\top} \mathbf{P}_\eta \mathbf{M}^{-1} \mathbf{L}^{-1} \\
&\cdot \left[(\mathbf{A} - \mathbf{LMKNC}) \tilde{\eta}_\sigma + \mathbf{B}(\eta_\sigma, \mathbf{u}) - \mathbf{B}(\hat{\eta}_\sigma, \mathbf{u}) \right].
\end{aligned}$$

Based on (O3) and (O5),

$$\begin{aligned}
\dot{V}_\sigma(\eta_\sigma) &= (\mathbf{L}^{-1} \tilde{\eta}_\sigma)^\top \mathbf{P}_\eta \mathbf{M}^{-1} \mathbf{L}^{-1} \\
&\cdot \left[(\mathbf{AL} - \mathbf{LMKNC}) \cdot \mathbf{L}^{-1} \tilde{\eta}_\sigma + \mathbf{B}(\eta_\sigma, \mathbf{u}) - \mathbf{B}(\hat{\eta}_\sigma, \mathbf{u}) \right] \\
&= (\mathbf{L}^{-1} \tilde{\eta}_\sigma)^\top \cdot \left[\mathbf{P}_\eta \mathbf{M}^{-1} \mathbf{L}^{-1} (\mathbf{AL} - \mathbf{LMKNC}) \cdot \mathbf{L}^{-1} \tilde{\eta}_\sigma \right. \\
&+ \mathbf{P}_\eta \mathbf{M}^{-1} \mathbf{L}^{-1} (\mathbf{B}(\eta_\sigma, \mathbf{u}) - \mathbf{B}(\hat{\eta}_\sigma, \mathbf{u})) \left. \right] \\
&= (\mathbf{L}^{-1} \tilde{\eta}_\sigma)^\top \cdot \left[(\mathbf{P}_\eta \mathbf{A} - \mathbf{P}_\eta \mathbf{KC}) \cdot \mathbf{L}^{-1} \tilde{\eta}_\sigma \right. \\
&+ \mathbf{P}_\eta \mathbf{M}^{-1} \mathbf{L}^{-1} (\mathbf{B}(\eta_\sigma, \mathbf{u}) - \mathbf{B}(\hat{\eta}_\sigma, \mathbf{u})) \left. \right] \\
&= (\mathbf{L}^{-1} \tilde{\eta}_\sigma)^\top \cdot (\mathbf{P}_\eta \mathbf{A} - \mathbf{P}_\eta \mathbf{KC}) \cdot \mathbf{L}^{-1} \tilde{\eta}_\sigma \\
&+ (\mathbf{L}^{-1} \tilde{\eta}_\sigma)^\top \mathbf{P}_\eta \mathbf{M}^{-1} \mathbf{L}^{-1} [\mathbf{B}(\eta_\sigma, \mathbf{u}) - \mathbf{B}(\hat{\eta}_\sigma, \mathbf{u})] \\
&\leq -\gamma \left| \mathbf{P}_\eta^{\frac{1}{2}} \mathbf{L}^{-1} \tilde{\eta}_\sigma \right|^2 + \delta \left| \mathbf{P}_\eta^{\frac{1}{2}} \mathbf{L}^{-1} \tilde{\eta}_\sigma \right|^2.
\end{aligned}$$

Since (O4),

$$\dot{V}_\sigma(\eta_\sigma) \leq -\gamma \tilde{\eta}_\sigma^\top \mathbf{L}^{-\top} \mathbf{P}_\eta \mathbf{L}^{-1} \tilde{\eta}_\sigma \leq -\frac{\gamma}{2} \tilde{\eta}_\sigma^\top \mathbf{L}^{-\top} \mathbf{P}_\eta \mathbf{L}^{-1} \tilde{\eta}_\sigma.$$

Then as $\mathbf{P}_\eta \geq \lambda_{\min}(\mathbf{P}_\eta) \mathbf{MP}_\eta^{-1} \geq \lambda_{\min}(\mathbf{MP}_\eta^{-1})$, we can get $\mathbf{P}_\eta = \mathbf{P}_\eta \mathbf{MP}_\eta^{-1} \mathbf{P}_\eta \mathbf{M}^{-1} \geq \lambda_{\min}(\mathbf{P}_\eta) \lambda_{\min}(\mathbf{MP}_\eta^{-1}) \mathbf{P}_\eta \mathbf{M}^{-1}$, so

$$\begin{aligned}
\dot{V}_\sigma(\eta_\sigma) &\leq -\gamma \lambda_{\min}(\mathbf{P}_\eta) \lambda_{\min}(\mathbf{MP}_\eta^{-1}) \cdot \frac{1}{2} \tilde{\eta}_\sigma^\top \\
&\cdot \mathbf{L}^{-\top} \mathbf{P}_\eta \mathbf{M}^{-1} \mathbf{L}^{-1} \cdot \tilde{\eta}_\sigma \\
&= -\gamma \lambda_{\min}(\mathbf{P}_\eta) \lambda_{\min}(\mathbf{MP}_\eta^{-1}) \cdot V_\sigma(\eta_\sigma) \\
&= -q V_\sigma(\eta_\sigma).
\end{aligned}$$

It can be concluded that, for each mode,

$$\|\eta(t) - \hat{\eta}(t)\| \leq a e^{-bt} \|\eta(0) - \hat{\eta}(0)\| \tag{31}$$

holds for all $t \geq 0$ with some constants $a, b > 0$.

Moreover, since the functions $\Phi_\sigma(\mathbf{x})$ are diffeomorphisms on the set $\mathcal{X}$, there exist Lipschitz constants L_Φ and $L_{\Phi^{-1}}$ such that

$$\begin{aligned}
\|\mathbf{x}(t) - \hat{\mathbf{x}}(t)\| &= \|\Phi_\sigma^{-1}(\Phi_\sigma(\mathbf{x})) - \Phi_\sigma^{-1}(\Phi_\sigma(\hat{\mathbf{x}}))\| \\
&\leq L_{\Phi^{-1}} \|\Phi_\sigma(\mathbf{x}) - \Phi_\sigma(\hat{\mathbf{x}})\| \\
&\leq L_{\Phi^{-1}} a e^{-bt} \|\Phi_\sigma(\mathbf{x}(0)) - \Phi_\sigma(\hat{\mathbf{x}}(0))\| \\
&\leq L_{\Phi^{-1}} L_\Phi a e^{-bt} \|\mathbf{x}(0) - \hat{\mathbf{x}}(0)\|.
\end{aligned} \tag{32}$$

Appendix C. Proof of Theorem 2

For any $t \in [t_i, t_{i+1})$, considering Lemma 1, we have

$$\begin{aligned}
\|\mathbf{x}(t) - \hat{\mathbf{x}}(t)\| &\leq \prod_{k=0}^{i-1} (L_{\Phi^{-1}} L_\Phi a e^{-b(t_{k+1}-t_k)}) \\
&\cdot \|\mathbf{x}(0) - \hat{\mathbf{x}}(0)\| \\
&= \prod_{k=0}^{i-1} (L_{\Phi^{-1}} L_\Phi a) \cdot \prod_{p \in \mathcal{G}} e^{-bT_p(t_0, t)} \cdot \|\mathbf{x}(0) - \hat{\mathbf{x}}(0)\| \\
&= \prod_{k=0}^{i-1} (L_{\Phi^{-1}} L_\Phi a) \cdot e^{-b(T_1(t_0, t) + T_3(t_0, t) + T_4(t_0, t))} \\
&\cdot \|\mathbf{x}(0) - \hat{\mathbf{x}}(0)\| \\
&\leq \prod_{k=0}^{i-1} (L_{\Phi^{-1}} L_\Phi a) \cdot e^{-b \underline{m}(t-0)} \cdot \|\mathbf{x}(0) - \hat{\mathbf{x}}(0)\|.
\end{aligned} \tag{33}$$

Appendix D. Proof of Theorem 3

The plant and observer satisfy

$$\dot{\mathbf{x}} = \mathbf{f}_{\sigma_i}(\mathbf{x}, \mathbf{u}), \quad \dot{\hat{\mathbf{x}}} = \mathbf{f}_{\sigma_j}(\hat{\mathbf{x}}, \mathbf{u}) + \mathbf{l}[\mathbf{h}(\mathbf{x}) - \mathbf{h}(\hat{\mathbf{x}})],$$

with $\sigma_i, \sigma_j \in \mathcal{G}$ and $\mathbf{l} = s_{f_r} \cdot \left[\frac{\partial \Phi_\sigma(\hat{\mathbf{x}})}{\partial \mathbf{x}} \right]^{-1} \mathbf{LMKN}$. The error $\tilde{\mathbf{x}} = \mathbf{x} - \hat{\mathbf{x}}$ and

its dynamics can be obtained as:

$$\begin{aligned}
\dot{\tilde{\mathbf{x}}} &= \mathbf{f}_{\sigma_i}(\mathbf{x}, \mathbf{u}) - \mathbf{f}_{\sigma_j}(\hat{\mathbf{x}}, \mathbf{u}) - \mathbf{l}[\mathbf{h}(\mathbf{x}) - \mathbf{h}(\hat{\mathbf{x}})] \\
&= \mathbf{f}_{\sigma_j}(\mathbf{x}, \mathbf{u}) - \mathbf{f}_{\sigma_j}(\hat{\mathbf{x}}, \mathbf{u}) - \mathbf{l}[\mathbf{h}(\mathbf{x}) - \mathbf{h}(\hat{\mathbf{x}})] \\
&+ \delta(\mathbf{x}, \mathbf{u}),
\end{aligned} \tag{34}$$

where the mismatch disturbance is

$$\delta(\mathbf{x}, \mathbf{u}) = \mathbf{f}_{\sigma_i}(\mathbf{x}, \mathbf{u}) - \mathbf{f}_{\sigma_j}(\mathbf{x}, \mathbf{u}).$$

If σ_j satisfies condition (21) and the hypothesis of Theorem 2 hold, then, the error dynamics (34) are exponentially stable when $\delta(\mathbf{x}, \mathbf{u}) = 0$. Consequently, the error dynamics are input-to-state stable with respect to the additive perturbation $\delta(\mathbf{x}, \mathbf{u})$ [20]. Note that $\delta(\mathbf{x}, \mathbf{u})$ is continuous in both arguments and that $\mathbf{x}$ and $\mathbf{u}$ are bounded. Hence, $\delta(\mathbf{x}, \mathbf{u})$ is bounded, which guarantees that the estimation error norm $\|\tilde{\mathbf{x}}\|$ remains bounded.

Suppose that the observer and the plant are not synchronized over a finite time interval $t \in [t_1, t_2)$, for some $t_1, t_2 \geq 0$, and are synchronized for all $t \geq t_2$. Then, the estimation error $\tilde{\mathbf{x}}$ remains bounded on $[t_1, t_2)$ and converges exponentially to zero for all $t \geq t_2$. Consequently, if the de-synchronization intervals are sufficiently short, the convergence property in (9) is preserved.

Data availability

Data will be made available on request.

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